



Part I: Organizational & Overview

Philipp Kindermann

### Organizational

**Lectures:** Pre-recorded videos (as you see here)

- Release date: One week before the lecture
- Tue 08:30 10:00: Questions/Discussion in BigBlueButton



R: Release

D: Discussion

H: Hand In

**Tutorials: One** sheet per lecture

- Submit solutions online
- Recommend LaTeX (template provided)
- Discussion and Solutions in BigBlueButton (Date: ?)

#### Books



[DG]



G. Di Battista, P. Eades, R. Tamassia, I. Tollis: Graph Drawing: Algorithms for the Visualization of Graphs Prentice Hall, 1998

M. Kaufmann, D. Wagner: Drawing Graphs: Methods and Models Springer, 2001

[PGD]



T. Nishizeki, Md. S. Rahman: Planar Graph Drawing World Scientific, 2004

[HGDV]



R. Tamassia: Handbook of Graph Drawing and Visualization CRC Press, 2013

http://cs.brown.edu/people/rtamassi/gdhandbook/

## What is this course about?

#### Learning objectives

- Overview of graph visualization
- Improved knowledge of modeling and solving problems via graph algorithms

#### **Visualization problem:**

Given a graph G, visualize it with a drawing  $\Gamma$ 

#### Here:

Reducing the visualisation problem to its algorithmic core

graph class  $\Rightarrow$  layout style  $\Rightarrow$  algorithm  $\Rightarrow$  analysis

modeling
 divide & conquer, incremental
 data structures
 combinatorial optimization (flows, ILPs)
 force-based algorithm

## What is this course about?

#### **Topics**

- Drawing Trees and Series-Parallel Graphs
- Straight-Line Drawings of Planar Graphs
- Orthogonal Grid Drawings
- Octilinear Drawings for Metro Maps
- Upwards Planar Drawings
- Hierarchical Layouts of Directed Graphs
- Contact Representations
- Visibility Representations
- The Crossing Lemma
- Beyond Planarity





Part II: The Layout Problem

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# Graphs and their representations

#### What is a graph?

graph 
$$G = (V, E)$$
  
vertices  $V = \{v_1, v_2, \dots, v_n\}$ 

• edge  $E = \{e_1, e_2, \dots, e_m\}$ 

#### **Representation?**

#### Set notation

$$\begin{split} V &= \{v_1, v_2, v_3, v_4, v_5, v_6, v_7, v_8, v_9, v_{10}\} \\ E &= \{\{v_1, v_2\}, \{v_1, v_8\}, \{v_2, v_3\}, \{v_3, v_5\}, \{v_3, v_9\}, \\ \{v_3, v_{10}\}, \{v_4, v_5\}, \{v_4, v_6\}, \{v_4, v_9\}, \{v_5, v_8\}, \\ \{v_6, v_8\}, \{v_6, v_9\}, \{v_7, v_8\}, \{v_7, v_9\}, \{v_8, v_{10}\}, \\ \{v_9, v_{10}\}\} \end{split}$$

#### Adjacency list

$v_1$ :	<sup>v</sup> 2, <sup>v</sup> 8	$v_6$ :	$v_4, v_8, v_9$
$v_2$ :	<i>v</i> <sub>1</sub> , <i>v</i> <sub>3</sub>	$v_7$ :	<i>v</i> <sub>8</sub> , <i>v</i> <sub>9</sub>
$v_3$ :	$v_2, v_5, v_9, v_{10}$	$v_8$ :	$v_1, v_5, v_6, v_7, v_9, v_{10}$
$v_4$ :	$v_5, v_6, v_9$	$v_9$ :	$v_3, v_4, v_6, v_7, v_8, v_{10}$
$v_5$ :	$v_3, v_4, v_8$	$v_{10}:$	<i>v</i> <sub>3</sub> , <i>v</i> <sub>8</sub> , <i>v</i> <sub>9</sub>







# Why draw graphs?

Graphs are a mathematical representation of real physical and abstract networks.

#### **Abstract networks**

Social networks

. . .

- Communication networks
- Phylogenetic networks
- Metabolic networks
- Class/Object Relation Digraphs (UML)

#### **Physical networks**

- Metro systems
- Road networks
- Power grids
- Telecommunication networks
- Integrated circuits

...

# Why draw graphs?

Graphs are a mathematical representation of real physical and abstract networks.

- People think visually complex graphs are hard to grasp without good visualizations!
- Visualizations help with the communication and exploration of networks.
- Some graphs are too big to draw them by hand.

We need algorithms that draw graphs automatically to make networks more accessible to humans.

### What are we interested in?

■ Jacques Bertin defined visualising variables (1967)



# The layout problem?

Here restricted to the standard representation, so-called node-link diagrams.



**Graph Visualization Problem** 

in: Graph G = (V, E)out: nice drawing  $\Gamma$  of G $\Box : V \to \mathbb{R}^2$ , vertex  $v \mapsto$  point  $\Gamma(v)$  $\Box : E \to$  curves in  $\mathbb{R}^2$ , edge  $\{u, v\} \mapsto$  simple, open curve  $\Gamma(\{u, v\})$  with endpoints  $\Gamma(u)$  und  $\Gamma(v)$ 

But what is a **nice** drawing?

# Requirements of a graph layout

- 1. Drawing conventions and requirements, e.g.,
- straight edges with  $\Gamma(uv) = \overline{\Gamma(u)\Gamma(v)}$
- orthogonal edges (i.e. with bends)
- grid drawings
- without crossing
- 2. Aesthetics to be optimized, e.g.crossing/bend minimization
- edge length uniformity
- minimizing total edge length/drawing area
- angular resolution
- symmetry/structure
- 3. Local Constraints, e.g.
- restrictions on neighboring vertices (e.g., "upward").
- restrictions on groups of vertices/edges (e.g., "clustered").



 $\rightarrow$  lead to NP-hard optimization problems  $\rightarrow$  such criteria are often inversely related

### The layout problem

#### **Graph Visualization Problem**

in: Graph G = (V, E)
out: Drawing Γ of G such that
drawing conventions are met,
aesthetic criteria are optimised, and
some additional constraints are satisfied.



Part III: Basics



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### **Basic Definitions**

*u-v-***path of length** *l*: G = (V, E)Sequence of  $\ell + 1$  distinct adjacent vertices (and  $\ell$ connecting edges), starting with *u* and ending with *v*:  $u - \{u, v_1\} - v_1 - \cdots - v_{\ell-1} - \{v_{\ell-1}, v\} - v$ (sometimes e = uv or e = (u, v)simple cycle: *u-u*-path Edge  $e = \{u, v\} \in E$ : **connected**: There is a *u*-*v*-path for every  $u, v \in V$ *e* incident to *u* and *v* ➤ v reachable from u: There is a u-v-path ■ *u*, *v* end vertices of *e* **subgraph**: graph G' = (V', E') with  $V' \subseteq V$  and  $E' \subseteq E$ **u** adjacent to v ■ *u* and *v* are **neighbors induced subgraph**: subgraph with  $E' = \binom{V'}{2} \cap E$ number of edges incident to v **connected component**: maximal connected subgraph

Handshaking-Lemma. **Corollary.**  $\sum_{v \in V} \deg(v) = 2|E|$ 

degree deg(v):

The number of odd-degree vertices is even.

# Directed Graphs

G = (V, E)directed *u*-*v*-path:  $u - (u, v_1) - v_1 - \cdots - v_{\ell-1} - (v_{\ell-1}, v) - v$ **directed cycle:** directed *u-u*-path acyclic: no directed cycles Edge  $e = (u, v) \in E$ : **connected**: There is a directed *u-v*-path *u* is **source** of *e* or *v*-*u*-path for every  $u, v \in V$ v is target of e *v* **reachable** from *u*: There is a directed *u*-*v*-path indegree  $deg^{-}(v)$ : - connected component number of edges for which *v* is the target outdegree  $deg^+(v)$ : number of edges for which *v* is the source

Handshaking-Lemma.  $\sum_{v \in V} \deg^{-}(v) = \sum_{v \in V} \deg^{+}(v) = |E|$ 



Part IV: Planarity



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Clockwise orientation of adjacent vertices around each vertex.

A planar graph can have many planar embeddings.

A planar embedding can have many planar drawings!

f - m + n = cProof. By induction on m:  $m = 0 \Rightarrow f = 1 \text{ and } c = n$   $\Rightarrow 0 - 0 + n = n + 1 \checkmark$   $m > 1 \Rightarrow \text{remove } 1 \text{ edge } e \Rightarrow m - 1$   $\sum_{e} \ll \Rightarrow c + 1 \qquad \sum_{e} \ll \Rightarrow f - 1$ 

+1

## Properties of Planar Graphs







Part V: Complete Graphs and Minors

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# Complete graphs

 $K_n = (V, \binom{V}{2})$  is the **complete** graph on *n* vertices.  $K_{n_1,n_2} = (V_1 \cup V_2, V_1 \times V_2)$  with  $|V_1| = n_1$  and  $|V_2| = n_2$  is a **complete bipartite** graph on  $n = n_1 + n_2$  vertices.



 $K_5$ 

A **bipartite** graph is a subgraph of a  $K_{n_1,n_2}$ ;  $V_1$  and  $V_2$  are called **bipartitions**.

**Theorem.**  $K_5$  and  $K_{3,3}$  are not planar.

#### **Proof.**

*K*<sub>5</sub>: 
$$m = \binom{5}{2} = \frac{5 \cdot 4}{1 \cdot 2} = 10 > 9 = 3 \cdot 5 - 6 = 3n - 6$$

*K*<sub>3,3</sub>: 
$$m = 3 \cdot 3 = 9 < 12 = 3 \cdot 6 - 6 = 3n - 6$$

 $\Rightarrow$  *no* contradiction to the theorem!

There is no cycle of length 3.

Every face incident to  $\geq$  4 edges (in hypothetical planar drawing)

$$\Rightarrow 4f \leq 2m$$
  

$$\Rightarrow 8 \leq 4c + 4 \leq 4f - 4m + 4n \leq 2m - 4m + 4n = 4n - 2m$$
  

$$\Rightarrow m \leq 2n - 4 = 2 \cdot 6 - 4 = 8 < 9 = m$$

**Theorem.** *G* simple planar graph with  $n \ge 3$ . 1.  $m \le 3n - 6$  2.  $f \le 2n - 4$ 3. There is a vertex of degree at most five

**Theorem.** *G* simp. pl. **bipartite** graph,  $n \ge 3$ . 1.  $m \le 2n - 4$  2.  $f \le n - 2$ 3. There is a vertex of degree at most three

## Contractions and Minors

*G* simple graph and  $e = uv \in E$  **Contracting** *e* gives the graph G' = (V', E')  $V' = V \setminus \{u, v\} \cup \overline{uv}$   $E' = E \setminus (\bigcup_{w \in V} \{uw, vw\}) \cup \bigcup_{x \in \operatorname{Adj}(u) \cup \operatorname{Adj}(v)} \overline{uv}x$ (multi-edges are merged)



A graph *H* is a **minor** of *G* (write  $H \le G$ ) if it is obtained by a set of contractions from a subgraph of *G*.



Kazimierz Kuratowski Warschau 1896–1980 Warschau



*G* planar  $\Leftrightarrow$  neither  $K_5$  nor  $K_{3,3}$  minor of *G* 







Part VI: Binary Search Trees

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## (Rooted) Trees

*G* is a **tree** if the following equivalent conditions hold: 1. there is exactly one *v*-*w*-path between any  $v, w \in V$ 2. *G* cycle-free and connected 3. *G* cycle-free and m = n - 14. *G* connected and m = n - 1

Leaf: Vertex of degree 1 Rooted tree: tree with designated root Ancestor: Vertex on path to root Parent: Neighbor on path to root Successor: Vertex on path away from root Child: Neighbor not on path to root Depth: Length of path to root Height: Maximum depth of a leaf



Binary Tree: At most two children per vertex (left / right child)

## First Grid Layout of Binary Trees

1. Choose *y*-coordinates: y(u) = depth(u)



2. Choose *x*-coordinates:

