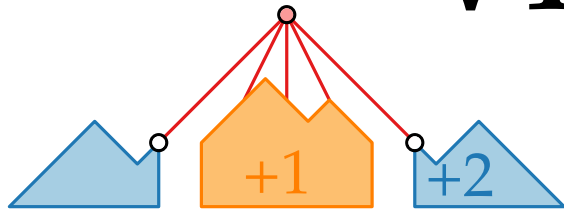
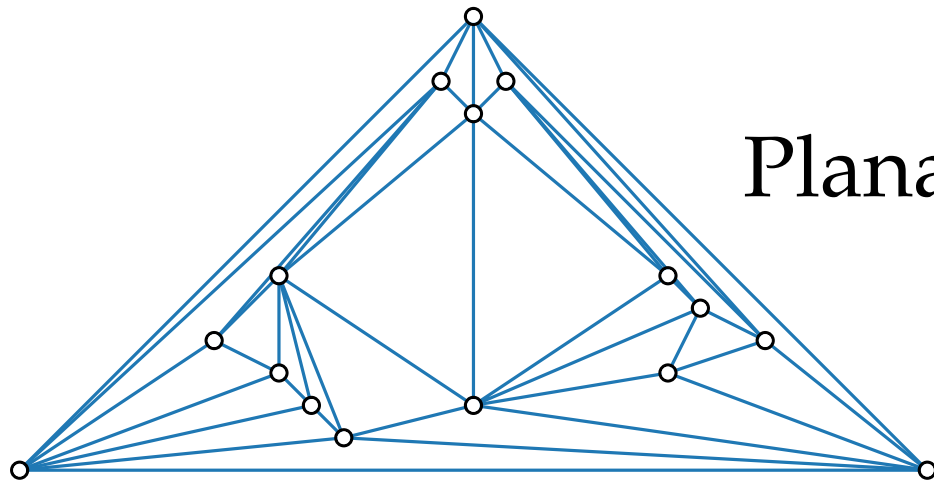


# Visualization of Graphs



## Lecture 4:

## Straight-Line Drawings of Planar Graphs I: Canonical Ordering and Shift Method



### Part I: Planar Straight-Line Drawings

Philipp Kindermann

# Motivation

Why planar and straight-line?

[Bennett, Ryall, Spaltzholz and Gooch '07]

## The Aesthetics of Graph Visualization

### 3.2. Edge Placement Heuristics

By far the most agreed-upon edge placement heuristic is to *minimize the number of edge crossings* in a graph [BMRW98, Har98, DH96, Pur02, TR05, TBB88]. The importance of avoiding edge crossings has also been extensively validated in terms of user preference and performance (see Section 4). Similarly, based on perceptual principles, it is beneficial to *minimize the number of edge bends* within a graph [Pur02, TR05, TBB88]. Edge bends make edges more difficult to follow because an edge with a sharp bend is more likely to be perceived as two separate objects. This leads to the heuristic of *keeping edge bends uniform* with respect to the bend's position on the edge and its angle [TR05]. If an edge must be bent to satisfy other aesthetic criteria, the angle of the bend should be as little as possible, and the bend placement should evenly divide the edge.

### Drawing conventions

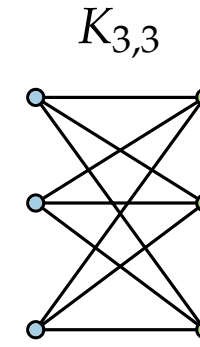
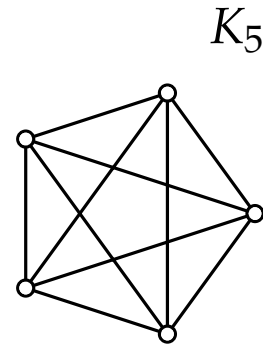
- No crossings  $\Rightarrow$  planar
- No bends  $\Rightarrow$  straight-line

### Drawing aesthetics

- Area

# Planar Graphs

**Theorem.** [Kuratowski 1930]  
 $G$  planar  $\Leftrightarrow$   
 neither  $K_5$  nor  $K_{3,3}$  minor of  $G$



**Characterization**

**Theorem.** [Hopcroft & Tarjan 1974]  
 For a graph  $G$  with  $n$  vertices, there is an  $\mathcal{O}(n)$  time algorithm  
 to test whether  $G$  is planar.

**Recognition**

Also computes an embedding in  $\mathcal{O}(n)$ .

**Theorem.** [Wagner 1936, Fáry 1948, Stein 1951]  
 Every planar graph has a planar drawing where the edges are  
 straight-line segments.

**Drawing**

# Triangulations

with planar embedding

A **plane (inner) triangulation** is a plane graph where every (inner) face is a triangle.

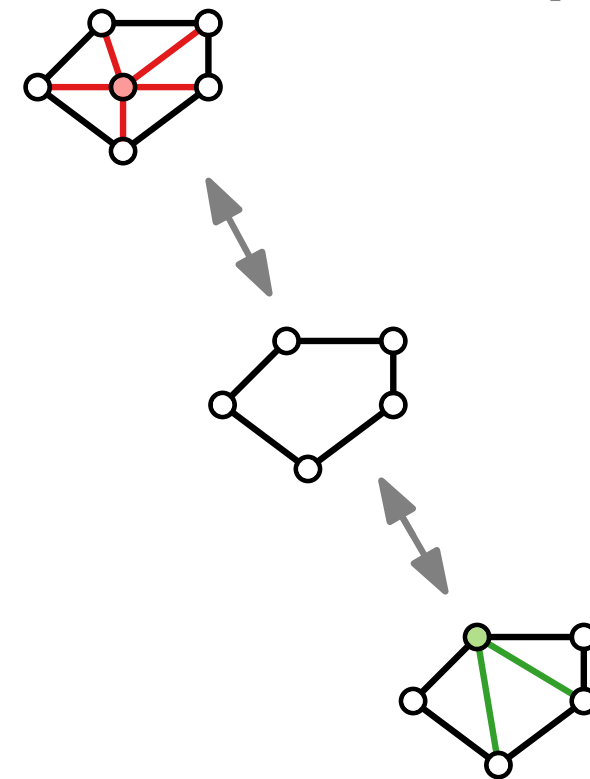
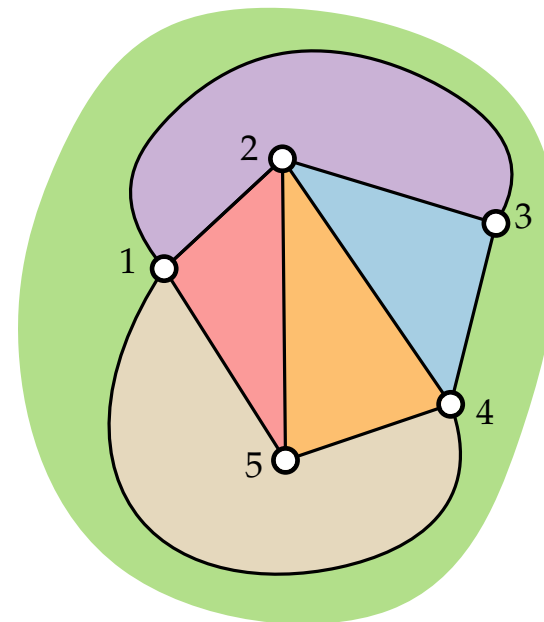
A **maximal planar graph** is a planar graph where adding any edge would destroy planarity.

## Observation.

A maximal plane graph is a plane triangulation.

## Lemma.

A plane triangulation is at least 3-connected and thus has a unique planar embedding.



We focus on plane triangulations:

## Lemma.

Every plane graph is subgraph of a plane triangulation.

## Corollary.

Tutte's algorithm creates a planar straight-line drawing for every planar graph. (but with exponential area)

# Planar Straight-Line Drawings

## Theorem.

[De Fraysseix, Pach, Pollack '90]

Every  $n$ -vertex planar graph has a planar straight-line drawing of size  $(2n - 4) \times (n - 2)$ .

## Idea.

## Theorem.

[Schnyder '90]

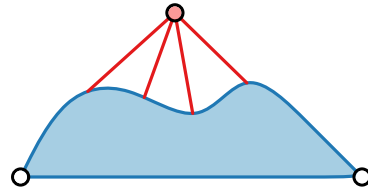
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Hubert de Fraysseix  
\*Paris, France

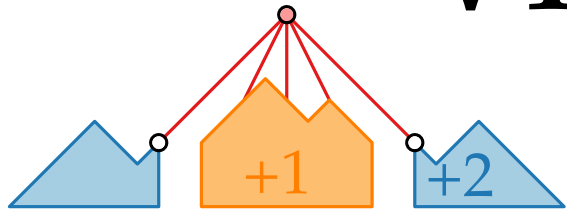
János Pach  
\*1954, Budapest, Hungary



Richard Pollack  
\*1935, New York, USA  
†2018, Montclair, USA

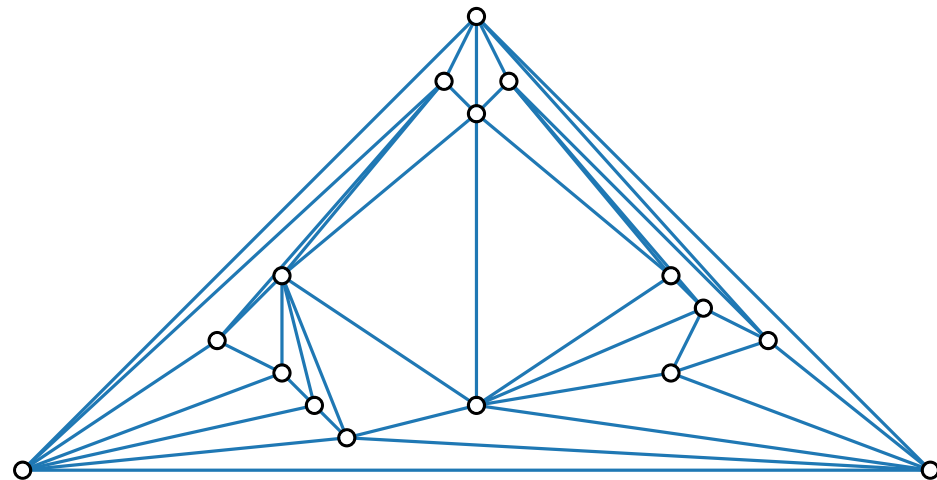


# Visualization of Graphs



## Lecture 4:

## Straight-Line Drawings of Planar Graphs I: Canonical Ordering and Shift Method



### Part II:

### Canonical Order

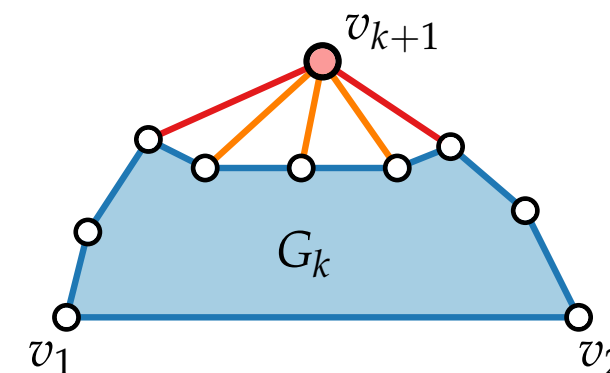
Philipp Kindermann

# Canonical Order – Definition

## Definition.

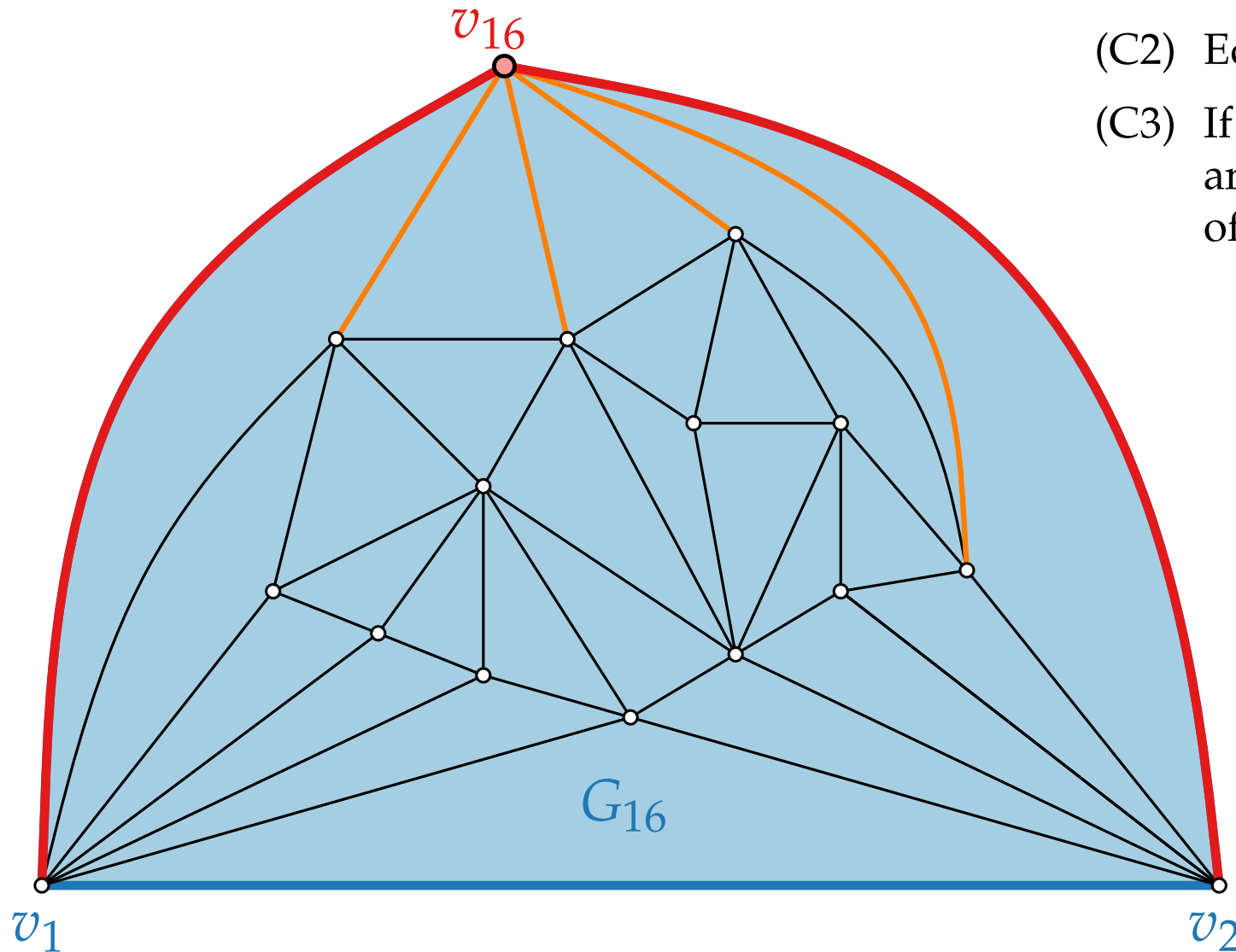
Let  $G = (V, E)$  be a triangulated plane graph on  $n \geq 3$  vertices. An order  $\pi = (v_1, v_2, \dots, v_n)$  is called a **canonical order**, if the following conditions hold for each  $k$ ,  $3 \leq k \leq n$ :

- (C1) Vertices  $\{v_1, \dots, v_k\}$  induce a biconnected internally triangulated graph; call it  $G_k$ .
- (C2) Edge  $(v_1, v_2)$  belongs to the outer face of  $G_k$ .
- (C3) If  $k < n$  then vertex  $v_{k+1}$  lies in the outer face of  $G_k$ , and all neighbors of  $v_{k+1}$  in  $G_k$  appear on the boundary of  $G_k$  consecutively.



# Canonical Order – Example

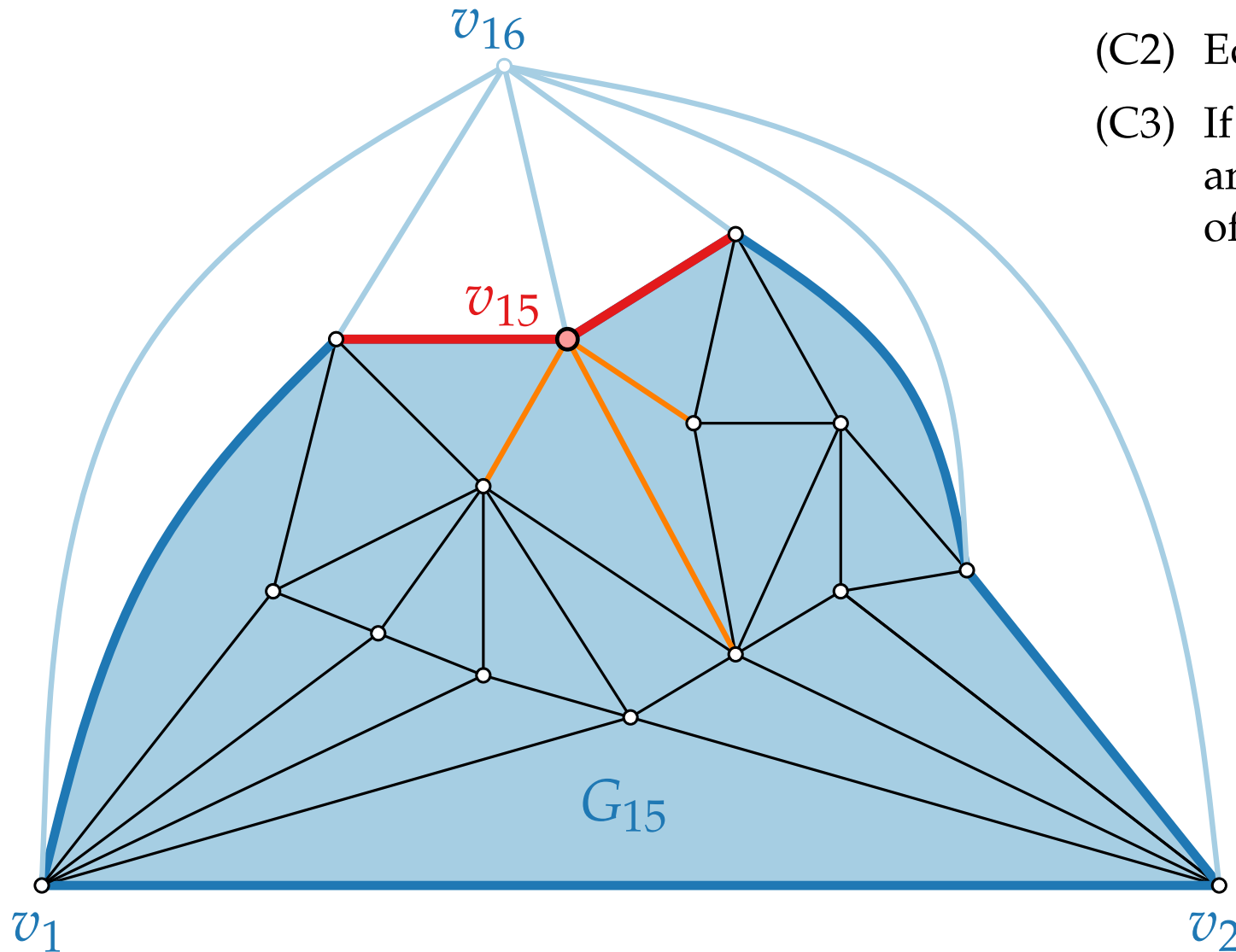
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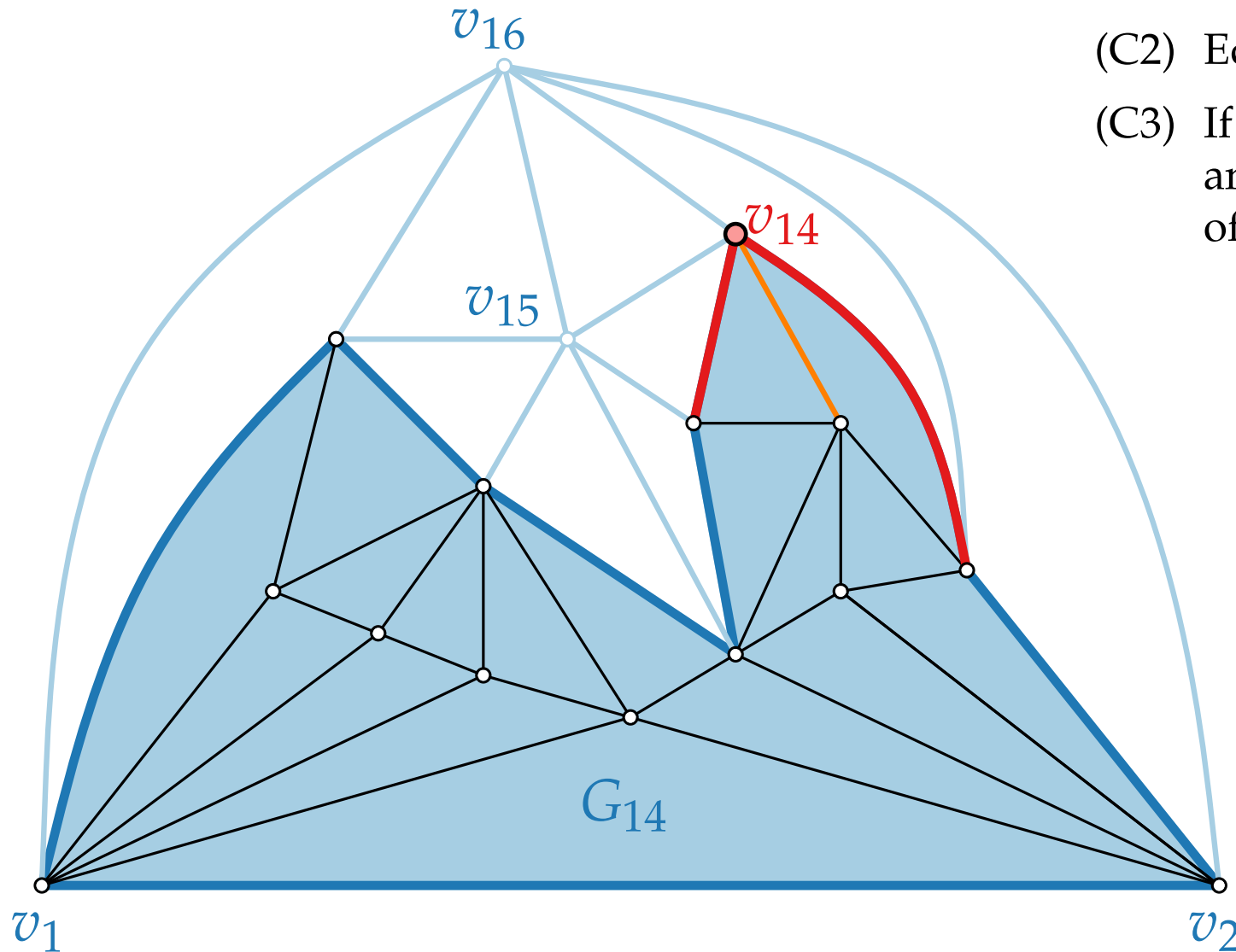
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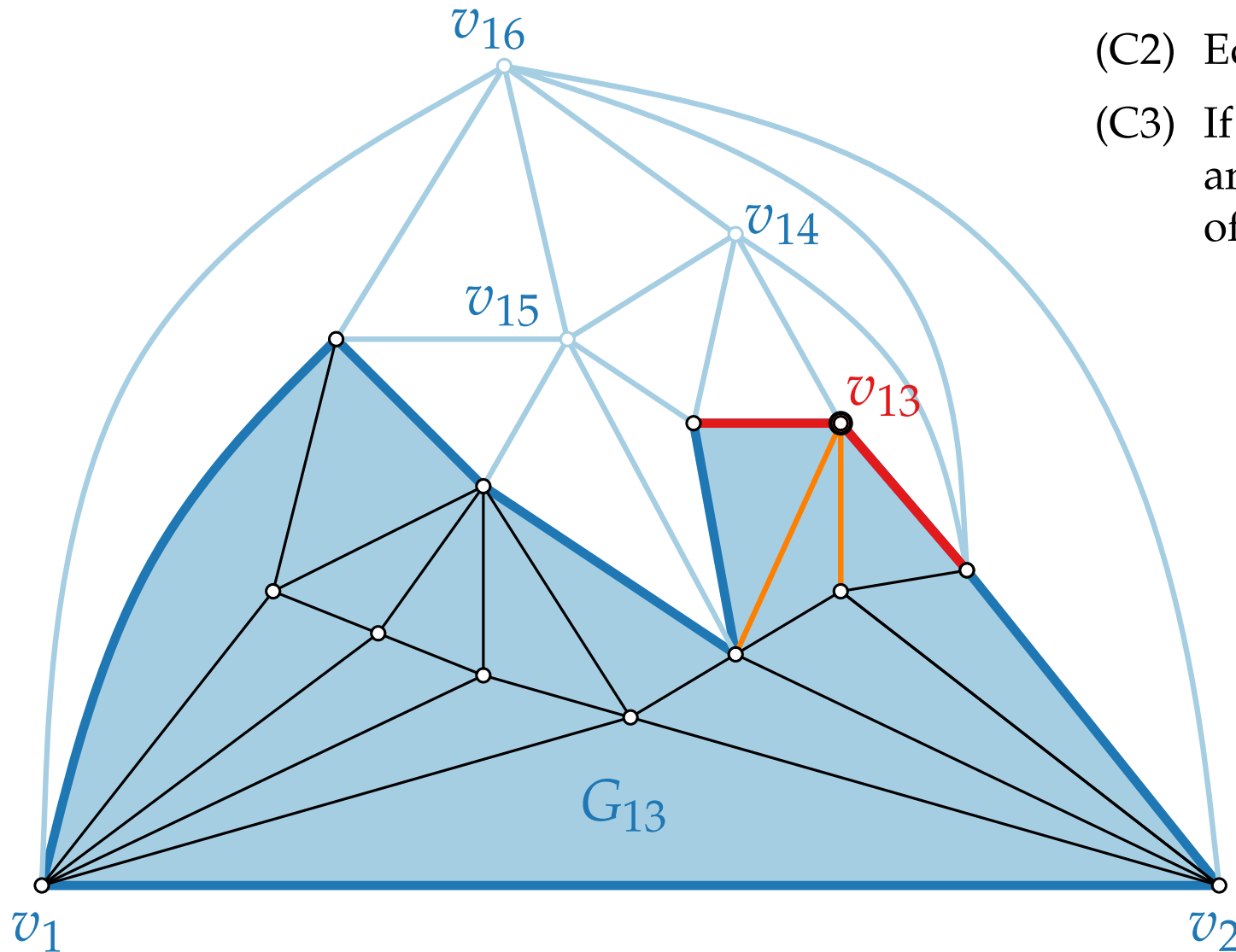
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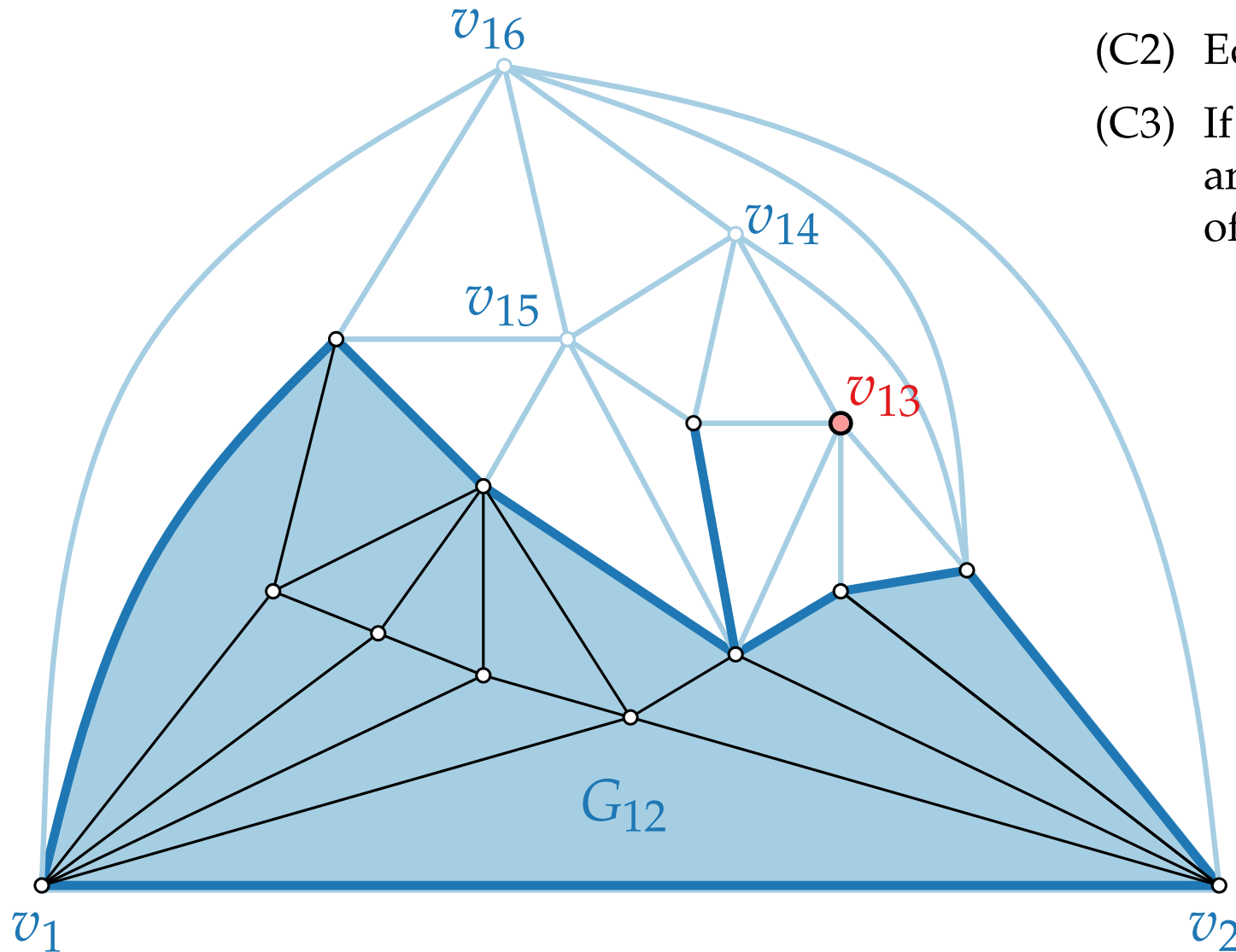
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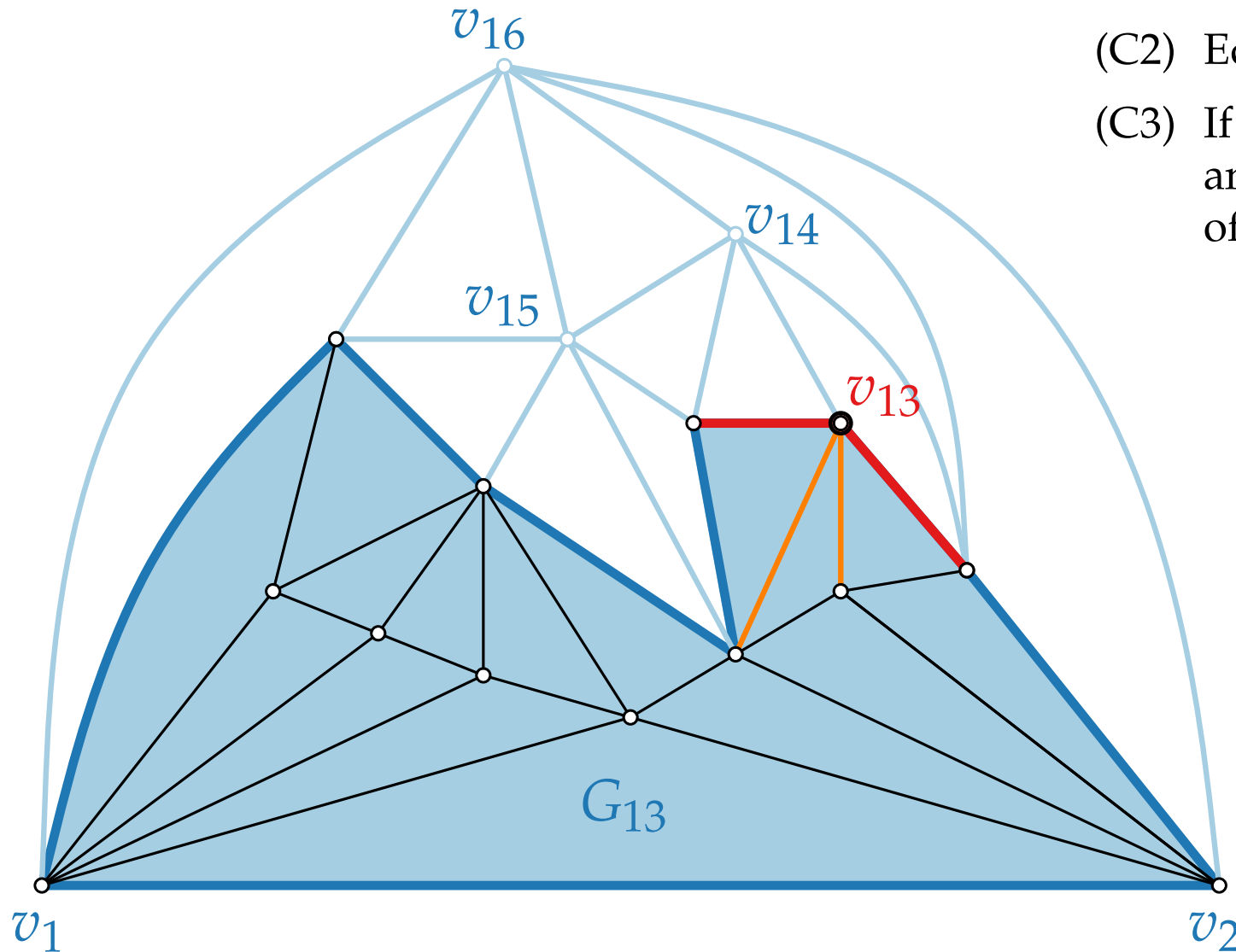
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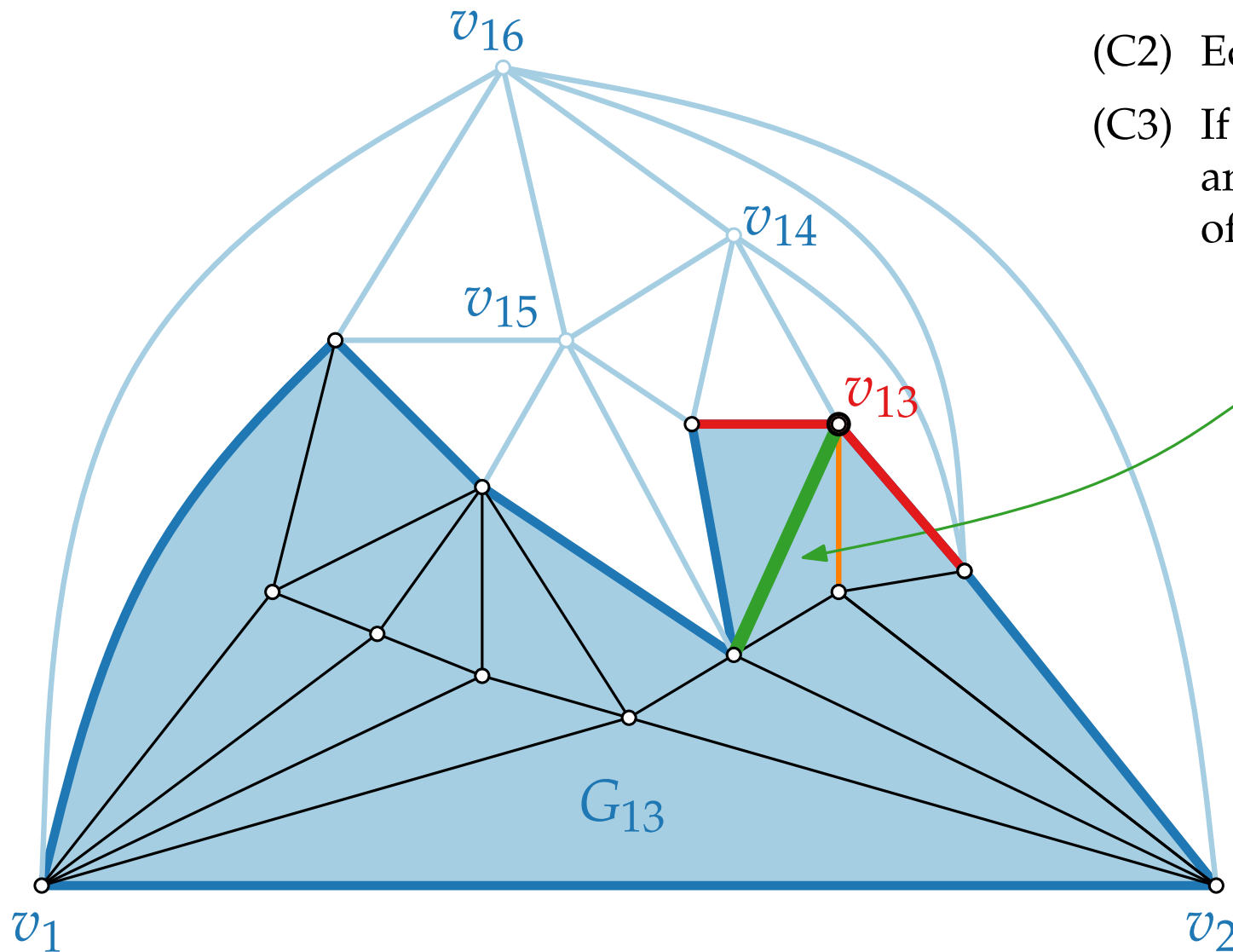
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# Canonical Order – Example

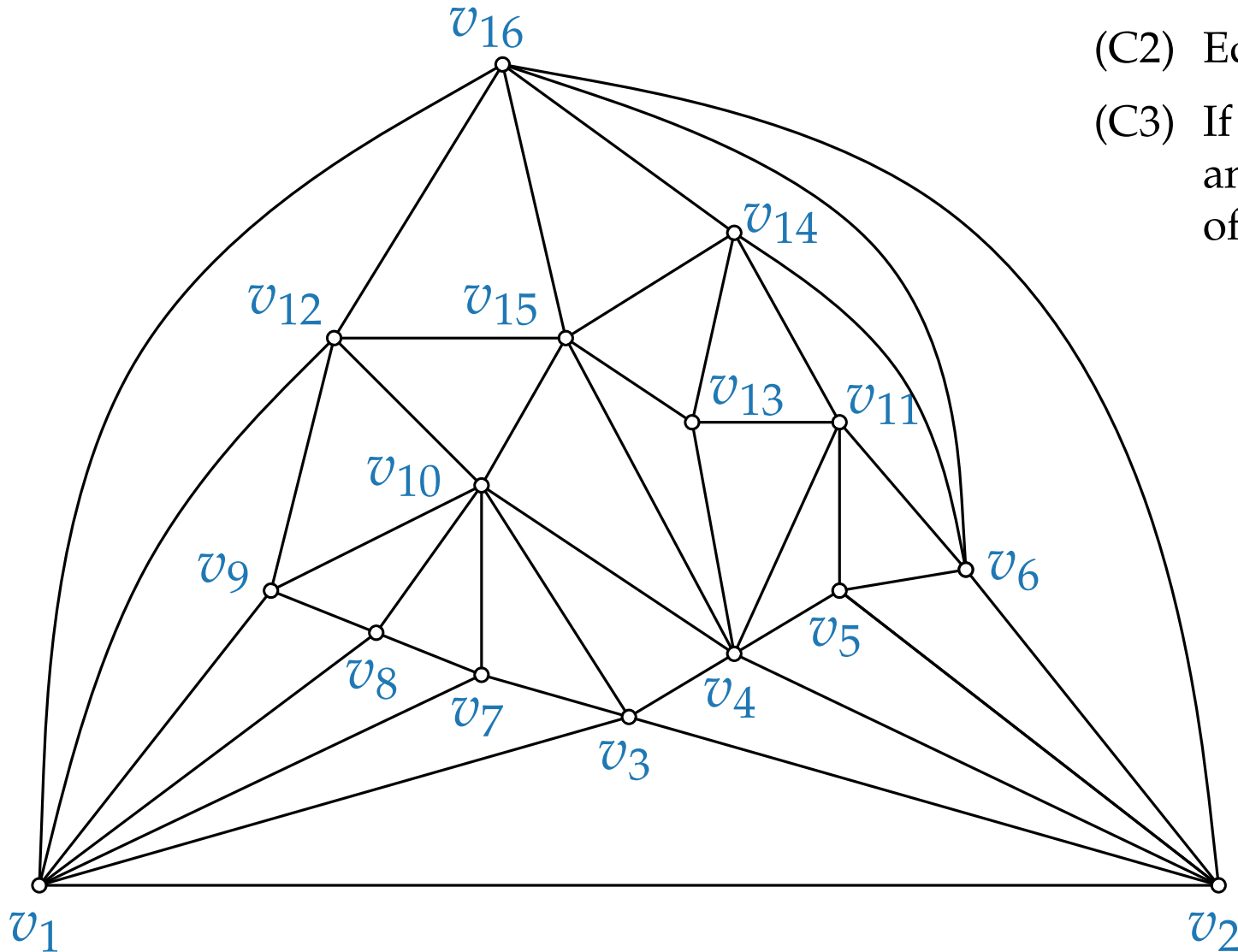
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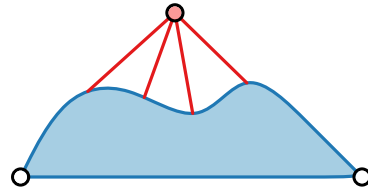


chord  
edge joining two  
nonadjacent  
vertices in a cycle

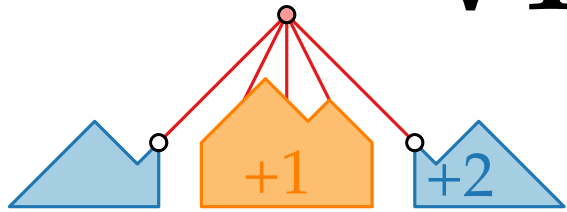
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# Visualization of Graphs

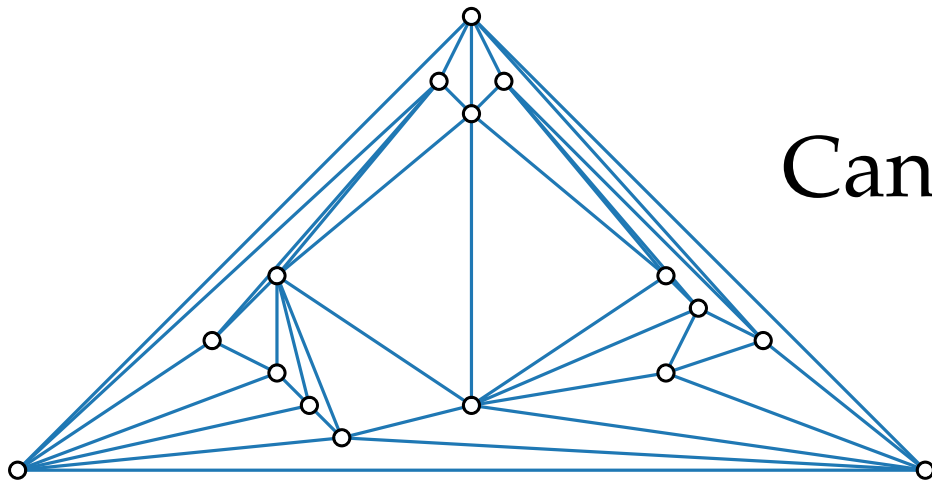


## Lecture 4:

## Straight-Line Drawings of Planar Graphs I: Canonical Ordering and Shift Method

### Part III: Canonical Order – Existence

Philipp Kindermann





# Canonical Order – Existence

## Lemma.

Every triangulated plane graph has a canonical order.

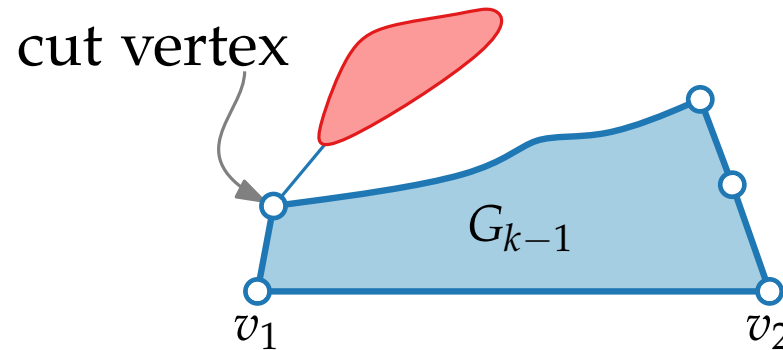
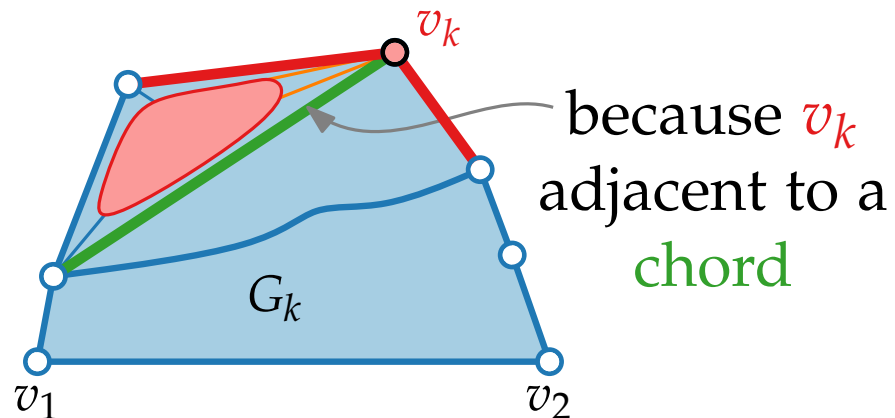
### Base Case:

Let  $G_n = G$ , and let  $v_1, v_2, v_n$  be the vertices of the outer face of  $G_n$ . Conditions (C1) – (C3) hold.

### Induction hypothesis:

Vertices  $v_{n-1}, \dots, v_{k+1}$  have been chosen such that conditions (C1) – (C3) hold for  $k+1 \leq i \leq n$ .

**Induction step:** Consider  $G_k$ . We search for  $v_k$ .



- (C1)  $G_k$  biconnected and internally triangulated
- (C2)  $(v_1, v_2)$  on outer face of  $G_k$
- (C3)  $k < n \Rightarrow v_{k+1}$  in outer face of  $G_k$ , neighbors of  $v_{k+1}$  in  $G_k$  consecutive on boundary

Have to show:

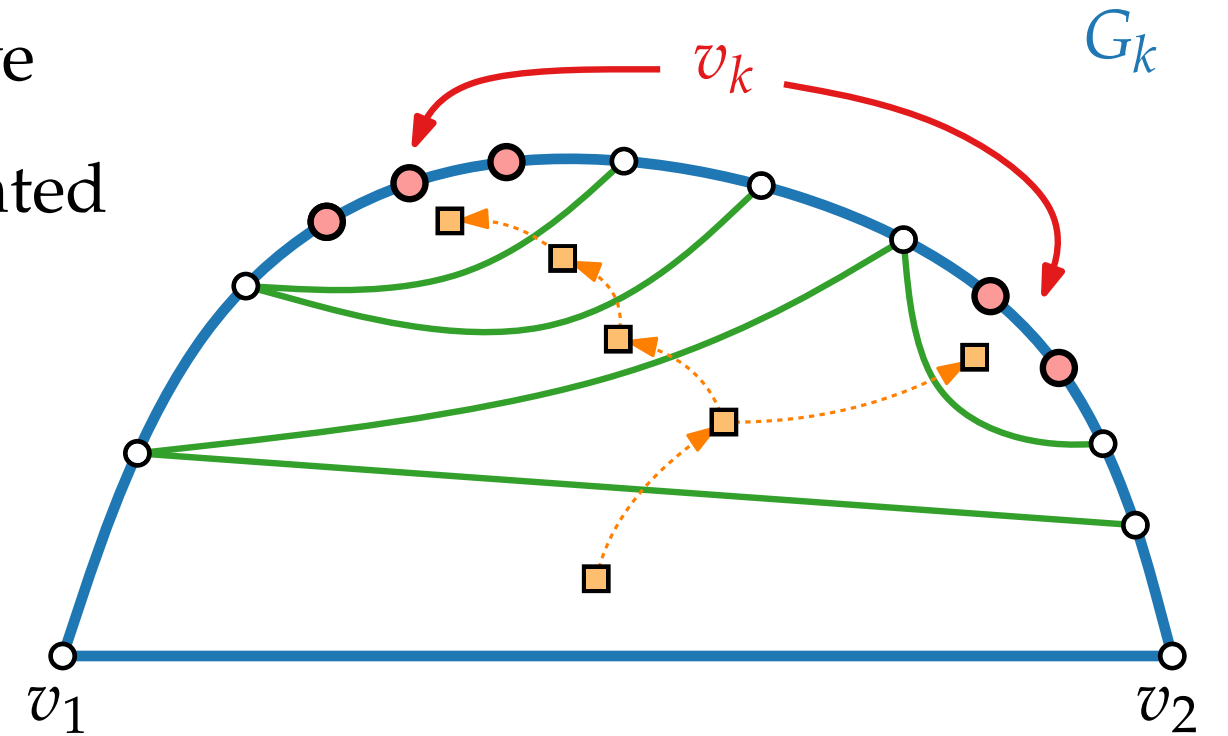
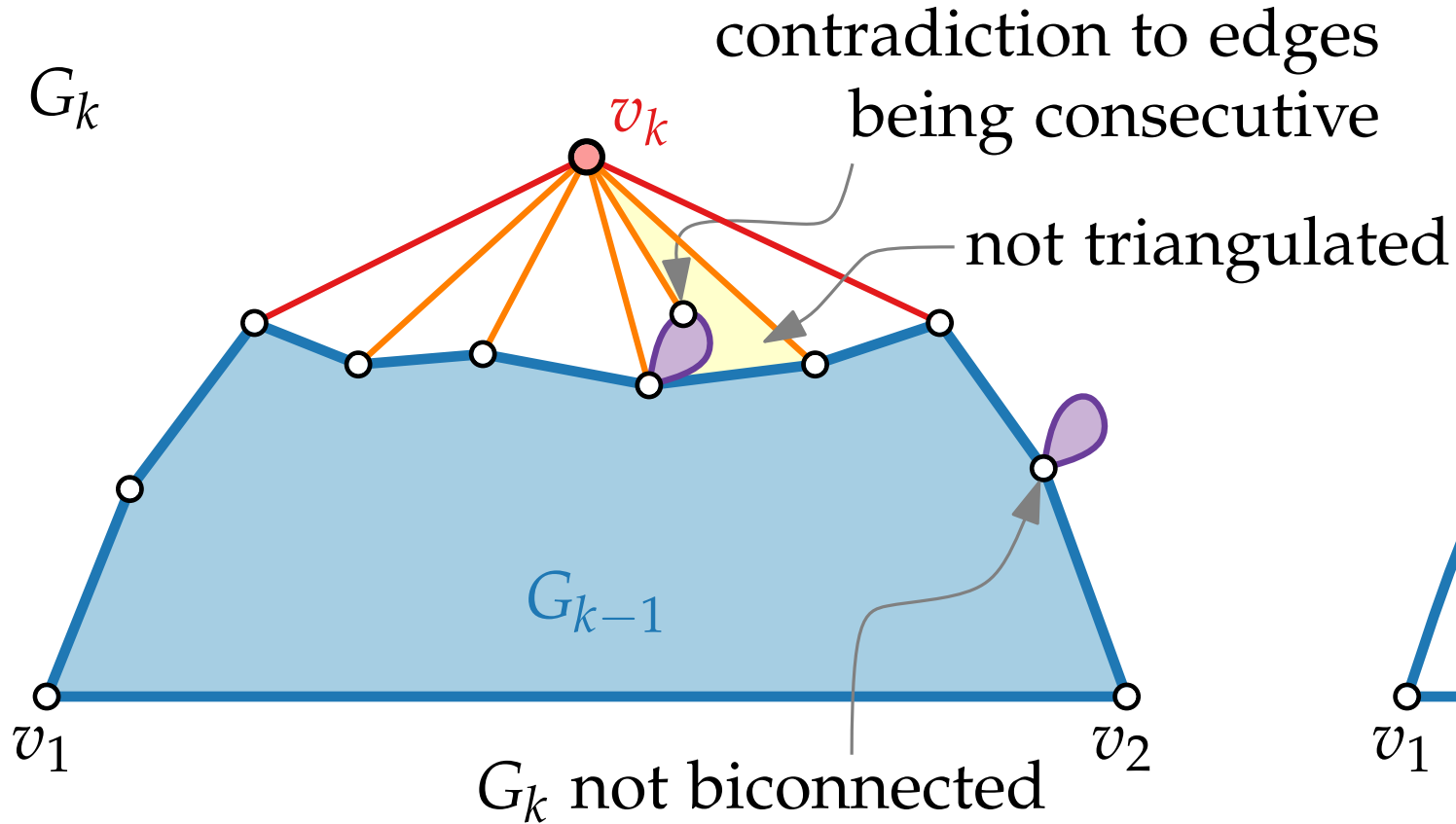
# Canonical Order – Existence

## Claim 1.

If  $v_k$  is not adjacent to a chord, then  $G_{k-1}$  is biconnected.

## Claim 2.

There exists a vertex in  $G_k$  that is not adjacent to a chord as choice for  $v_k$ .



This completes proof of Lemma.  $\square$

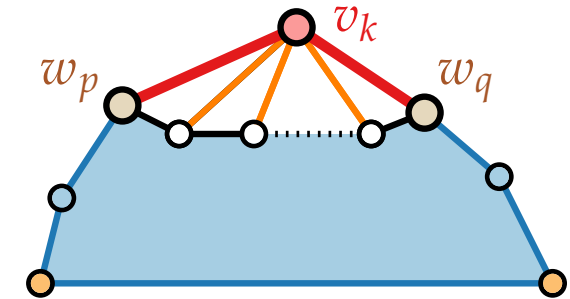
# Canonical Order – Implementation

outer face

```

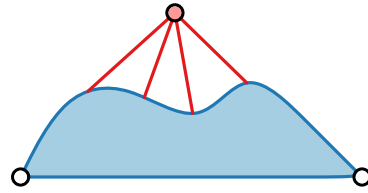
CanonicalOrder( $G = (V, E)$ ,  $(v_1, v_2, v_n)$ )
forall  $v \in V$  do
   $\lfloor$  chords( $v$ )  $\leftarrow$  0; out( $v$ )  $\leftarrow$  false; mark( $v$ )  $\leftarrow$  false
mark( $v_1$ ), mark( $v_2$ ), out( $v_1$ ), out( $v_2$ ), out( $v_n$ )  $\leftarrow$  true
for  $k = n$  to 3 do
  choose  $v$  such that mark( $v$ ) = false, out( $v$ ) = true,
  and chords( $v$ ) = 0
   $v_k \leftarrow v$ ; mark( $v$ )  $\leftarrow$  true
  // Let  $w_1 = v_1, w_2, \dots, w_{t-1}, w_t = v_2$  denote the
  // boundary of  $G_{k-1}$  in  $G_{k-1}$  and let  $w_p, \dots, w_q$  be the
  // neighbors of  $v_k$ 
  out( $w_i$ )  $\leftarrow$  true for all  $p < i < q$ 
  update number of chords for  $w_i$ 
  and its neighbours
  
```

- chords( $v$ ): # chords adjacent to  $v$
- out( $v$ ) = true iff  $v$  is currently outer vertex
- mark( $v$ ) = true iff  $v$  has received its number

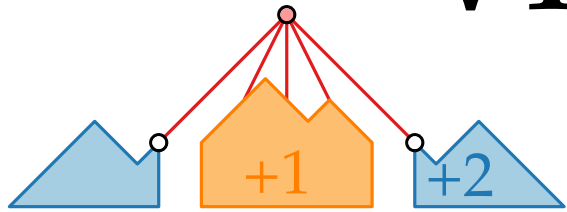


## Lemma.

Algorithm CanonicalOrder computes a canonical order of a plane graph in  $\mathcal{O}(n)$  time.

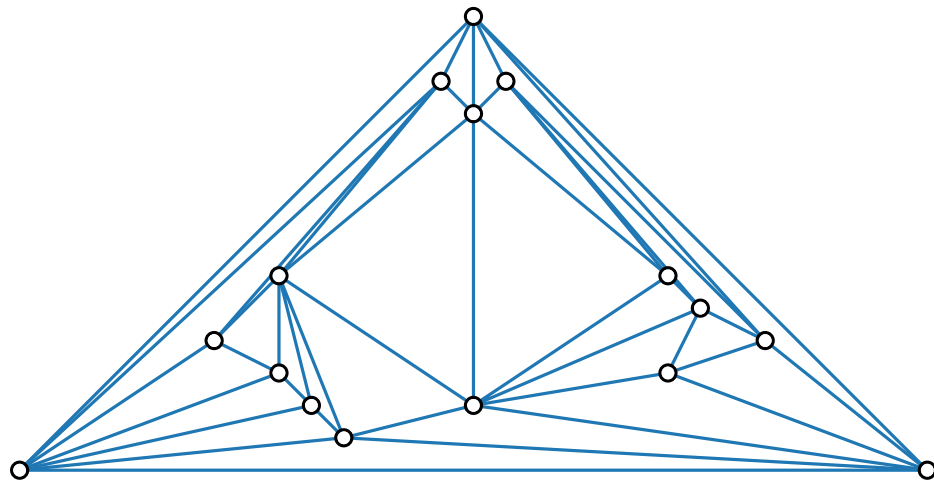


# Visualization of Graphs



## Lecture 4:

## Straight-Line Drawings of Planar Graphs I: Canonical Ordering and Shift Method



### Part IV: Shift Method

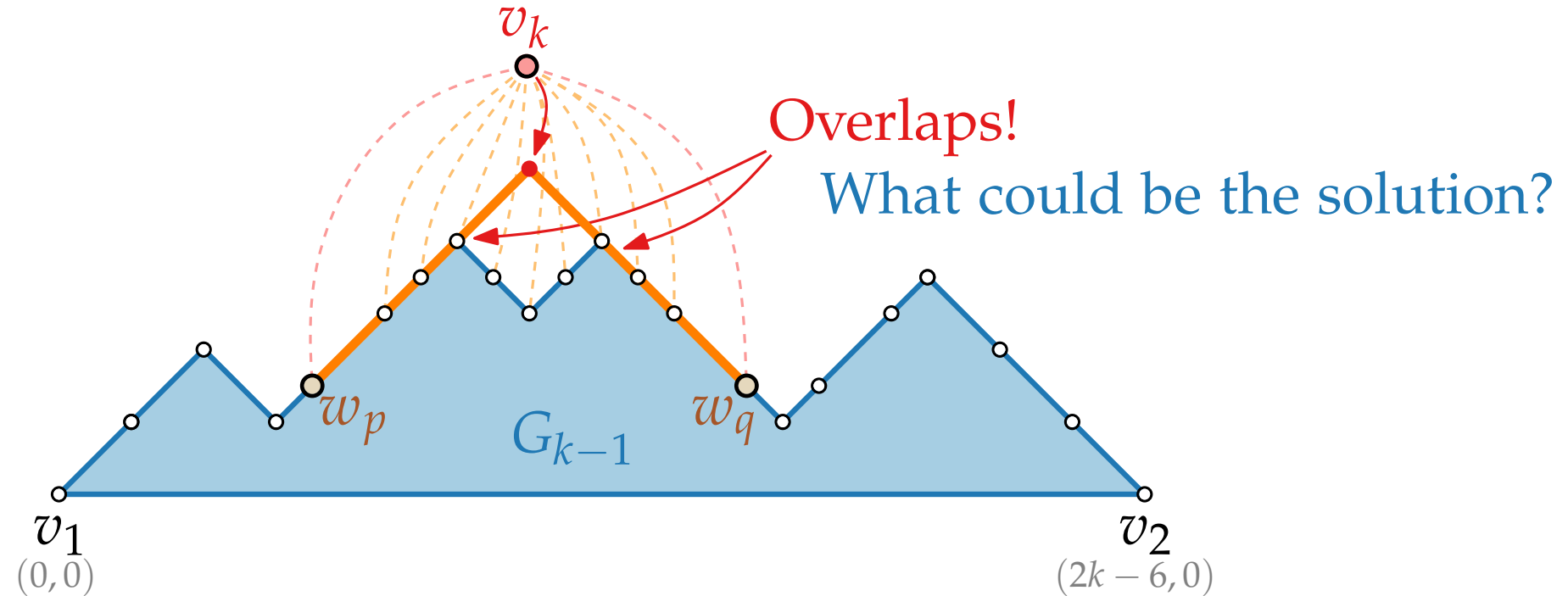
Philipp Kindermann

# Shift Method – Idea

## Drawing invariants:

$G_{k-1}$  is drawn such that

- $v_1$  is on  $(0,0)$ ,  $v_2$  is on  $(2k-6,0)$ ,
- boundary of  $G_{k-1}$  (minus edge  $(v_1, v_2)$ ) is drawn  $x$ -monotone,
- each edge of the boundary of  $G_{k-1}$  (minus edge  $(v_1, v_2)$ ) is drawn with slopes  $\pm 1$ .



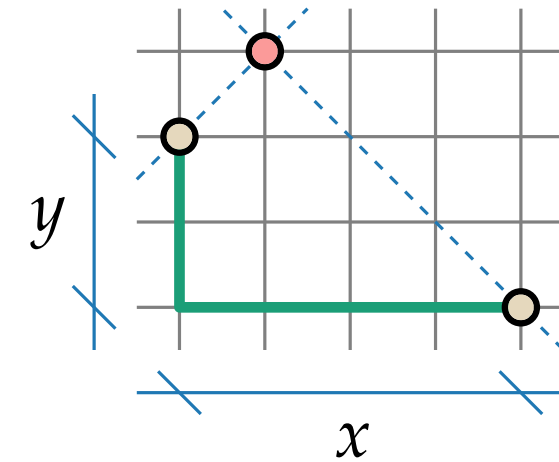
# Shift Method – Idea

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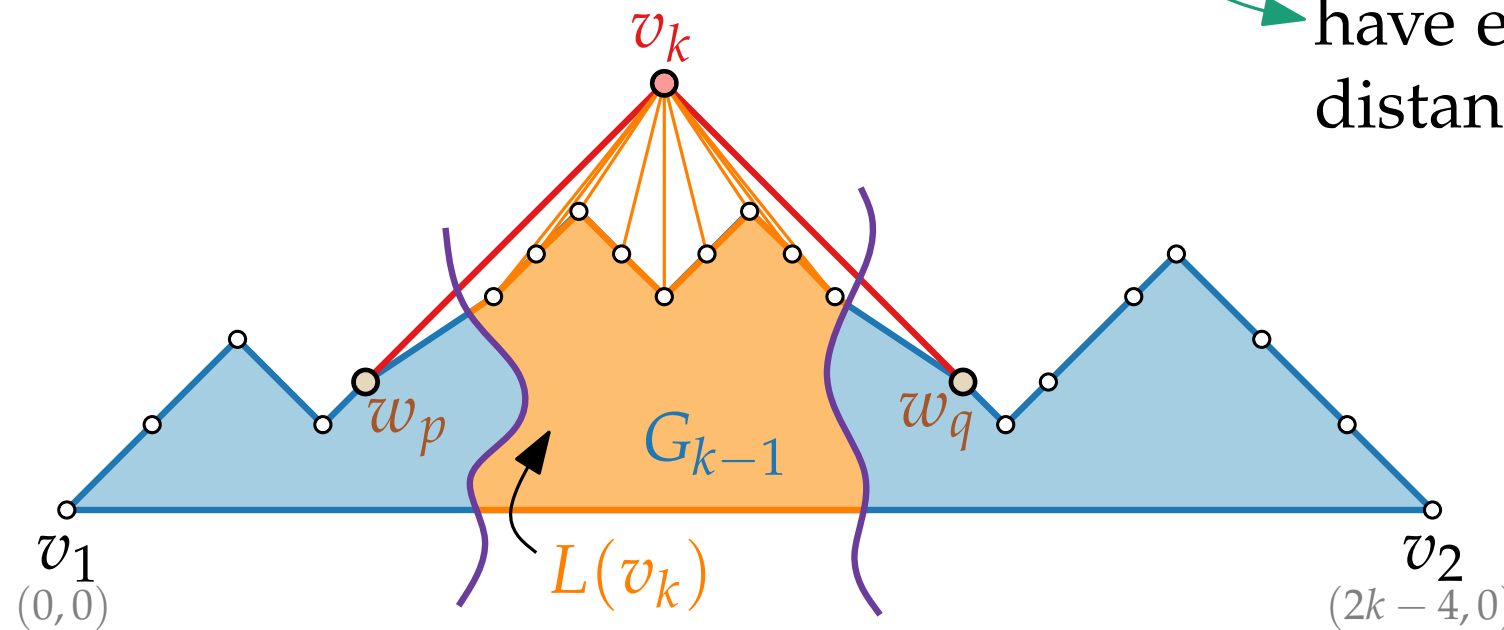
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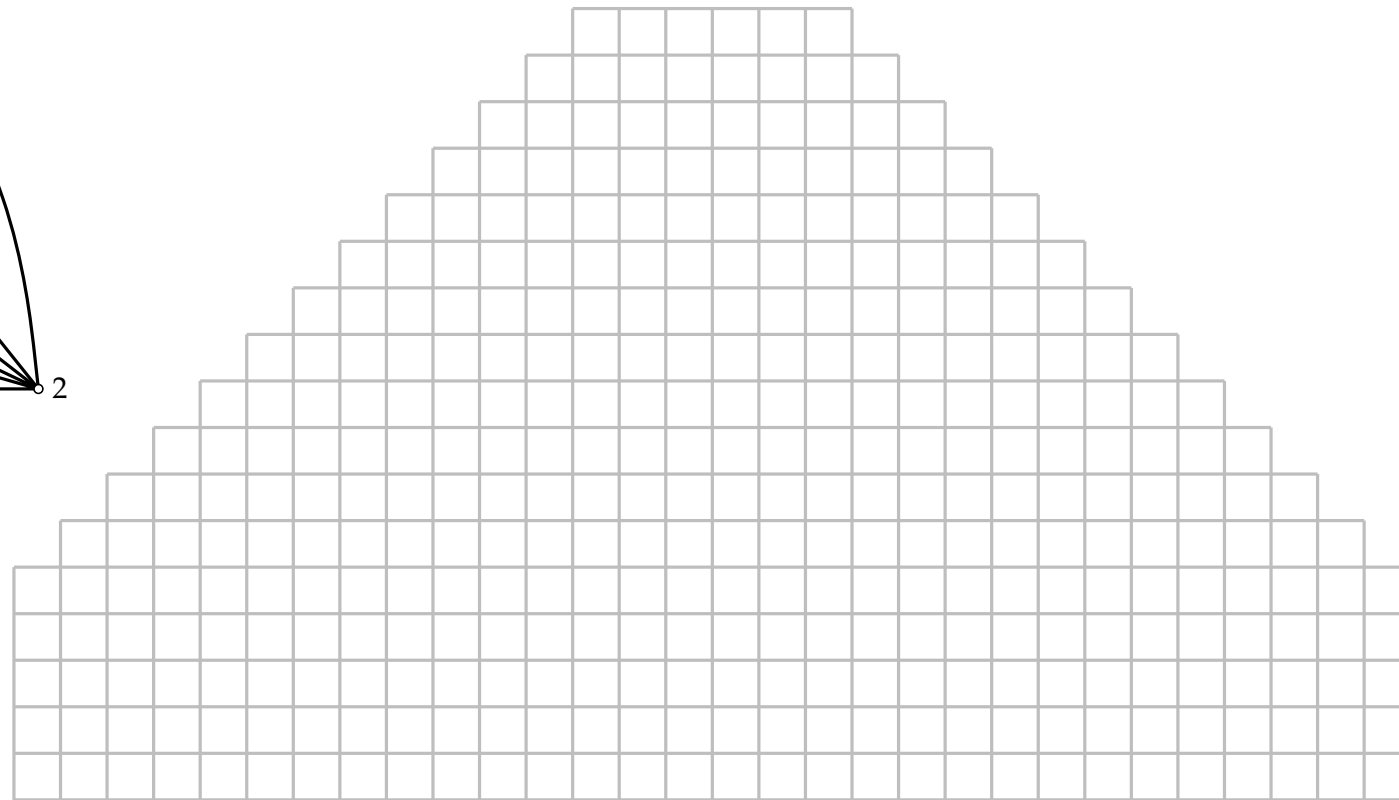
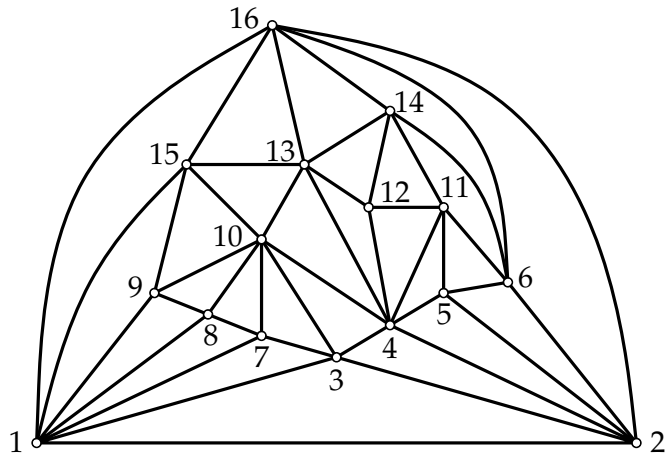
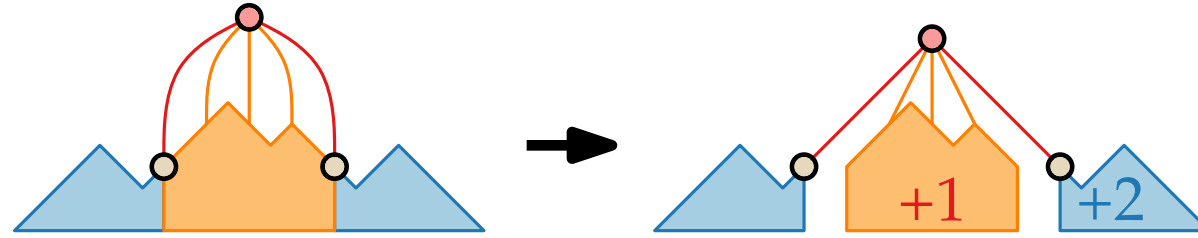
Does  $v_k$  land on grid?



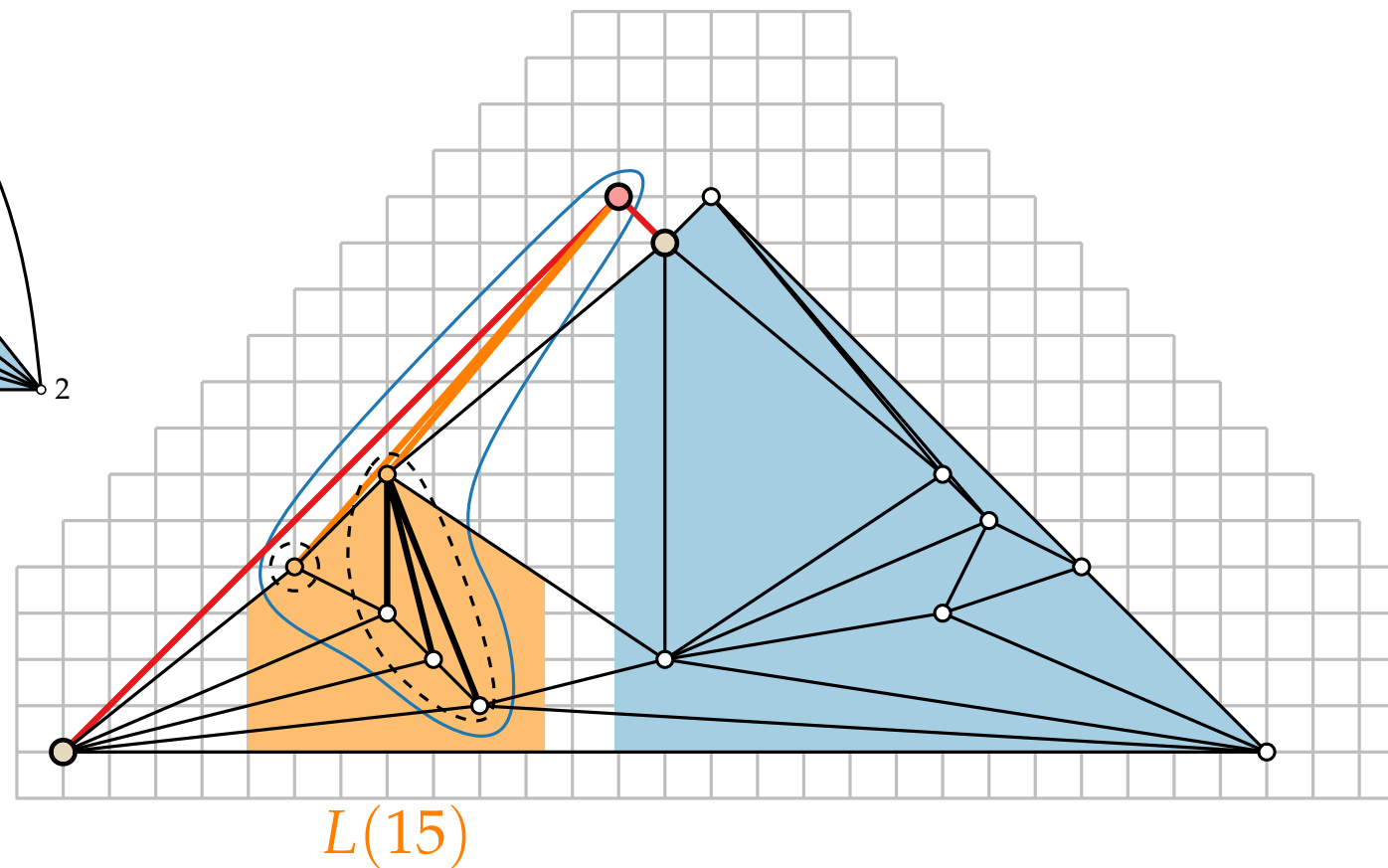
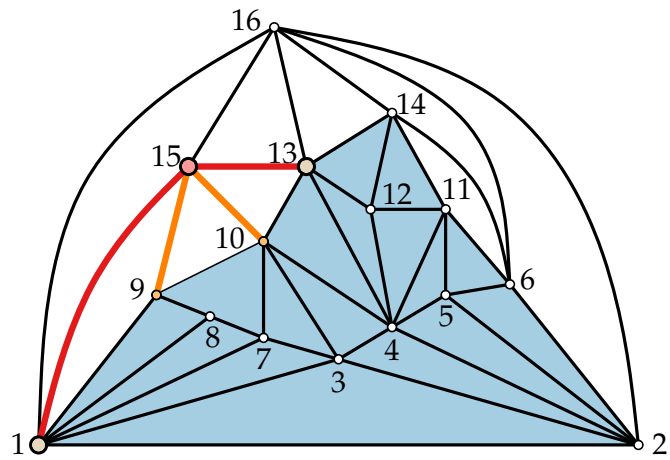
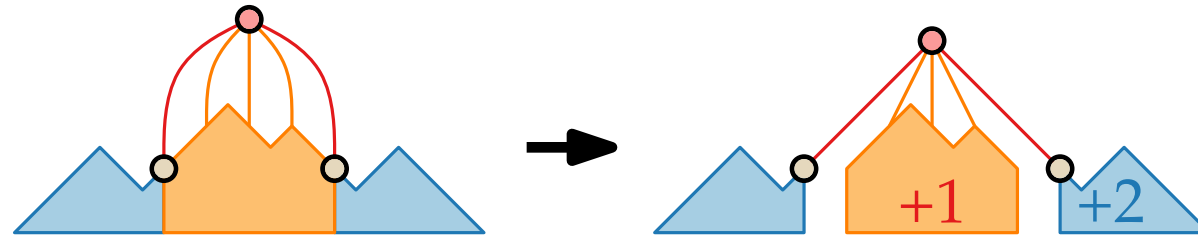
yes, because  $w_p$  and  $w_q$  have even **Manhattan** distance



# Shift Method – Example

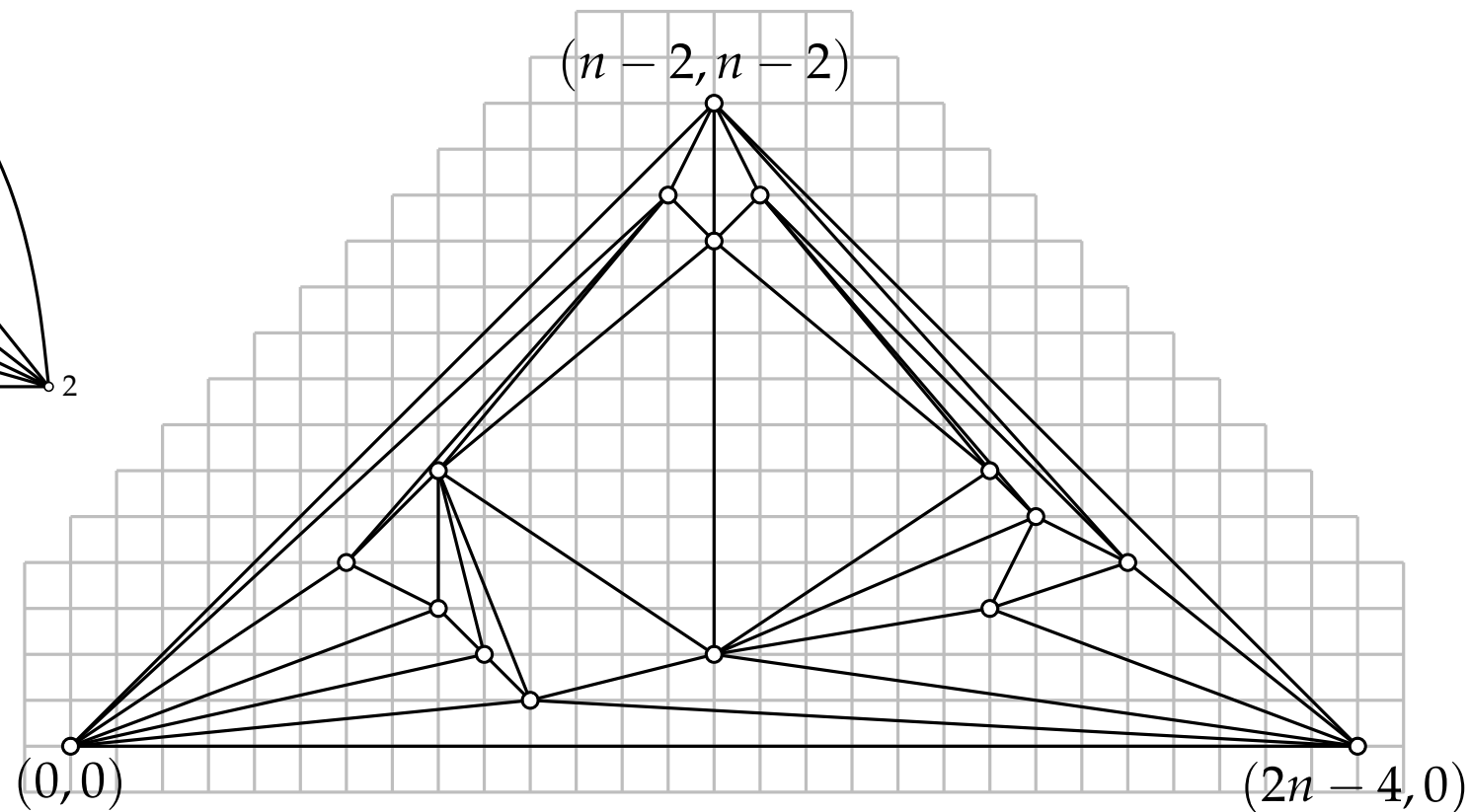
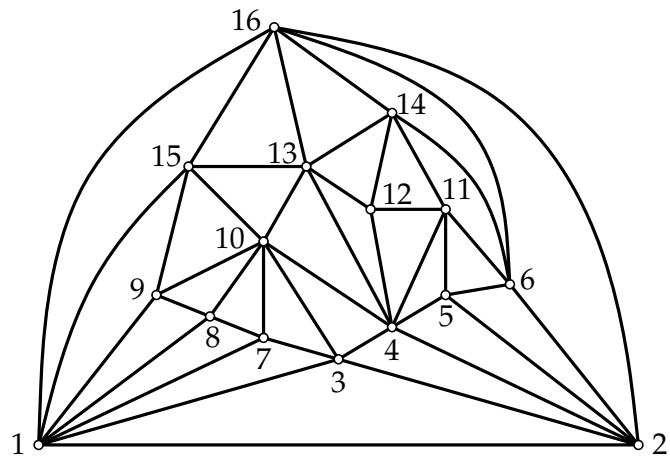
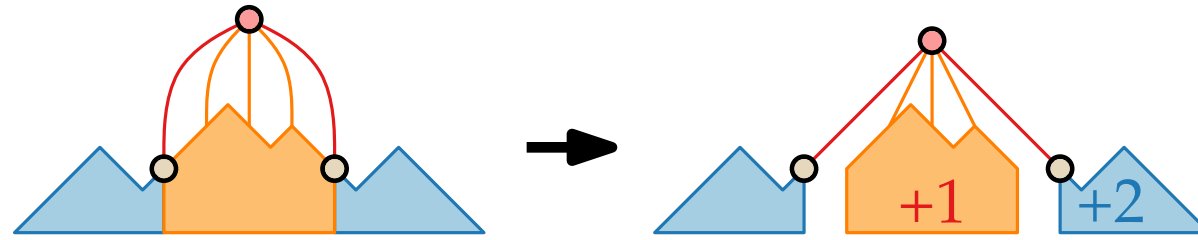


# Shift Method – Example





# Shift Method – Example



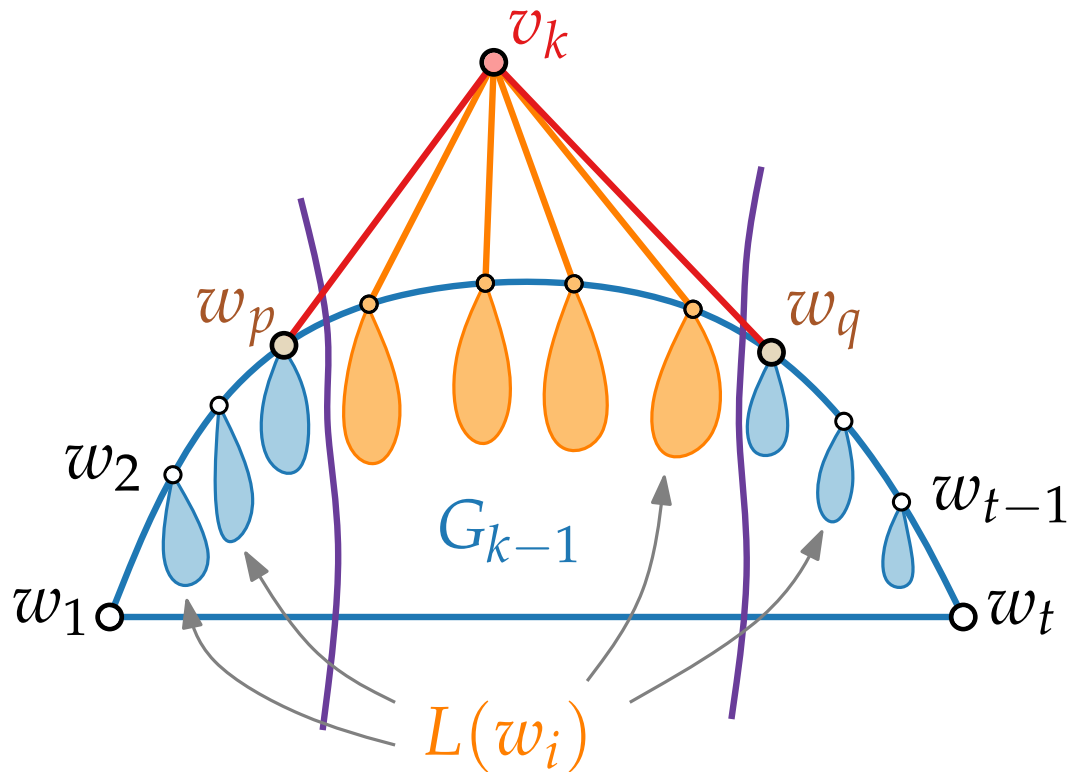
# Shift Method – Planarity

## Observations.

- Each internal vertex is **covered** exactly once.
- Covering relation defines a tree in  $G$
- and a forest in  $G_i, 1 \leq i \leq n - 1$ .

## Lemma.

Let  $0 < \delta_1 \leq \delta_2 \leq \dots \leq \delta_t \in \mathbb{N}$ , such that  $\delta_q - \delta_p \geq 2$  and even.



# Shift Method – Planarity

## Observations.

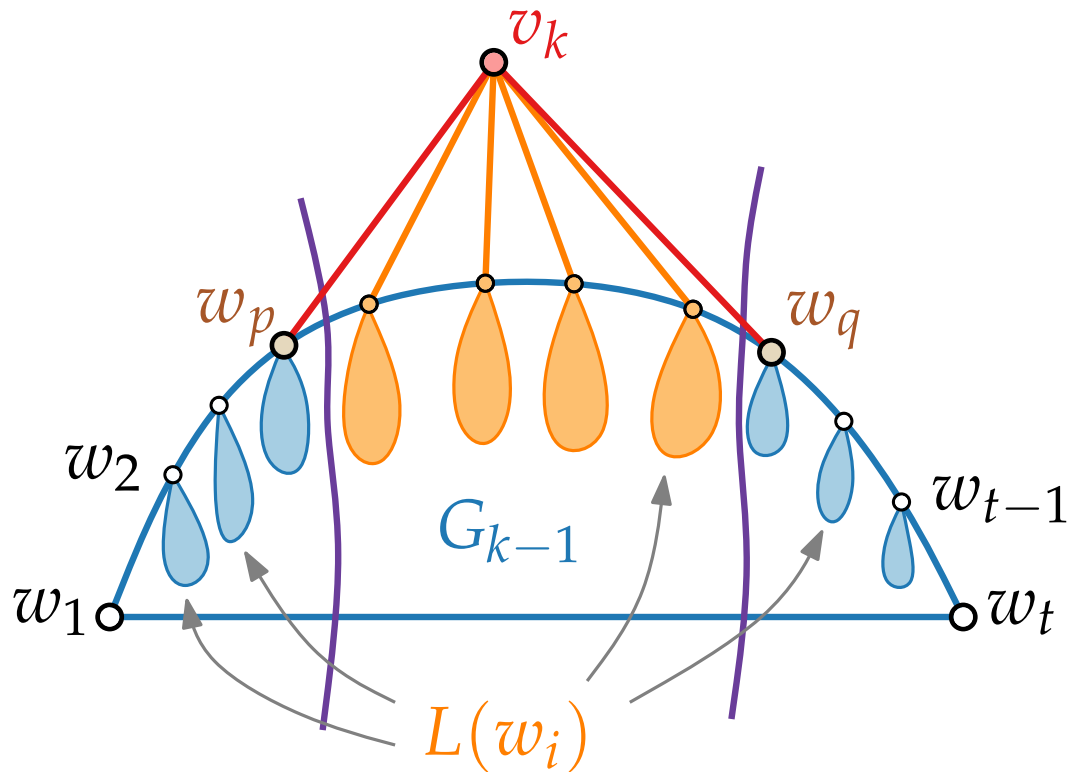
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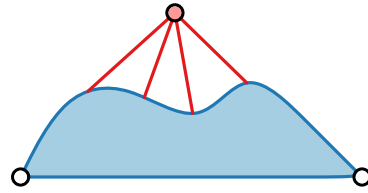
## Lemma.

Let  $0 < \delta_1 \leq \delta_2 \leq \dots \leq \delta_t \in \mathbb{N}$ , such that  $\delta_q - \delta_p \geq 2$  and even. If we shift  $L(w_i)$  by  $\delta_i$  to the right, then we get a planar straight-line drawing.

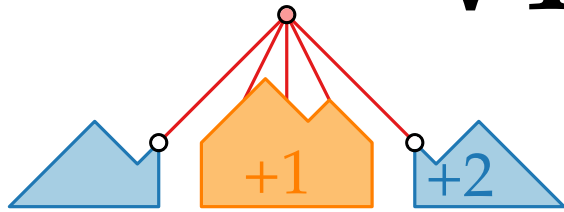
Proof by induction:

If  $G_{k-1}$  is drawn planar and straight-line, then so is  $G_k$ .



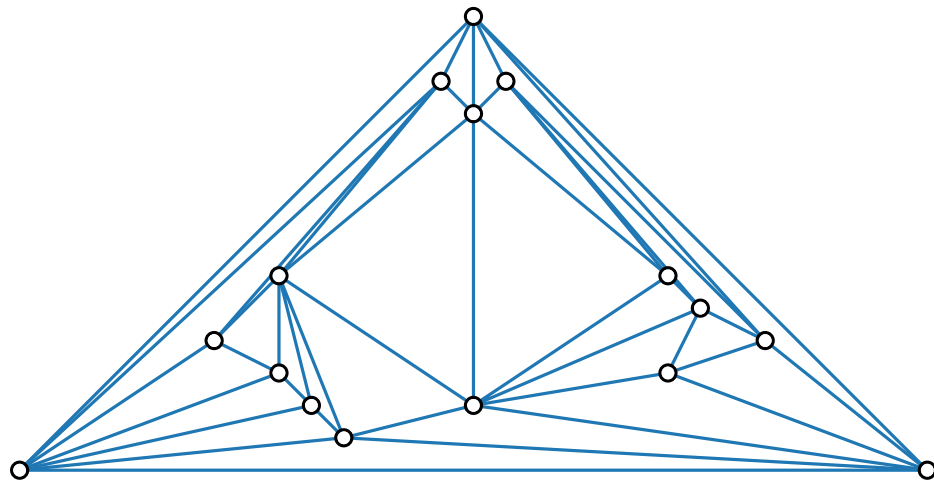


# Visualization of Graphs



## Lecture 4:

## Straight-Line Drawings of Planar Graphs I: Canonical Ordering and Shift Method



## Part V: Linear Time

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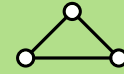
# Shift Method – Pseudocode

Let  $v_1, \dots, v_n$  be a canonical order of  $G$

**for**  $i = 1$  to  $3$  **do**

$L(v_i) \leftarrow \{v_i\}$

$P(v_1) \leftarrow (0, 0); P(v_2) \leftarrow (2, 0), P(v_3) \leftarrow (1, 1)$



**for**  $i = 4$  to  $n$  **do**

  Let  $w_1 = v_1, w_2, \dots, w_{t-1}, w_t = v_2$

  denote the boundary of  $G_{i-1}$

  and let  $w_p, \dots, w_q$  be the neighbours of  $v_i$

**for**  $\forall v \in \cup_{j=p+1}^{q-1} L(w_j)$  **do**                   //  $\mathcal{O}(n^2)$  in total

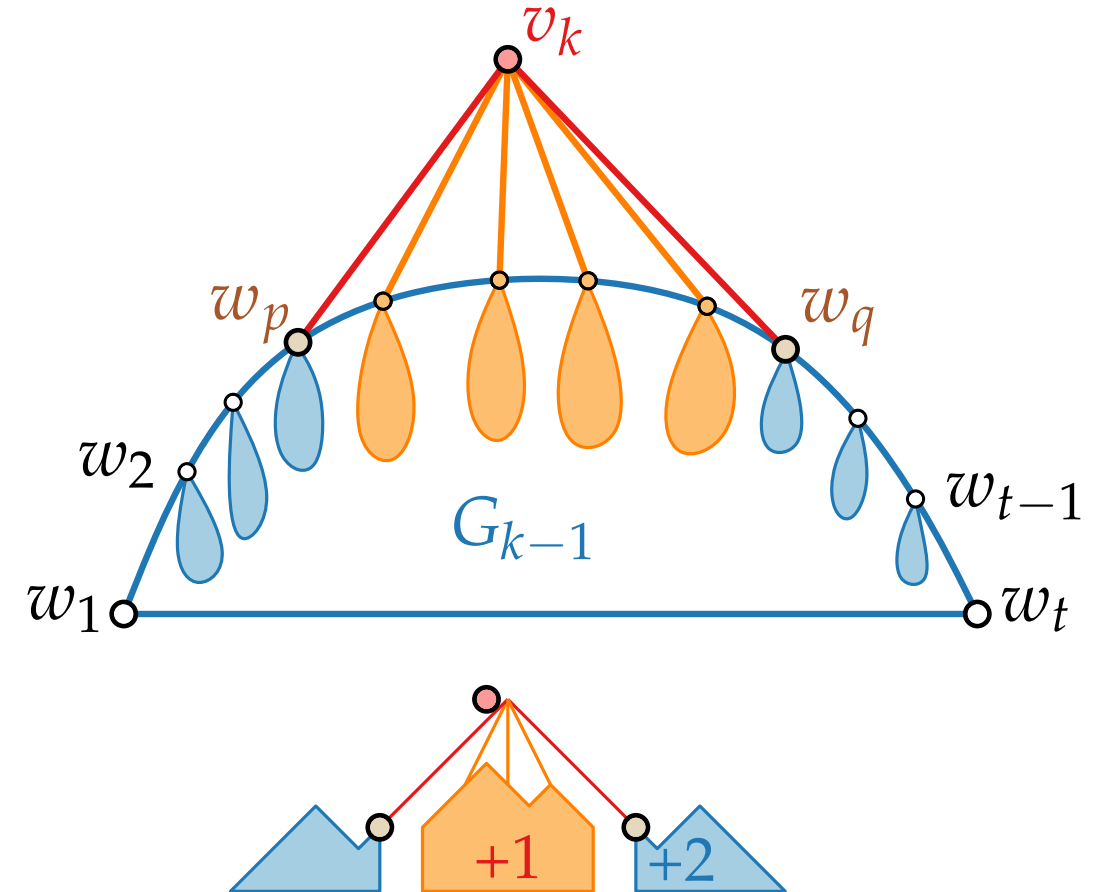
$x(v) \leftarrow x(v) + 1$

**for**  $\forall v \in \cup_{j=q}^t L(w_j)$  **do**                   //  $\mathcal{O}(n^2)$  in total

$x(v) \leftarrow x(v) + 2$

$P(v_i) \leftarrow$  intersection of  $+1/-1$  diagonals  
  through  $P(w_p)$  and  $P(w_q)$

$L(v_i) \leftarrow \cup_{j=p+1}^{q-1} L(w_j) \cup \{v_i\}$



**Running Time?**

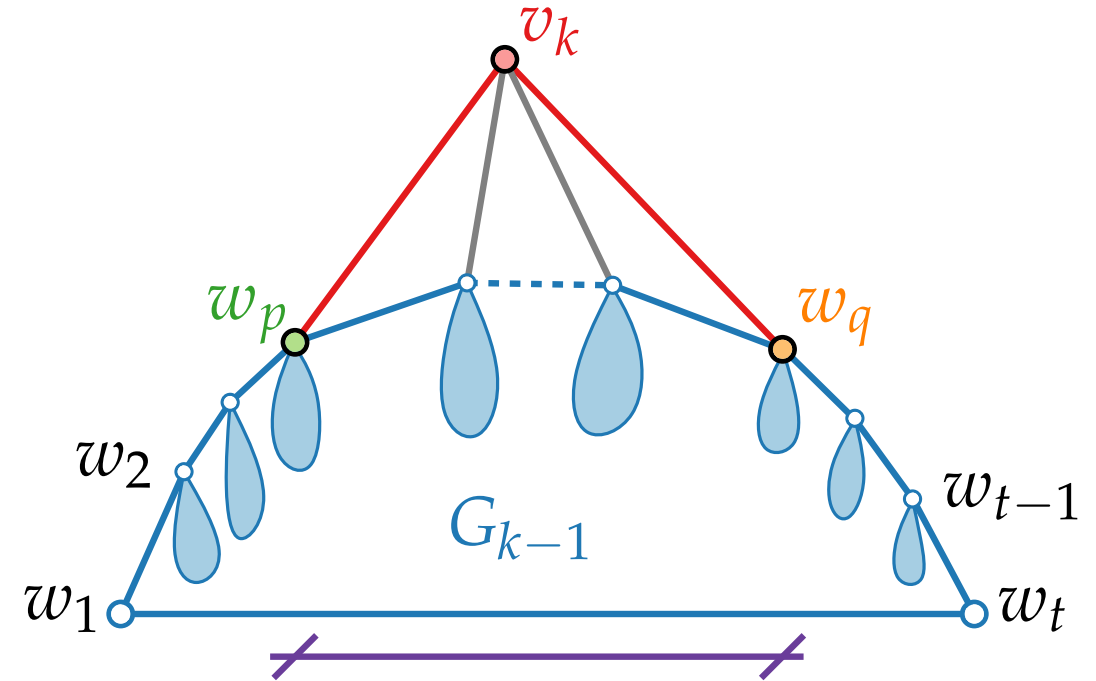
# Shift Method – Linear Time Implementation

## Idea 1.

To compute  $x(v_k)$  &  $y(v_k)$ ,  
we only need  $y(w_p)$  and  $y(w_q)$  and  $x(w_q) - x(w_p)$

## Idea 2.

Instead of storing explicit x-coordinates,  
we store x-distances.



$$(1) \quad x(v_k) = \frac{1}{2}(x(w_q) + x(w_p) + y(w_q) - y(w_p))$$

$$(2) \quad y(v_k) = \frac{1}{2}(x(w_q) - x(w_p) + y(w_q) + y(w_p))$$

# Shift Method – Linear Time Implementation

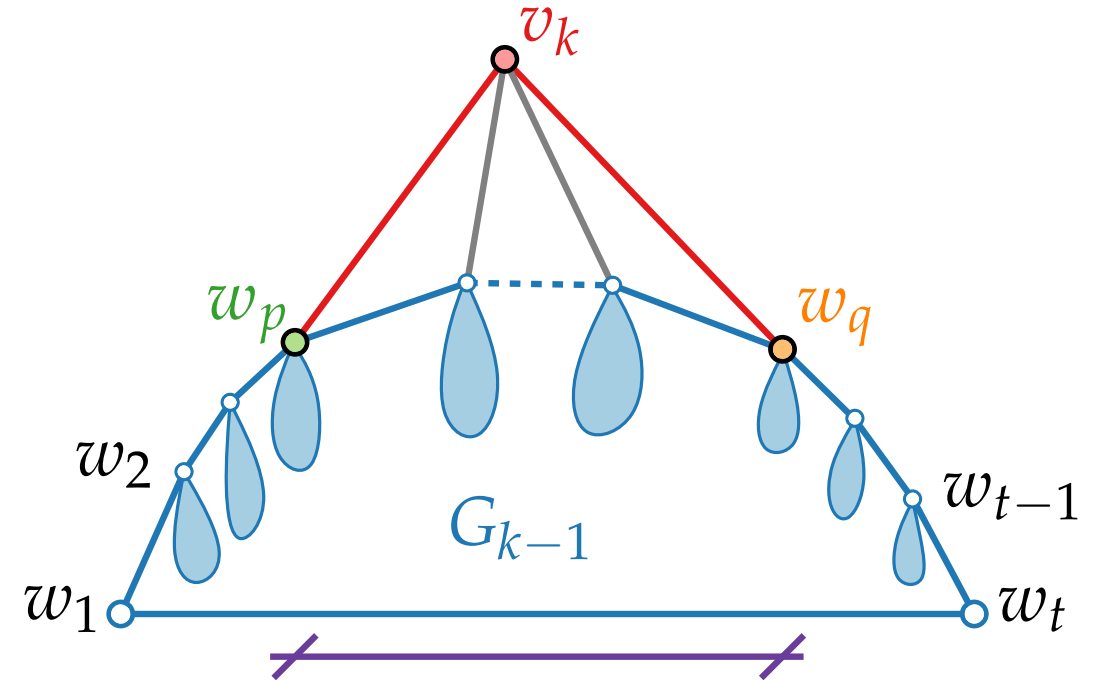
## Idea 1.

To compute  $x(v_k)$  &  $y(v_k)$ ,  
we only need  $y(w_p)$  and  $y(w_q)$  and  $x(w_q) - x(w_p)$

## Idea 2.

Instead of storing explicit x-coordinates,  
we store x-distances.

After x distance for  $v_n$  computed, use  
preorder traversal to compute all  
x-coordinates.



$$(1) \quad x(v_k) = \frac{1}{2}(x(w_q) + x(w_p) + y(w_q) - y(w_p))$$

$$(2) \quad y(v_k) = \frac{1}{2}(x(w_q) - x(w_p) + y(w_q) + y(w_p))$$

$$(3) \quad x(v_k) - x(w_p) = \frac{1}{2}(x(w_q) - x(w_p) + y(w_q) - y(w_p))$$

# Shift Method – Linear Time Implementation

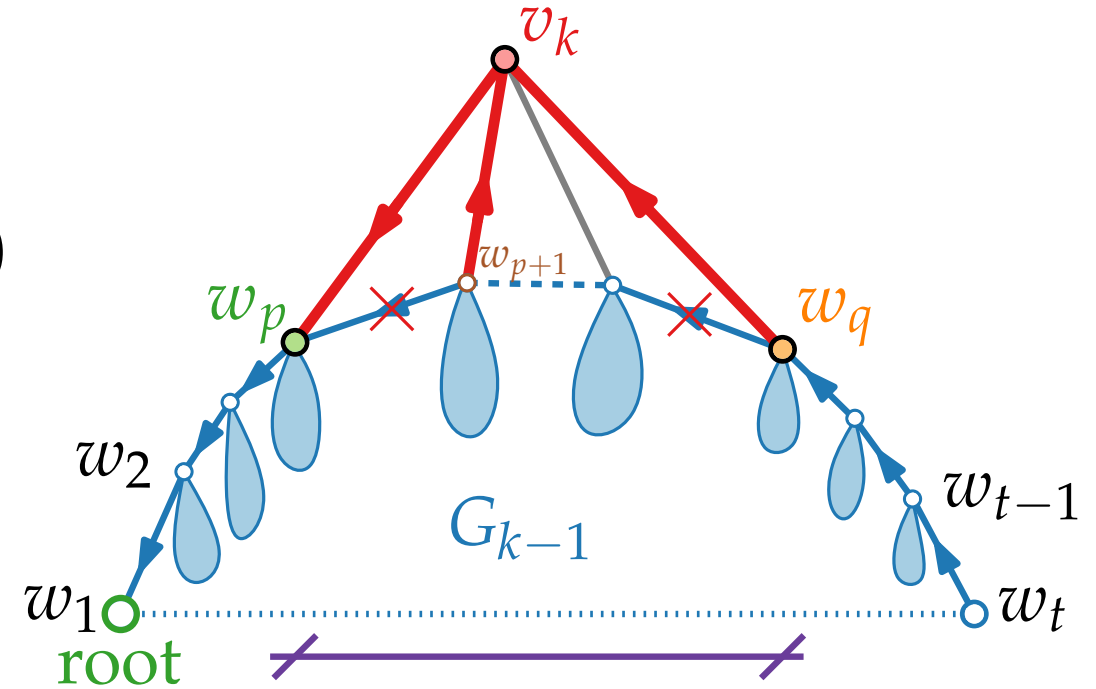
## Relative x-distance tree.

For each vertex  $v$  store

- x-offset  $\Delta_x(v)$  from parent
- y-coordinate  $y(v)$

## Calculations.

- $\Delta_x(w_{p+1})++$ ,  $\Delta_x(w_q)++$
- $\Delta_x(w_p, w_q) = \Delta_x(w_{p+1}) + \dots + \Delta_x(w_q)$
- $\Delta_x(v_k)$  by (3)      ■  $y(v_k)$  by (2)
- $\Delta_x(w_q) = \Delta_x(w_p, w_q) - \Delta_x(v_k)$
- $\Delta_x(w_{p+1}) = \Delta_x(w_{p+1}) - \Delta_x(v_k)$



$\mathcal{O}(n)$  in total

$$(1) \quad x(v_k) = \frac{1}{2}(x(w_q) + x(w_p) + y(w_q) - y(w_p))$$

$$(2) \quad y(v_k) = \frac{1}{2}(x(w_q) - x(w_p) + y(w_q) + y(w_p))$$

$$(3) \quad x(v_k) - x(w_p) = \frac{1}{2}(x(w_q) - x(w_p) + y(w_q) - y(w_p))$$



# Result & Variations

## Theorem.

[De Fraysseix, Pach, Pollack '90]

Every  $n$ -vertex planar graph has a planar straight-line drawing of size  $(2n - 4) \times (n - 2)$ . Such a drawing can be computed in  $O(n)$  time.

## Theorem.

[Chrobak & Kant '97]

Every  $n$ -vertex 3-connected planar graph has a planar straight-line drawing of size  $(n - 2) \times (n - 2)$  where all faces are drawn convex. Such a drawing can be computed in  $O(n)$  time.

## Theorem.

[Brandenburg '08]

Every  $n$ -vertex planar graph has a planar straight-line drawing of size  $\frac{4}{3}n \times \frac{2}{3}n$ . Such a drawing can be computed in  $O(n)$  time.