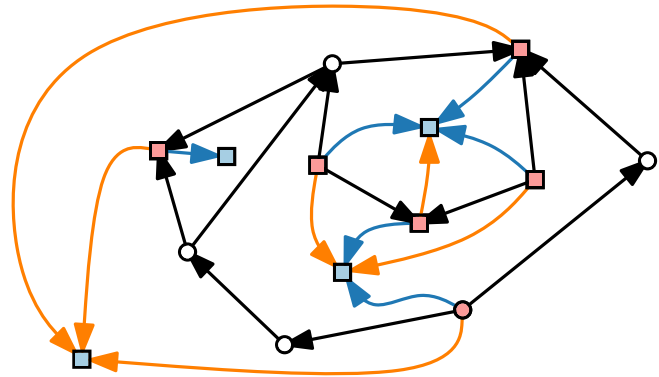
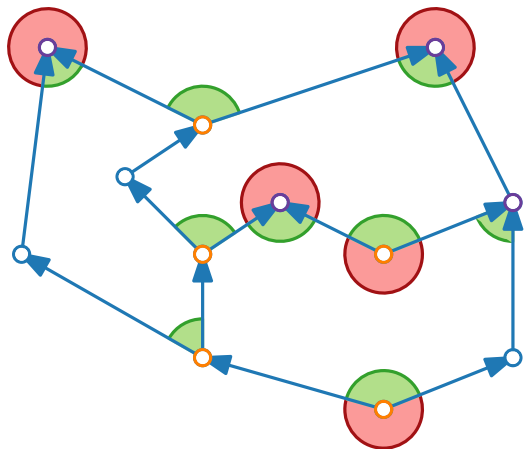


Visualization of Graphs



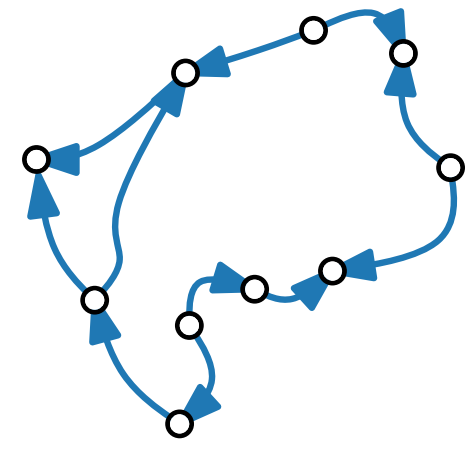
Lecture 7: Upward Planar Drawings



Part I: Characterization

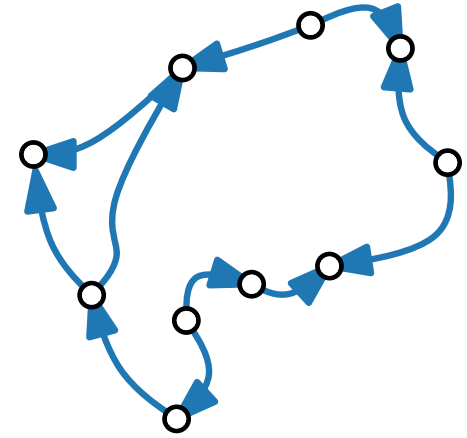
Philipp Kindermann

Upward Planar Drawings – Motivation



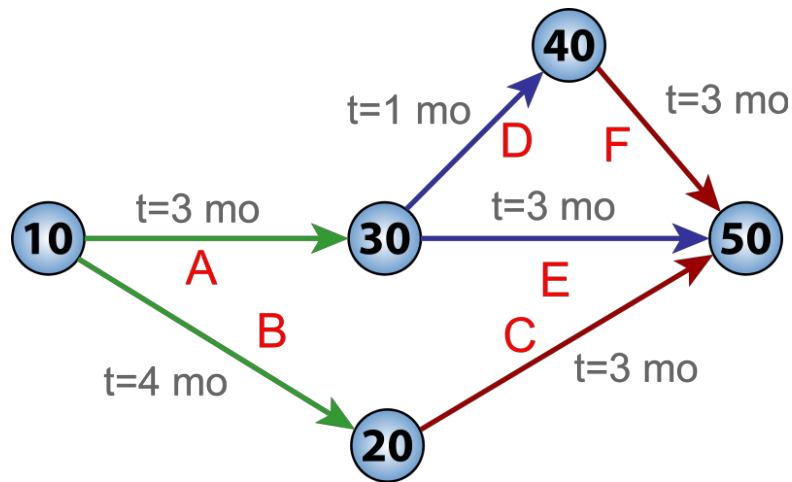
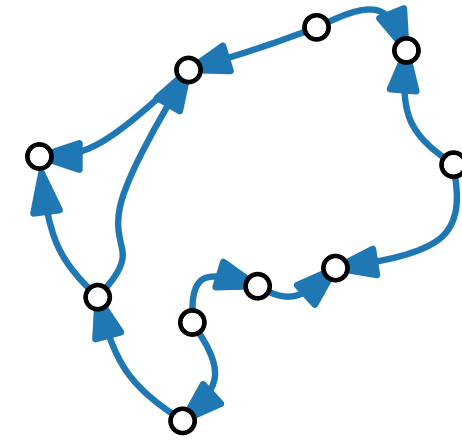
Upward Planar Drawings – Motivation

- What may the direction of edges in a digraph represent?



Upward Planar Drawings – Motivation

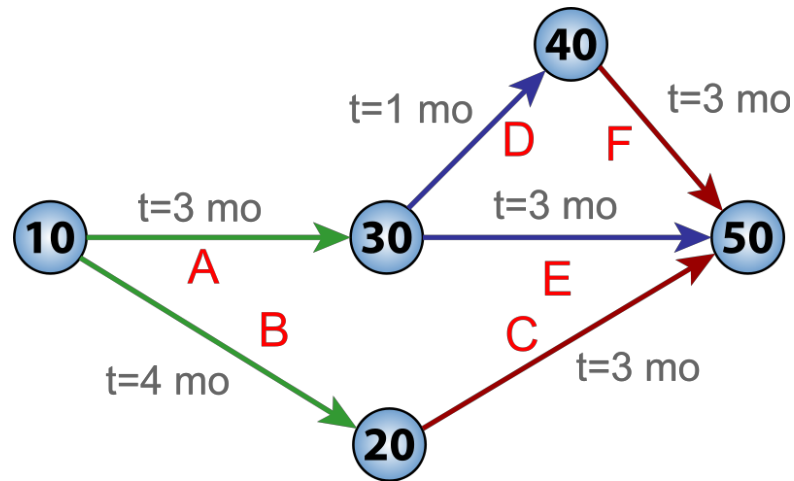
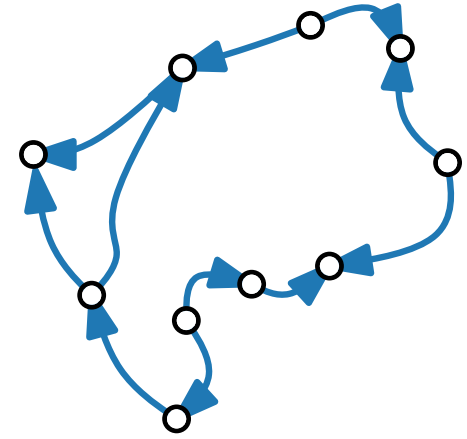
- What may the direction of edges in a digraph represent?
 - Time



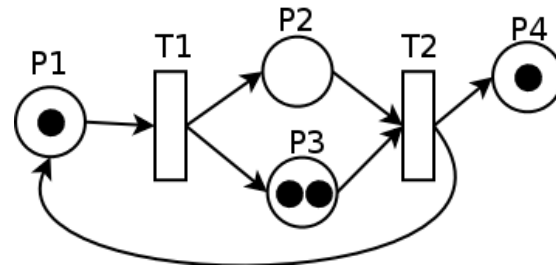
PERT diagram

Upward Planar Drawings – Motivation

- What may the direction of edges in a digraph represent?
 - Time
 - Flow



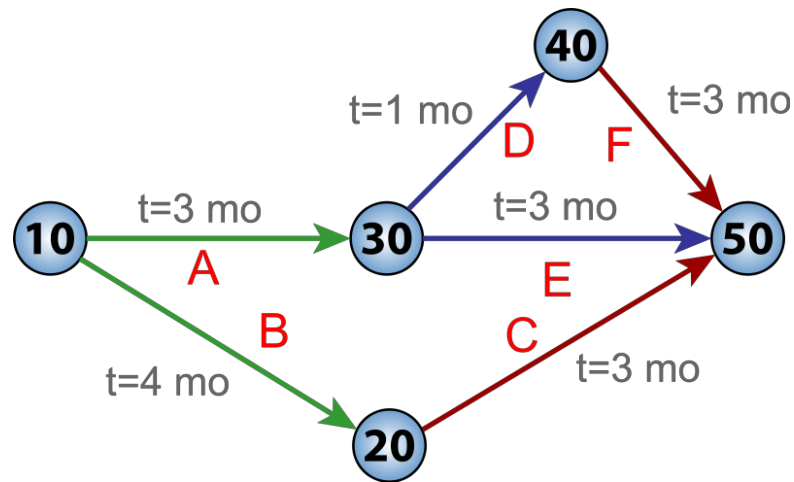
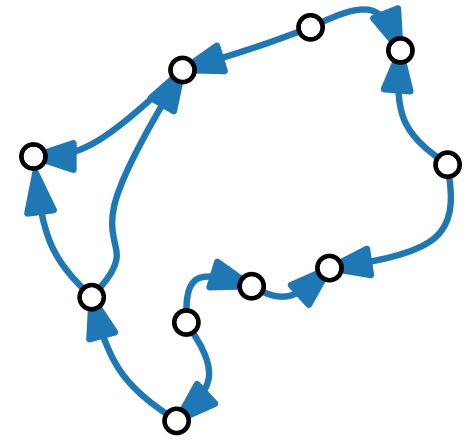
PERT diagram



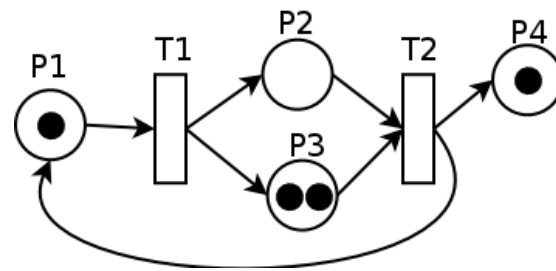
Petri net

Upward Planar Drawings – Motivation

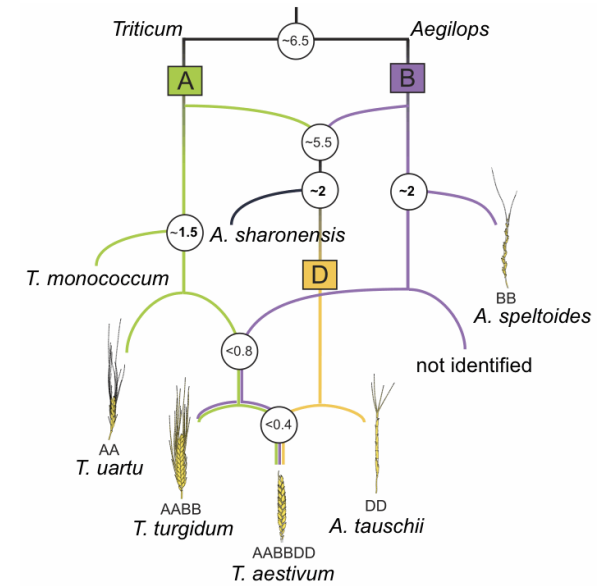
- What may the direction of edges in a digraph represent?
 - Time
 - Flow
 - Hierarchy



PERT diagram



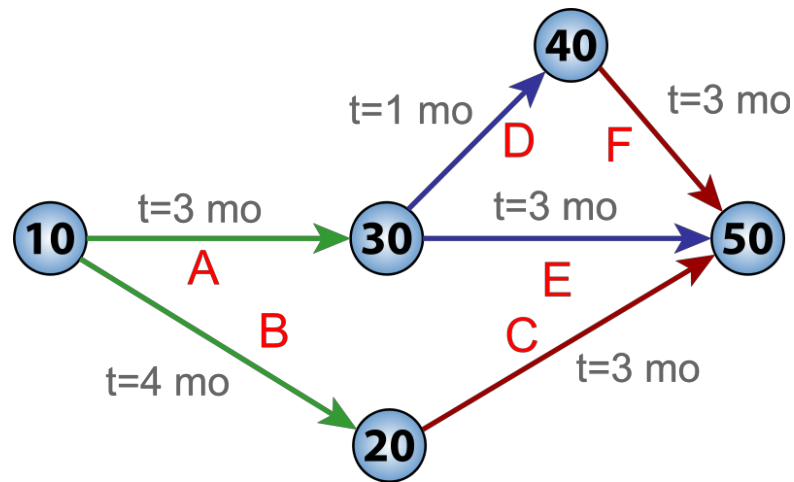
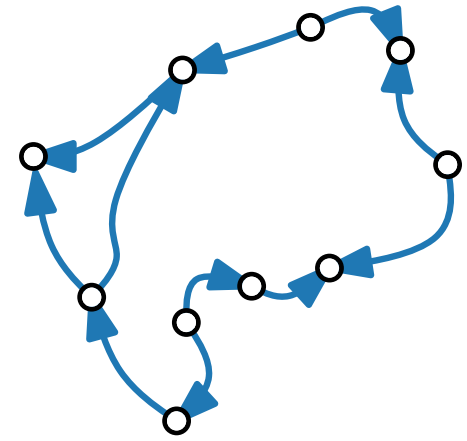
Petri net



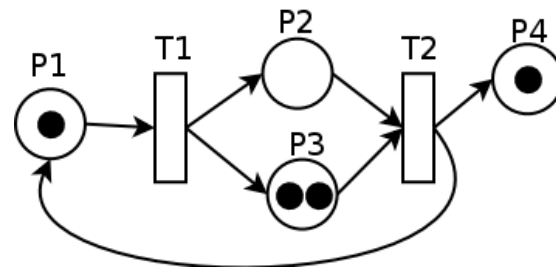
Phylogenetic network

Upward Planar Drawings – Motivation

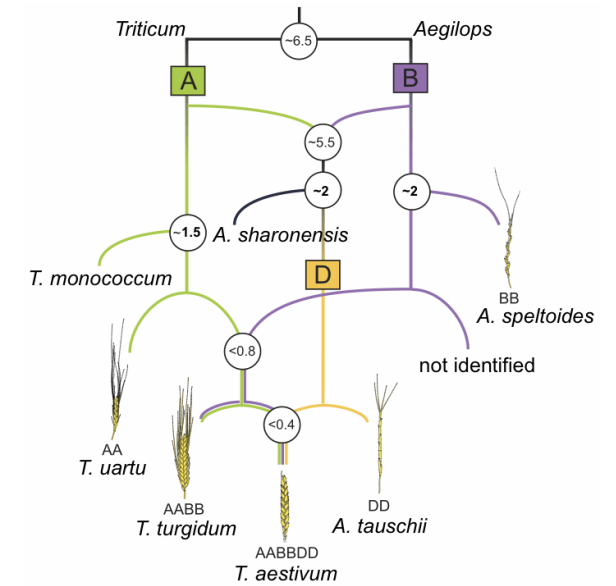
- What may the direction of edges in a digraph represent?
 - Time
 - Flow
 - Hierarchy
 - ...



PERT diagram



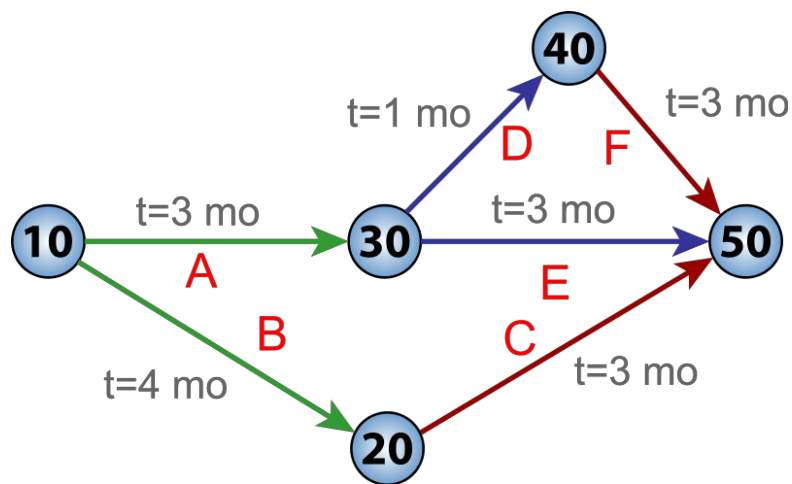
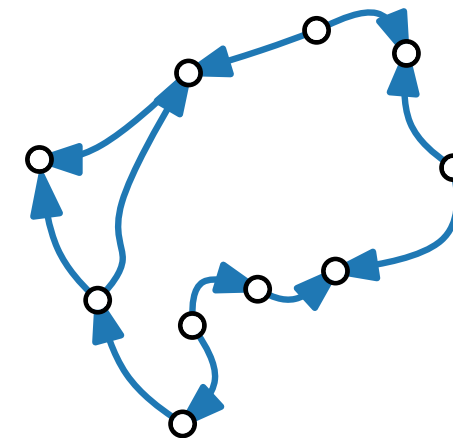
Petri net



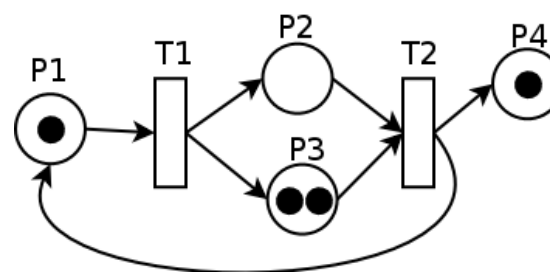
Phylogenetic network

Upward Planar Drawings – Motivation

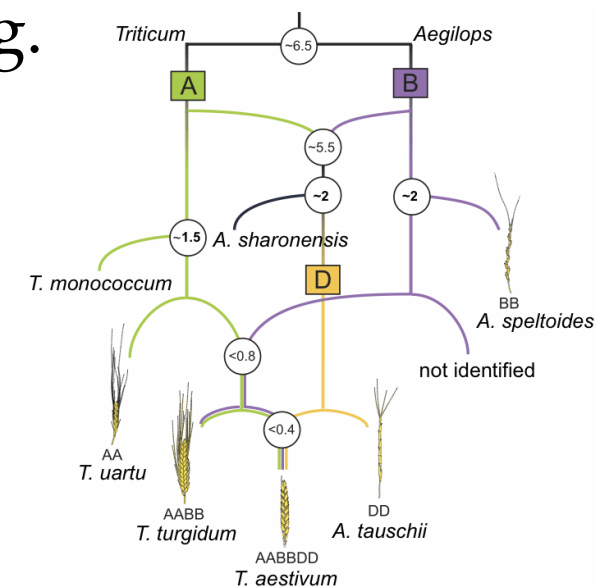
- What may the direction of edges in a digraph represent?
 - Time
 - Flow
 - Hierarchy
 - ...
- Would be nice to have general direction preserved in drawing.



PERT diagram



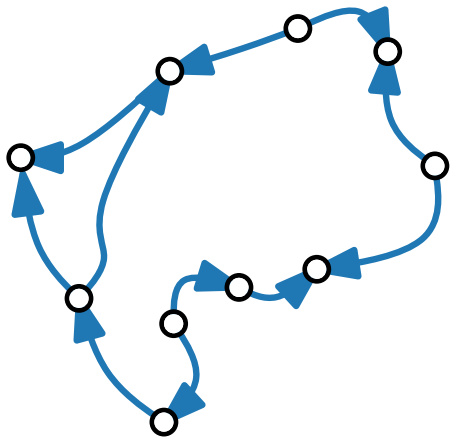
Petri net



Phylogenetic network

Upward Planar Drawings – Definition

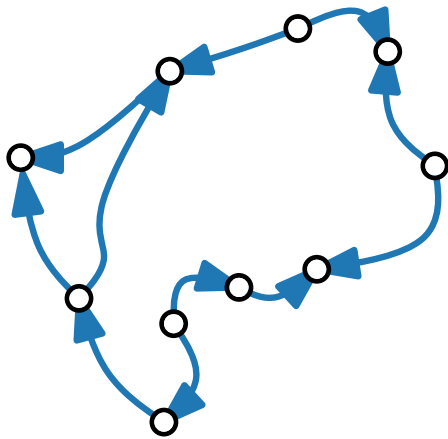
A directed graph $G = (V, E)$ is **upward planar** when it admits a drawing Γ that is



Upward Planar Drawings – Definition

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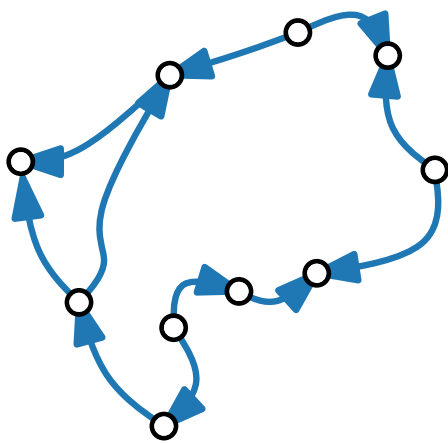
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Upward Planar Drawings – Definition

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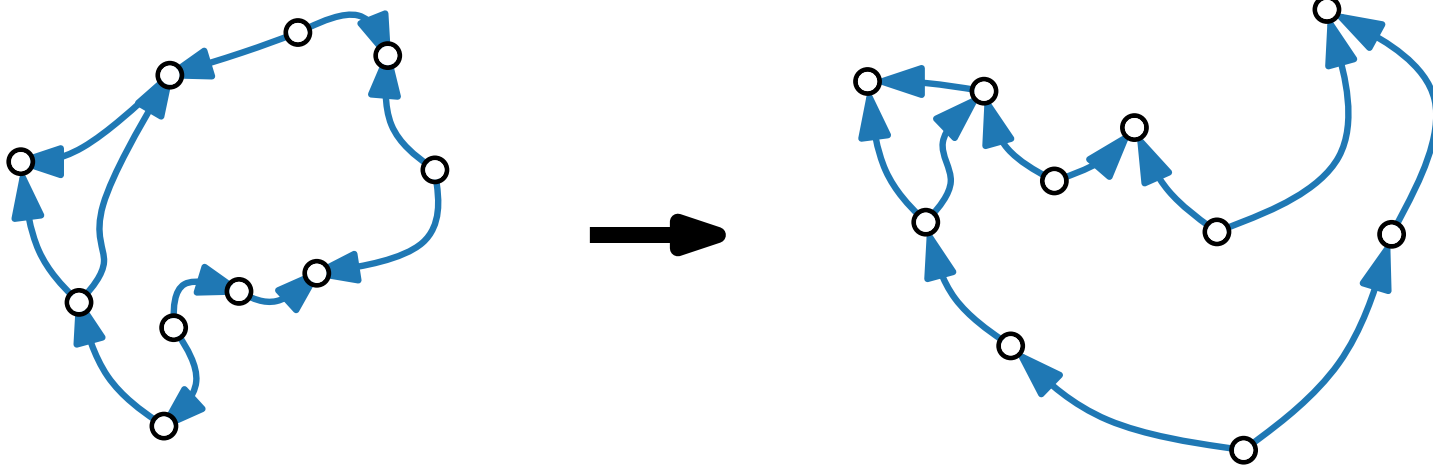
- planar and
- where each edge is drawn as an upward, y-monotone curve.



Upward Planar Drawings – Definition

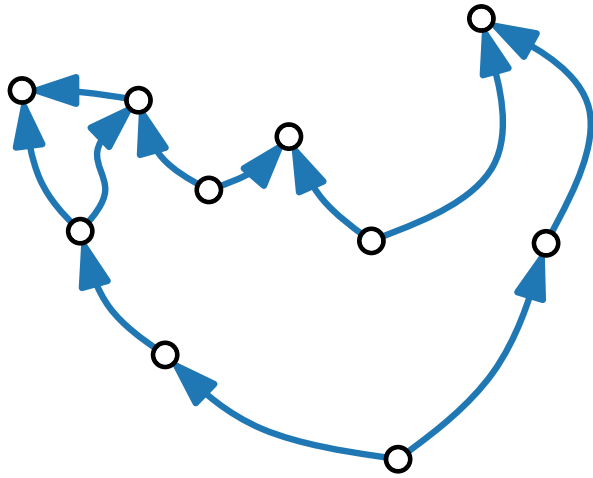
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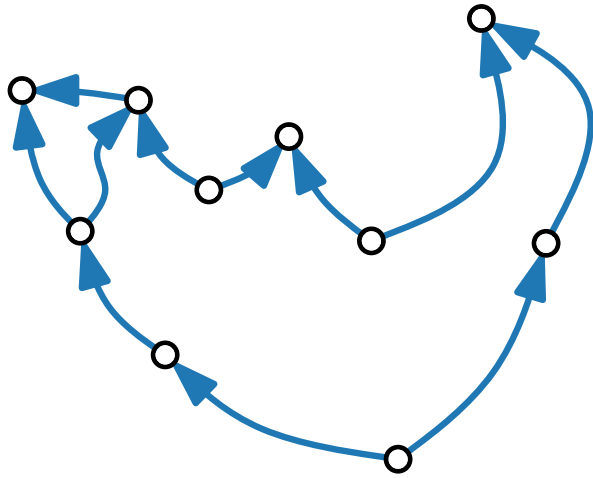
Upward Planarity – Necessary Conditions

- For a digraph G to be upward planar, it has to be:



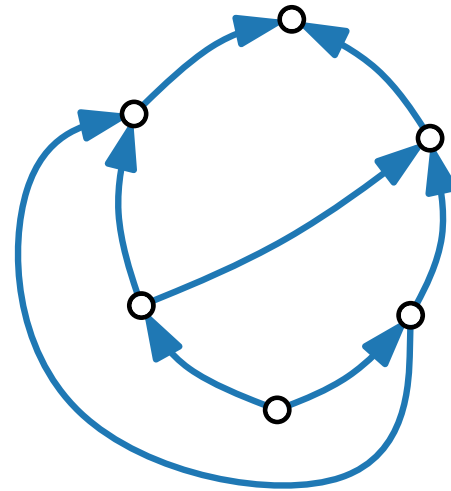
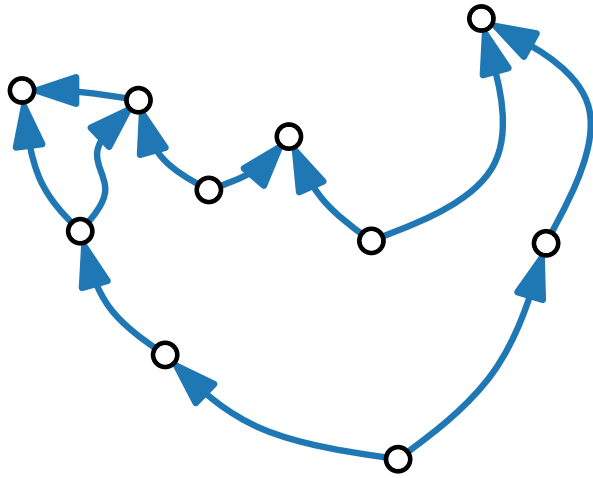
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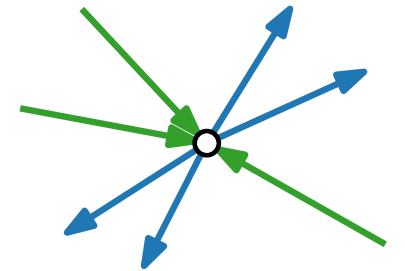
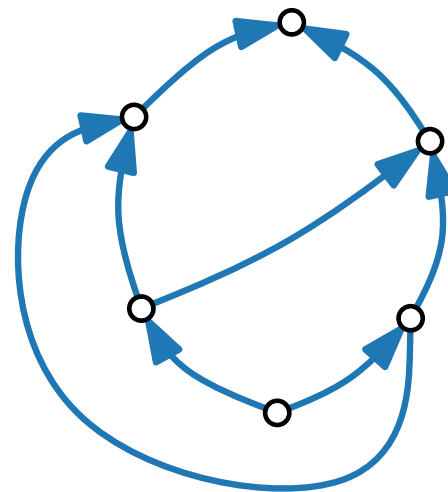
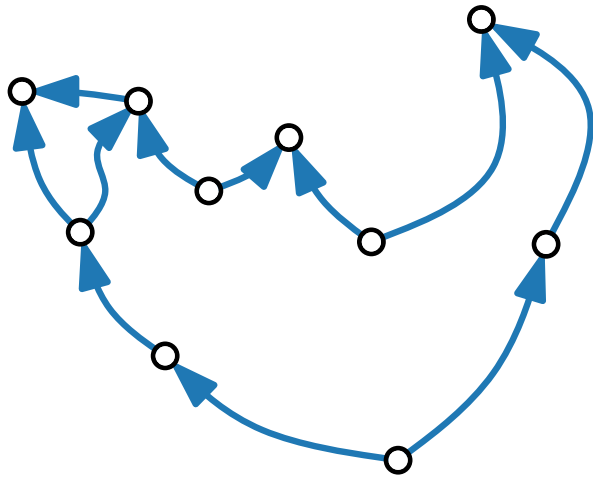
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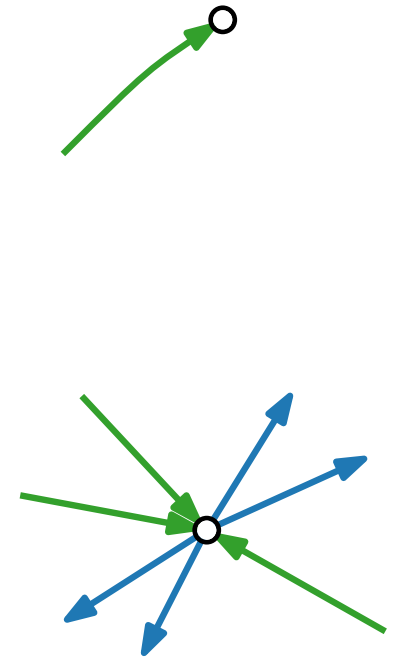
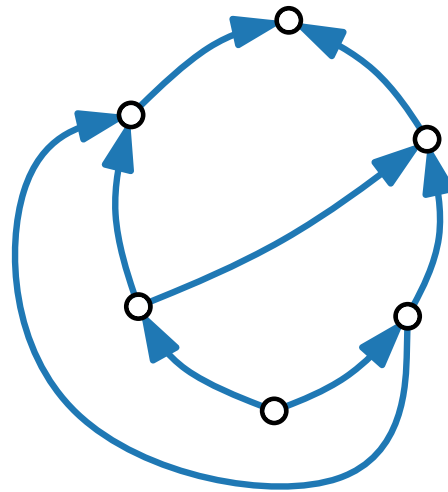
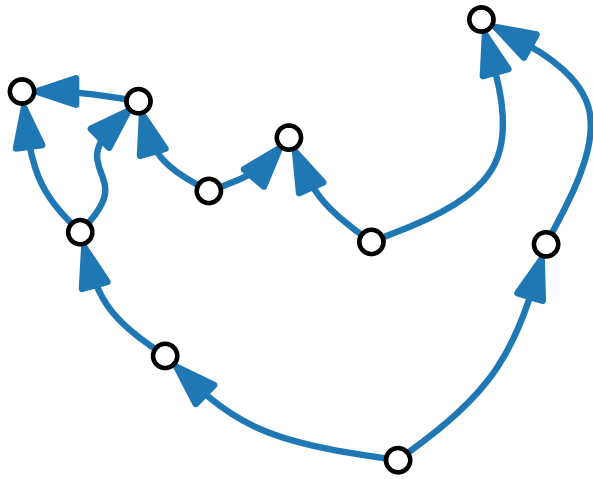
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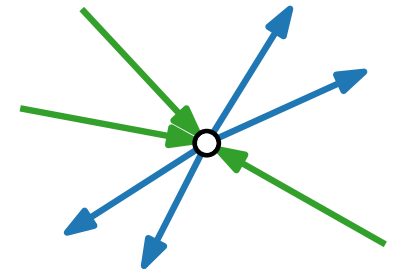
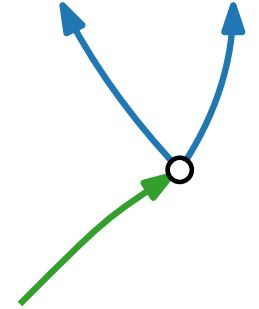
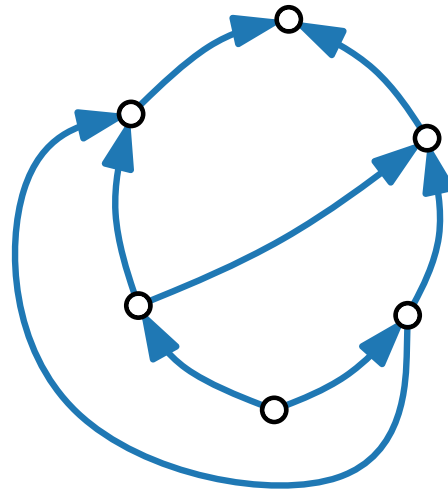
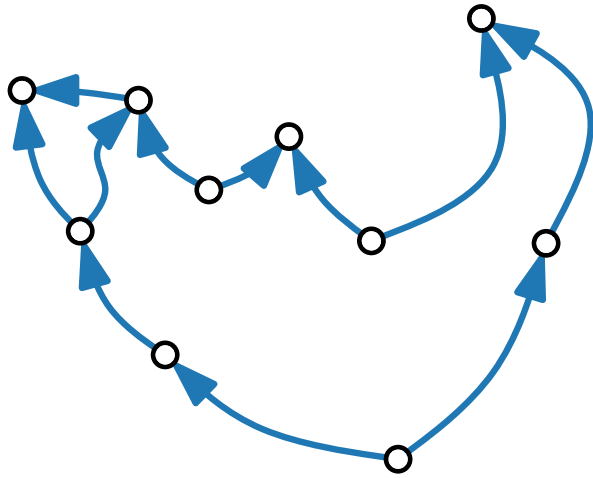
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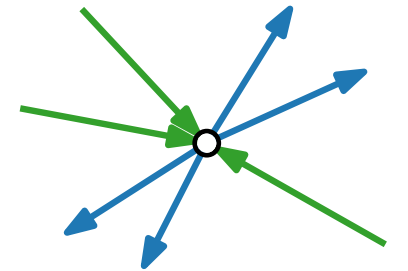
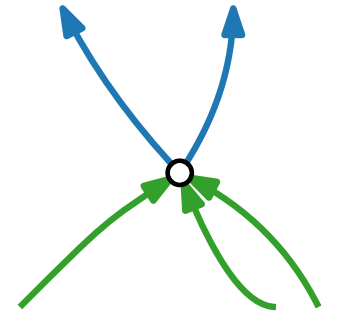
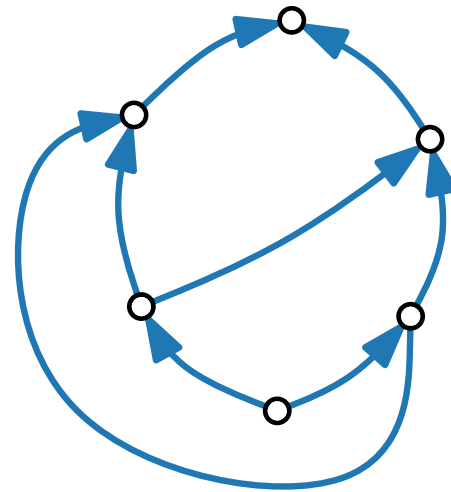
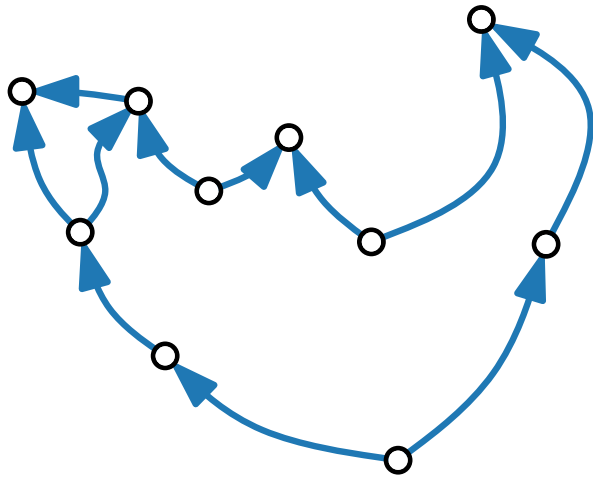
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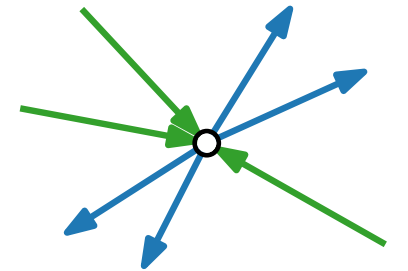
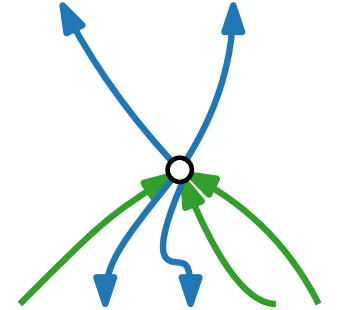
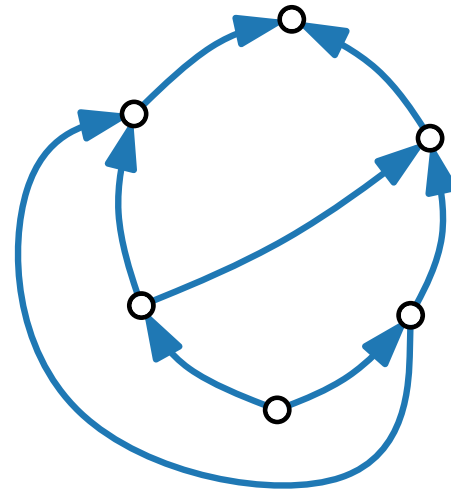
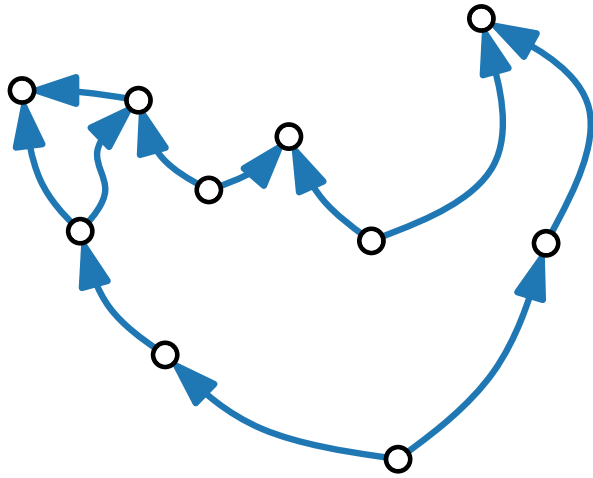
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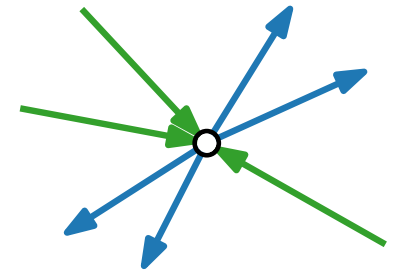
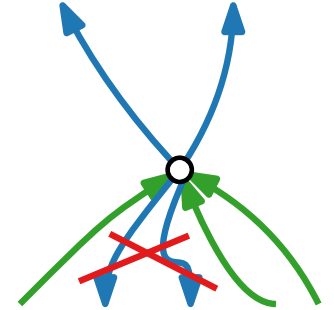
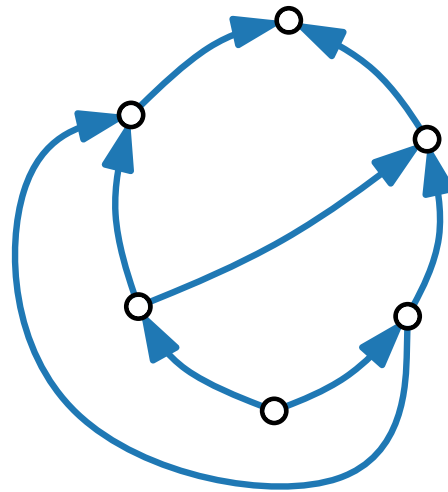
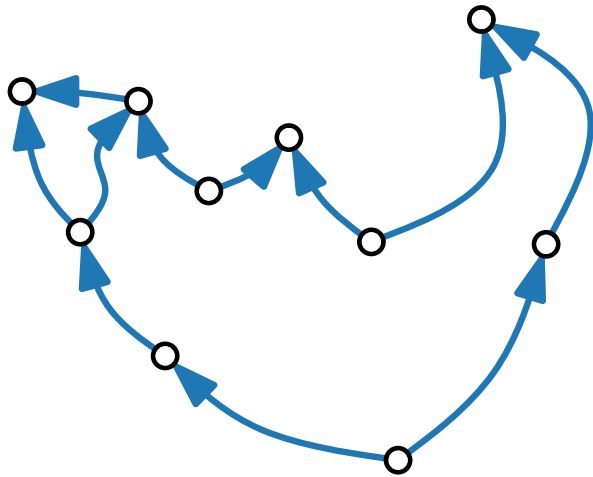
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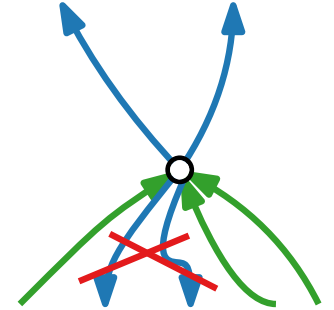
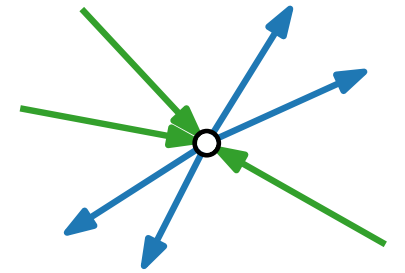
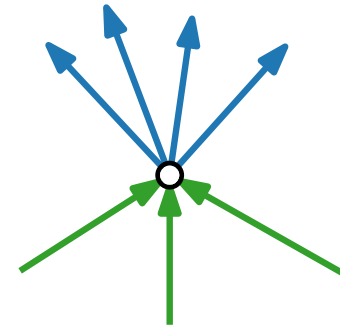
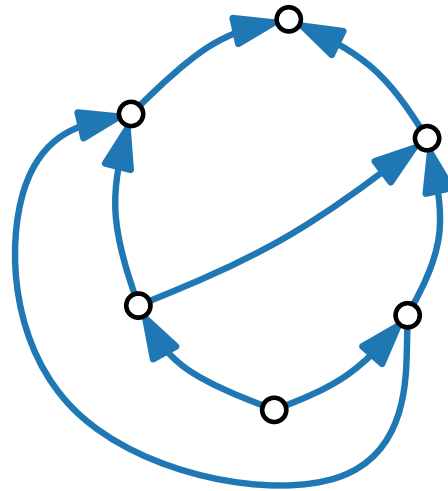
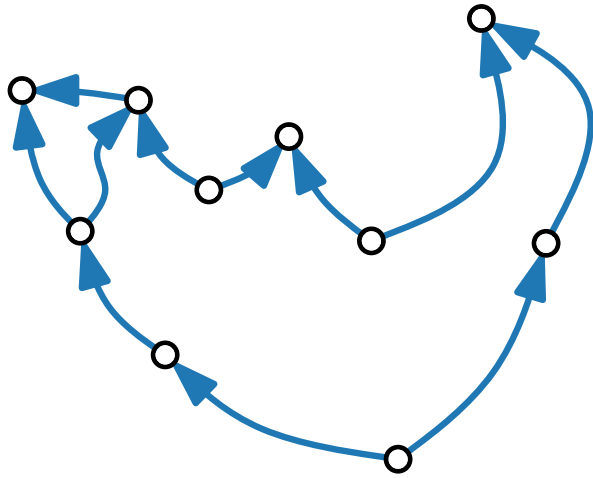
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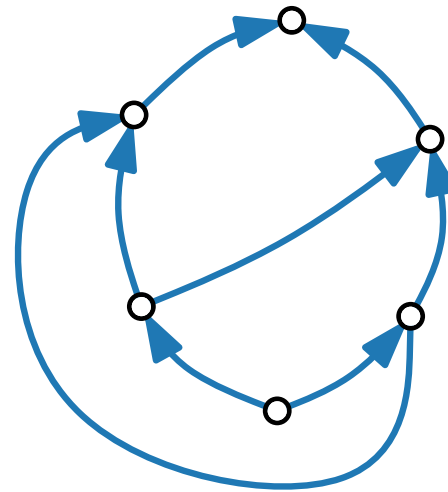
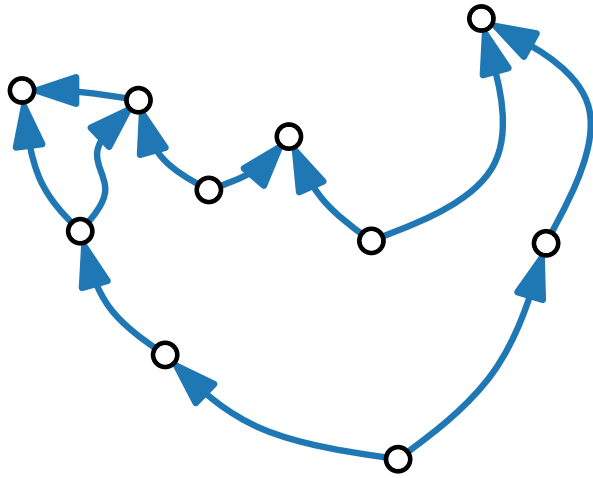
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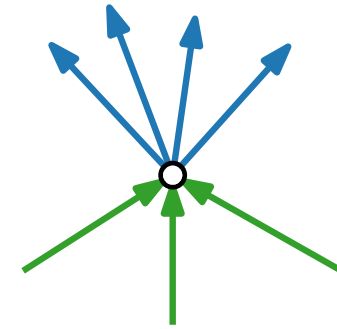


Upward Planarity – Necessary Conditions

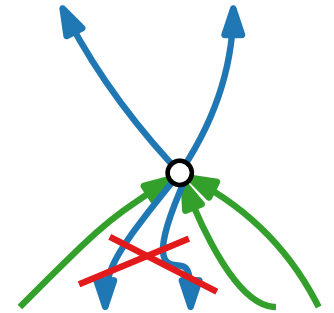
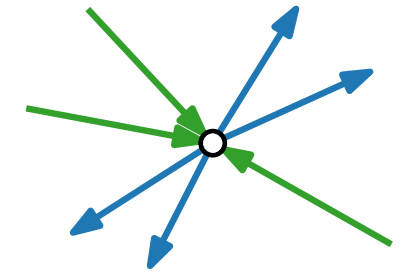
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bimodal vertex

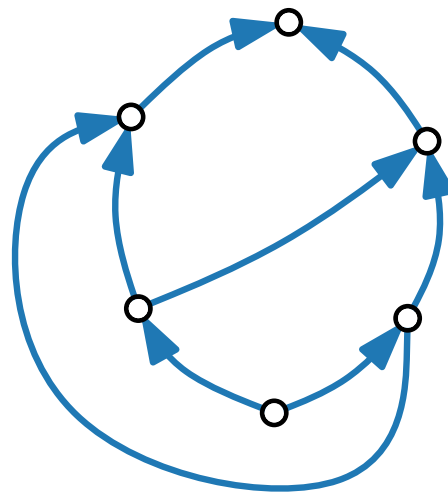
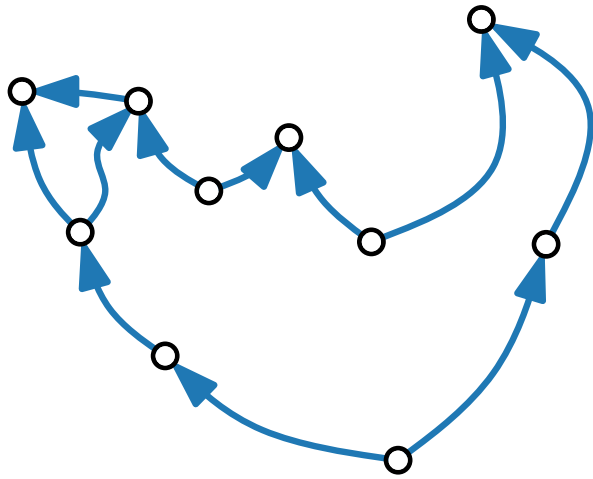


not bimodal

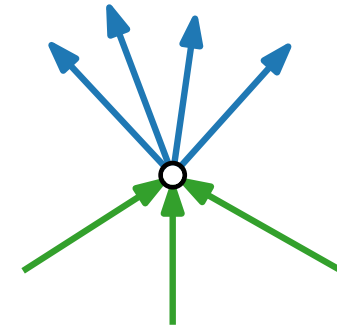


Upward Planarity – Necessary Conditions

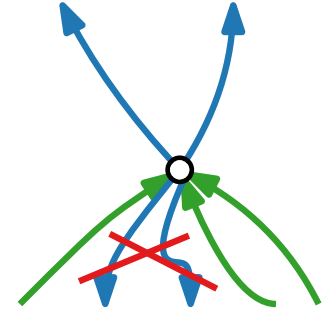
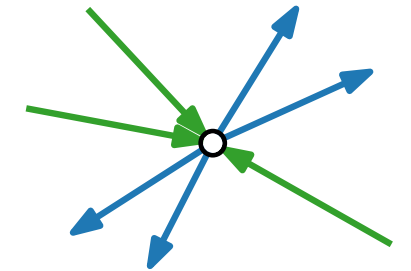
- For a digraph G to be upward planar, it has to be:
 - planar
 - acyclic
 - bimodal



bimodal vertex

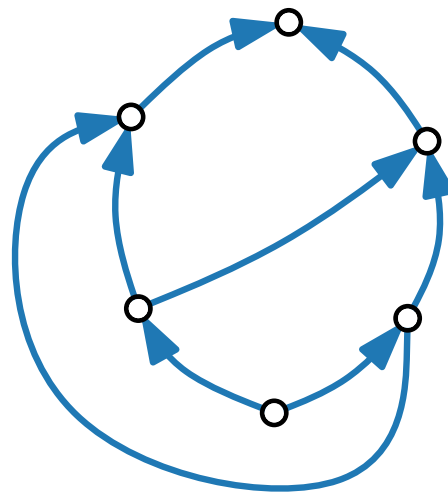
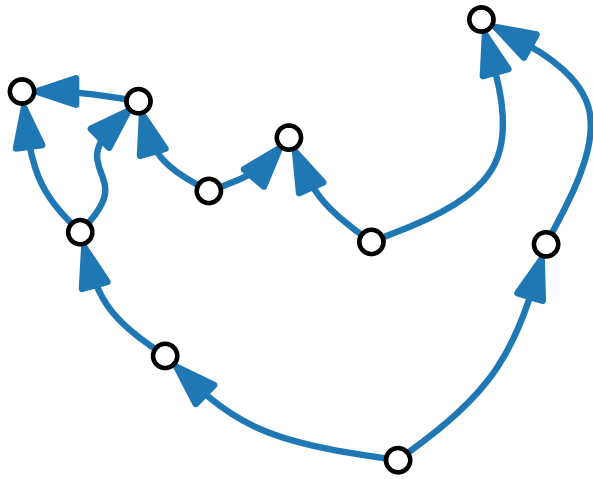


not bimodal

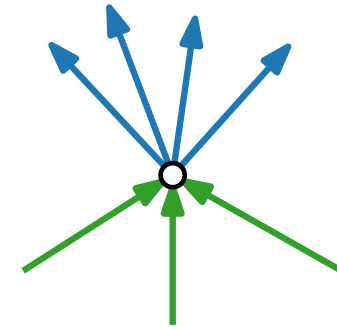


Upward Planarity – Necessary Conditions

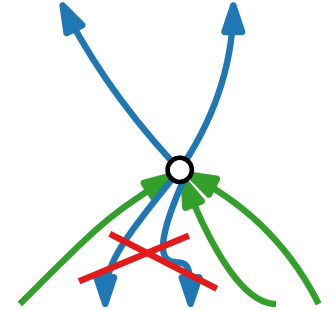
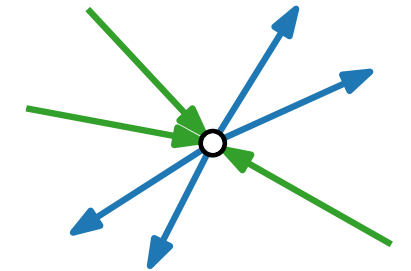
- For a digraph G to be upward planar, it has to be:
 - planar
 - acyclic
 - bimodal
- ...but these conditions are *not sufficient*.



bimodal vertex



not bimodal



Upward Planarity – Characterization

Theorem 1. [Kelly 1987, Di Battista & Tamassia 1988]
For a digraph G the following statements are equivalent:

Upward Planarity – Characterization

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For a digraph G the following statements are equivalent:

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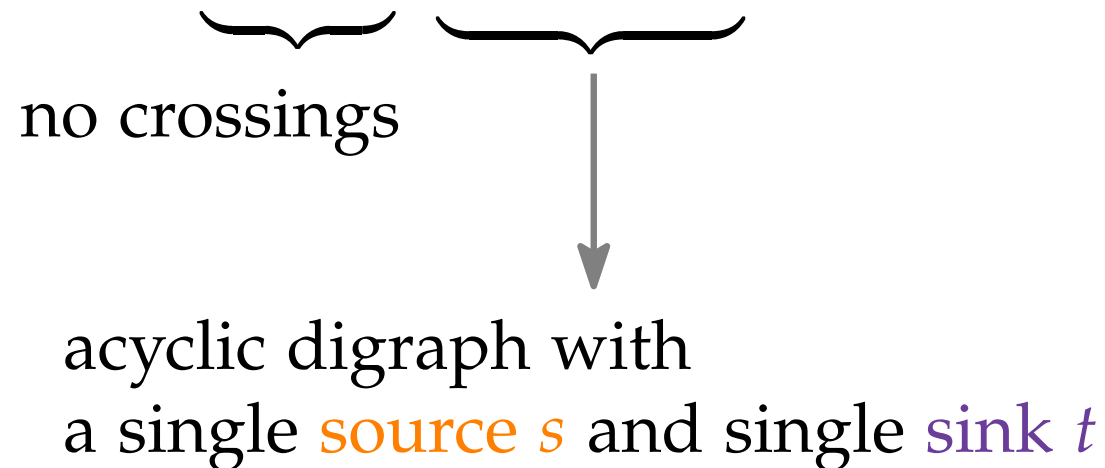
no crossings

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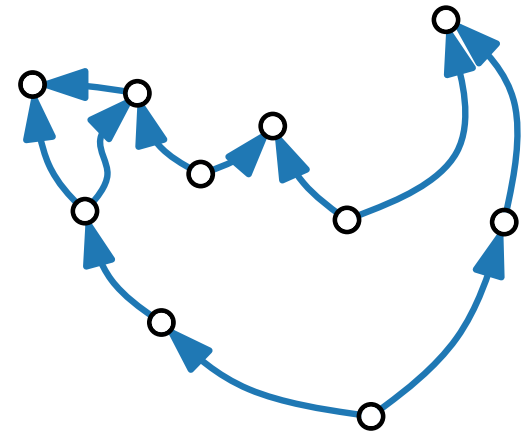
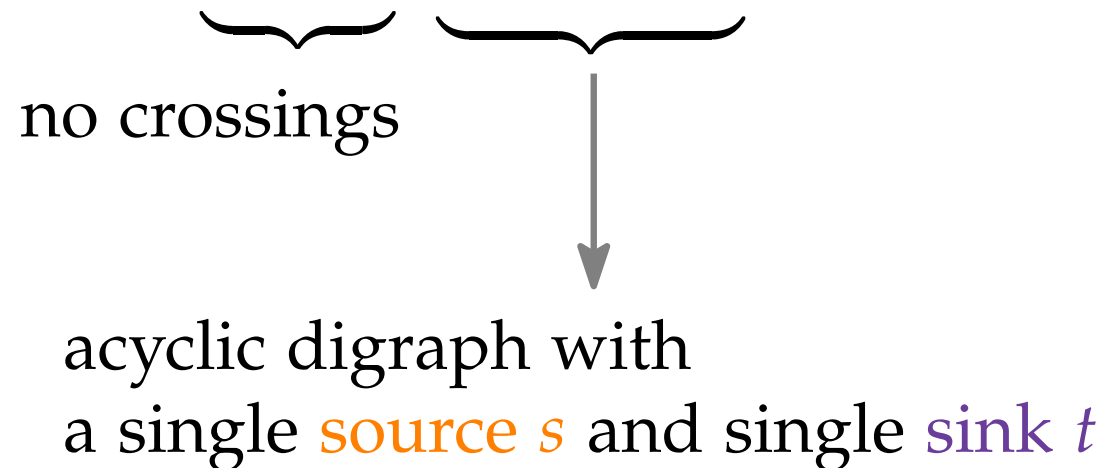


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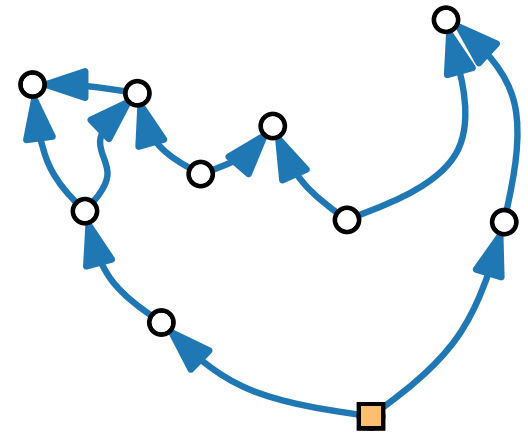
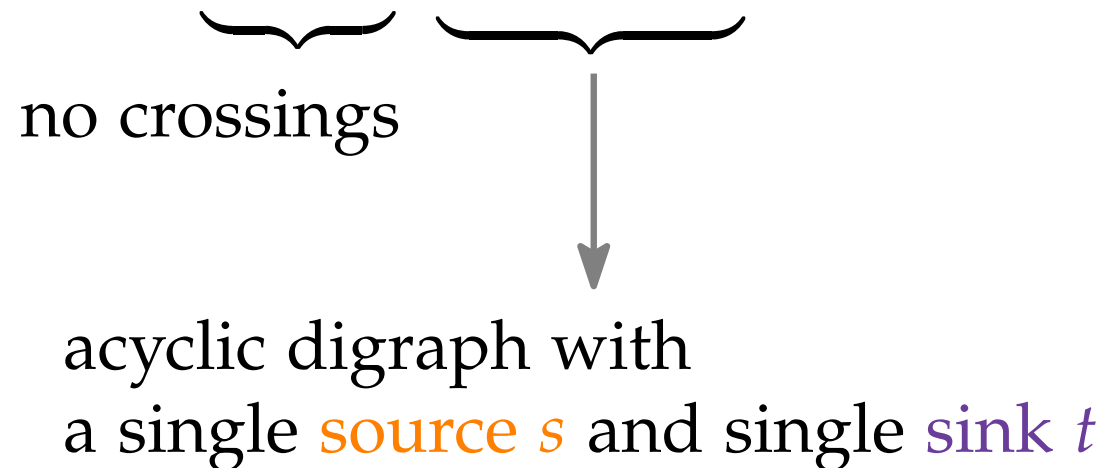


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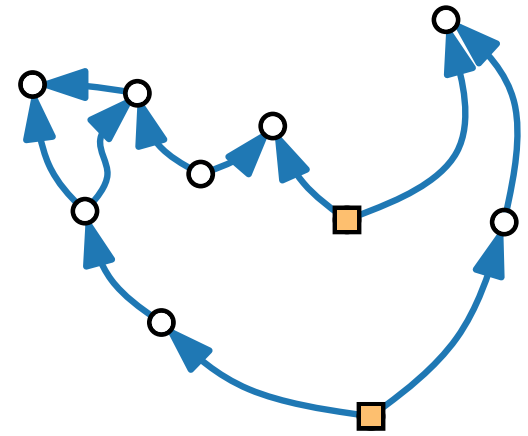
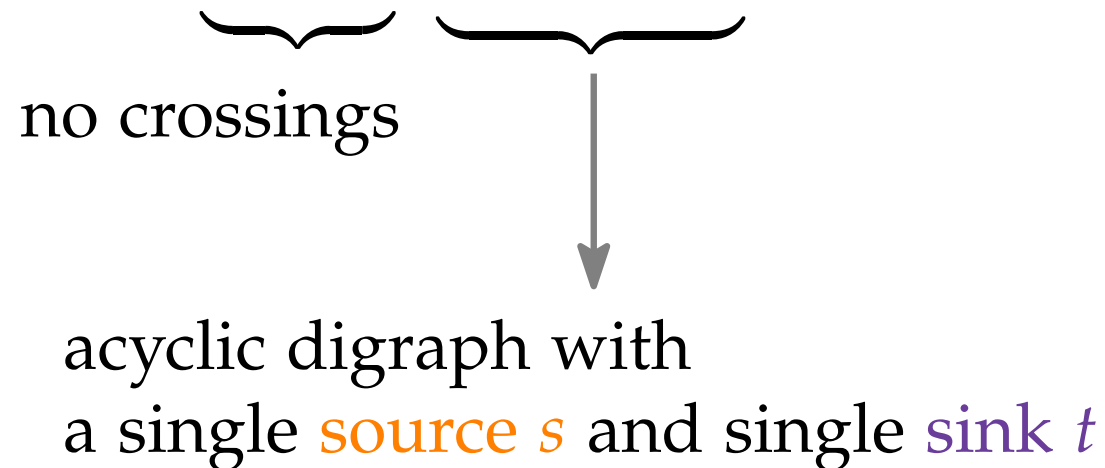


Upward Planarity – Characterization

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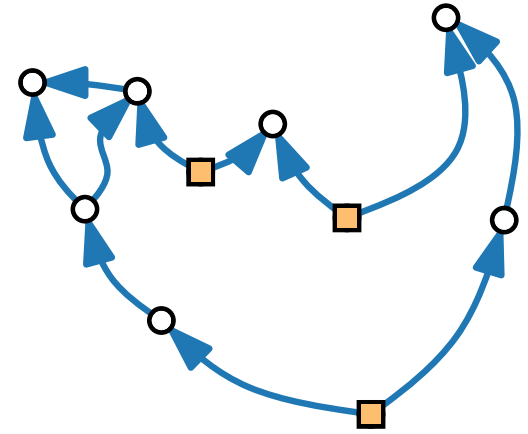
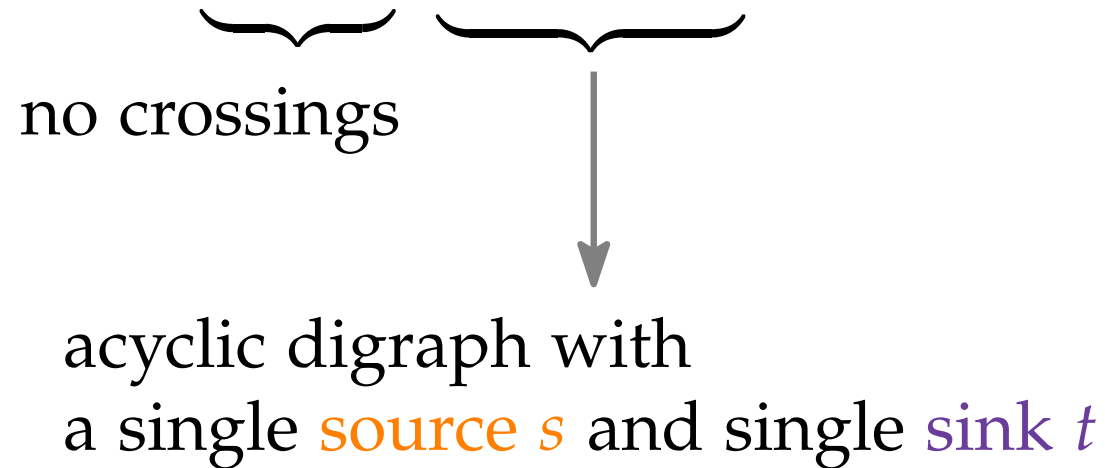


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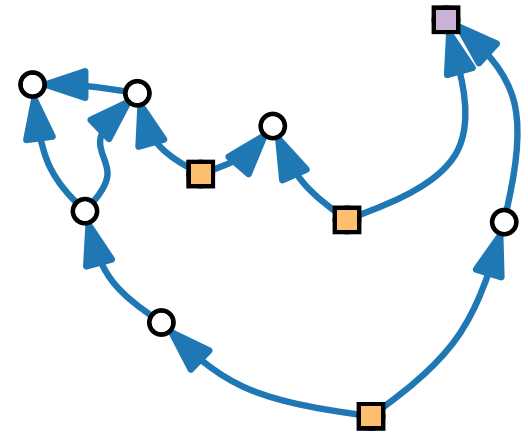
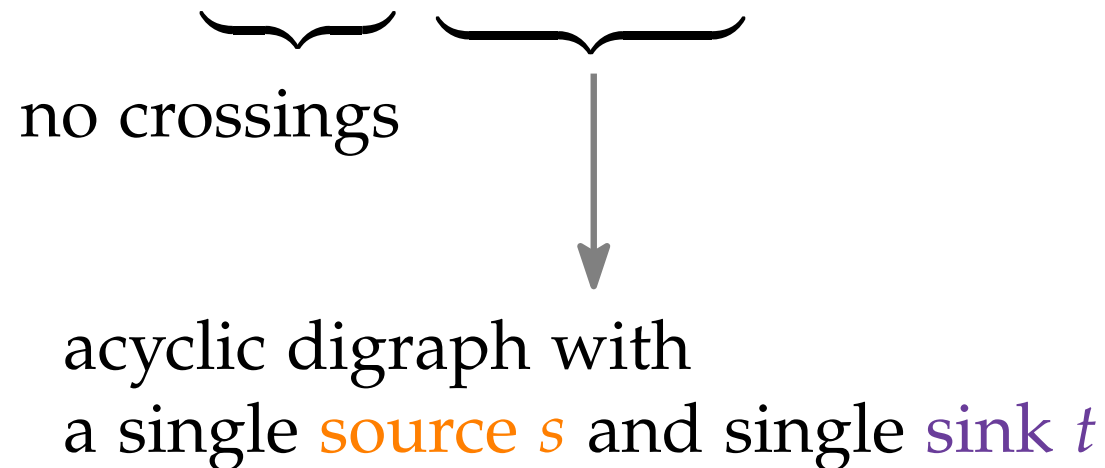


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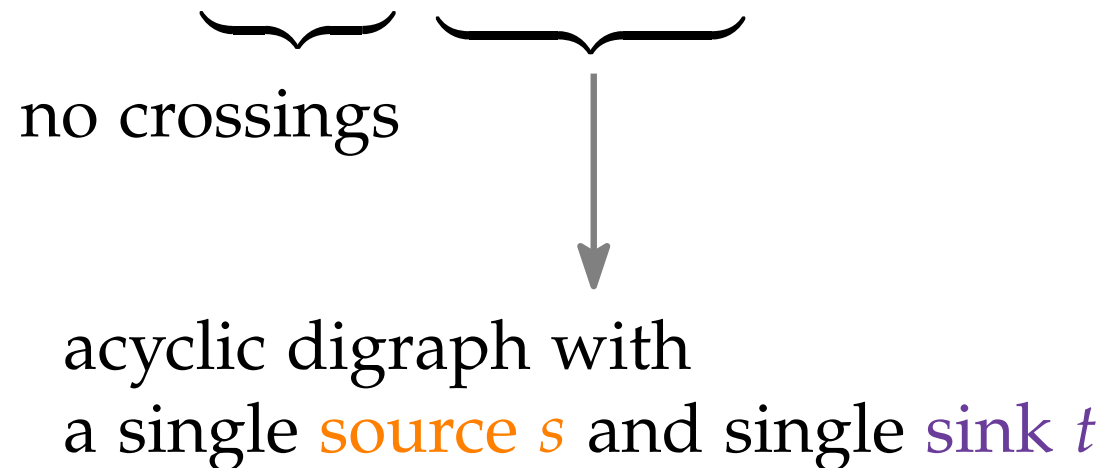
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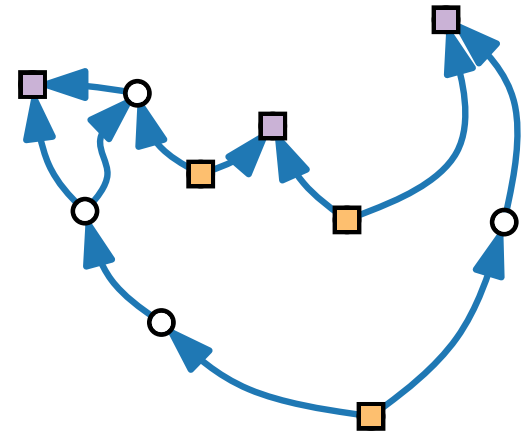
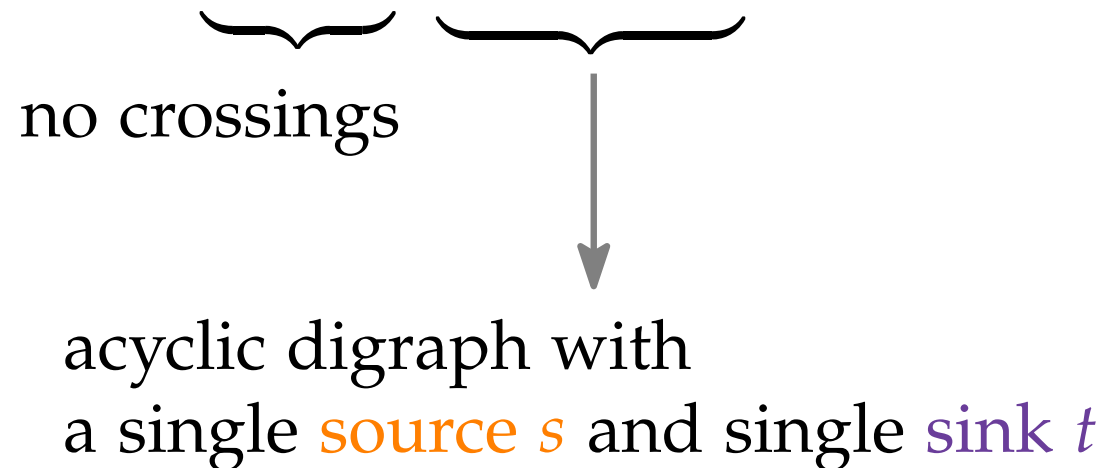
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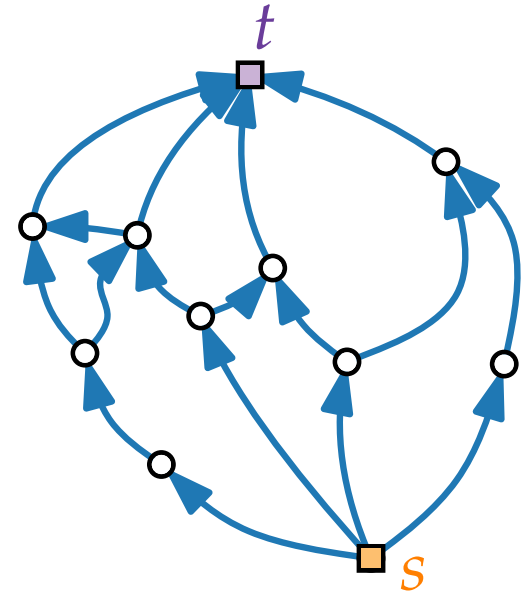


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$\underbrace{\hspace{10em}}$
 no crossings
 \downarrow
 acyclic digraph with
 a single **source** s and single **sink** t

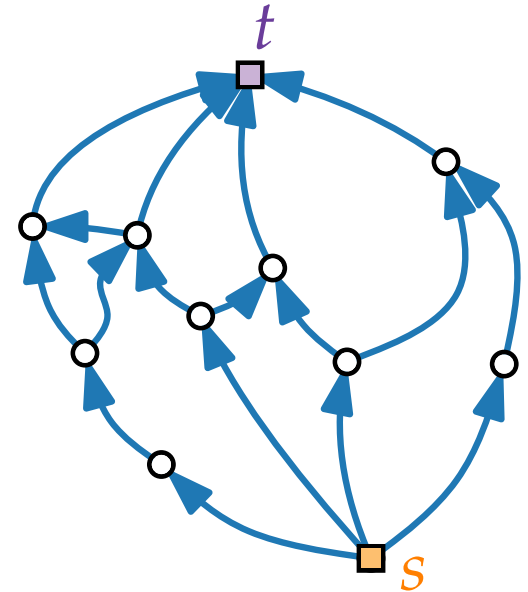


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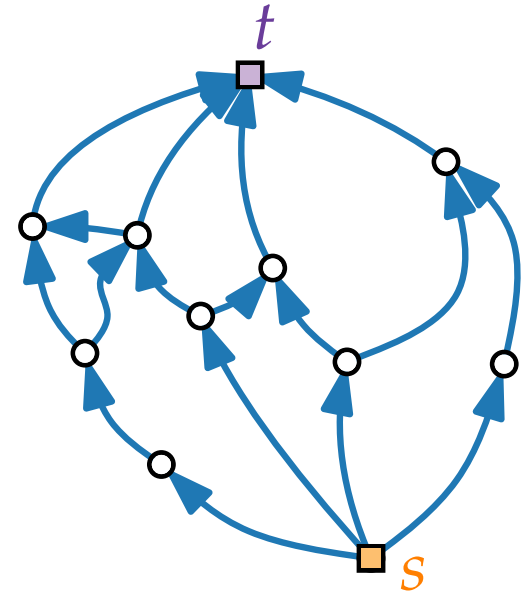
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or:

Edge (s, t) exists.

no crossings

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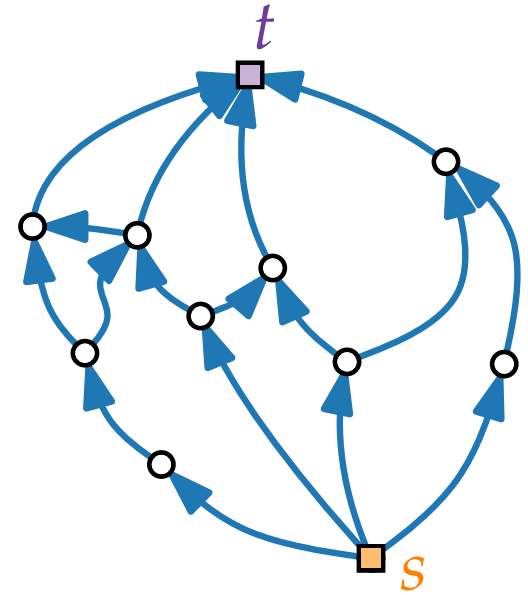
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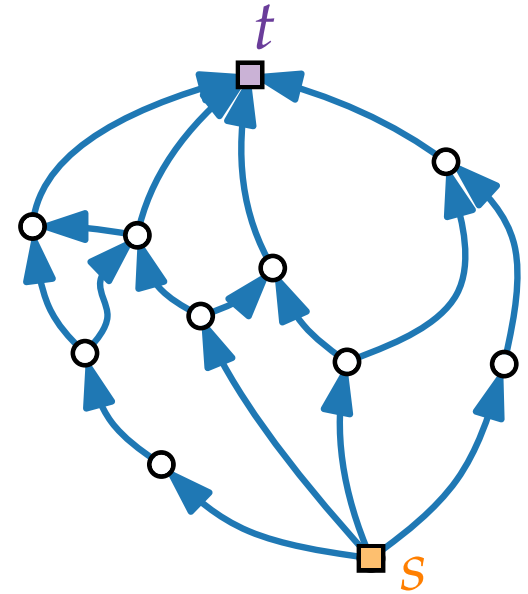
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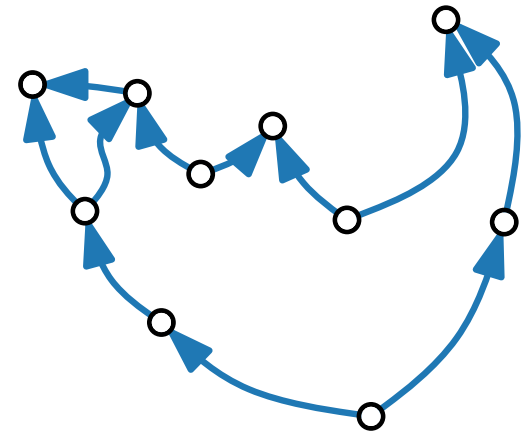
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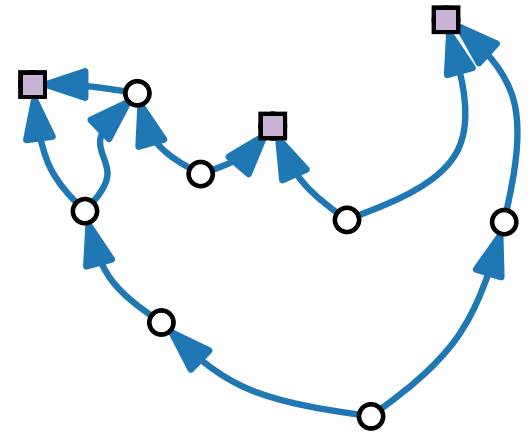
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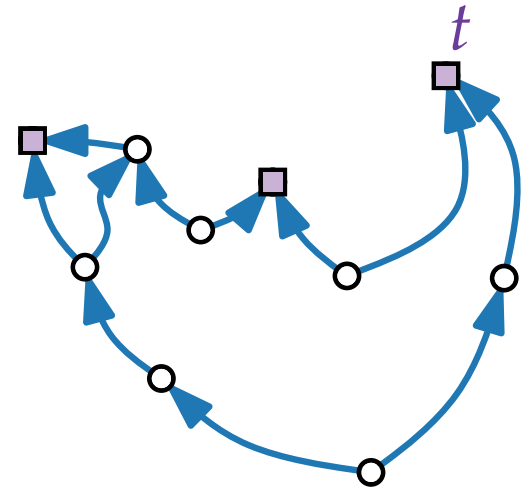
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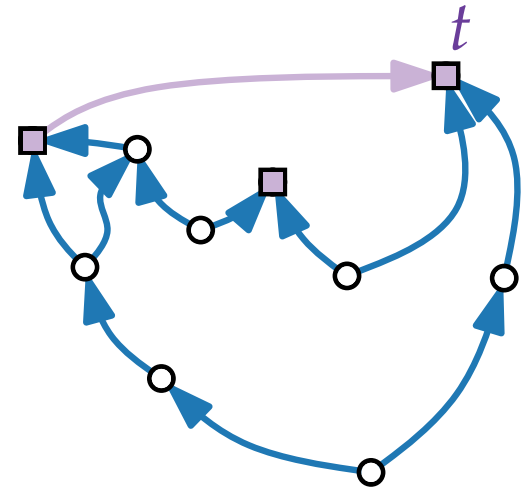
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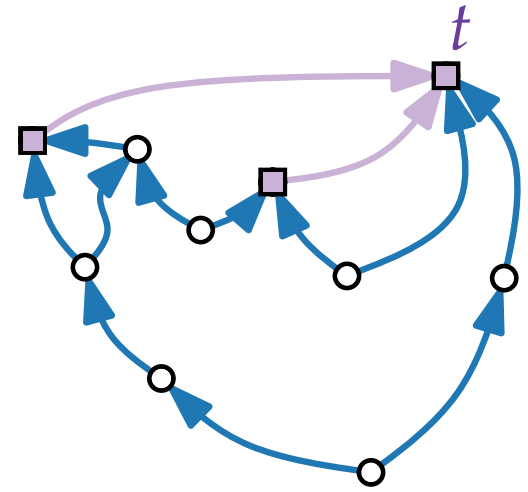
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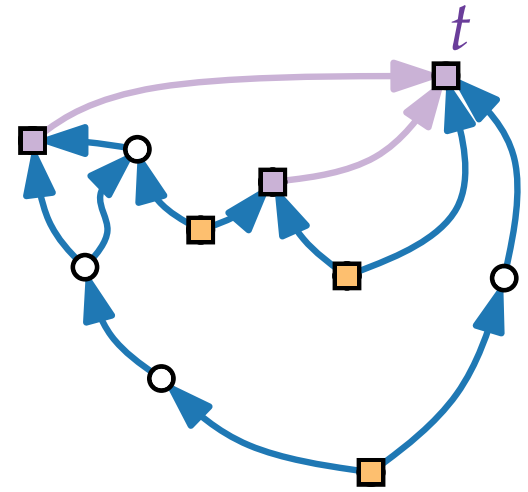
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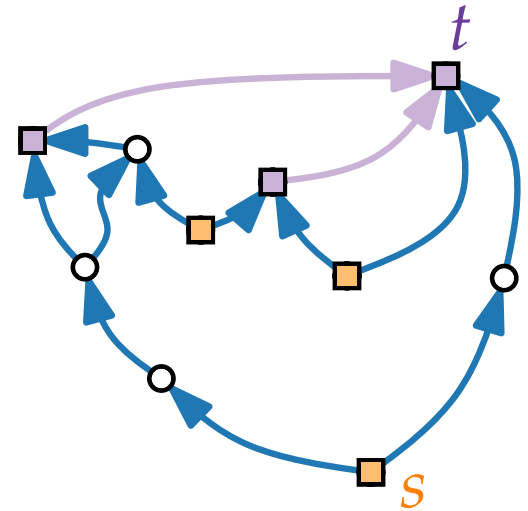
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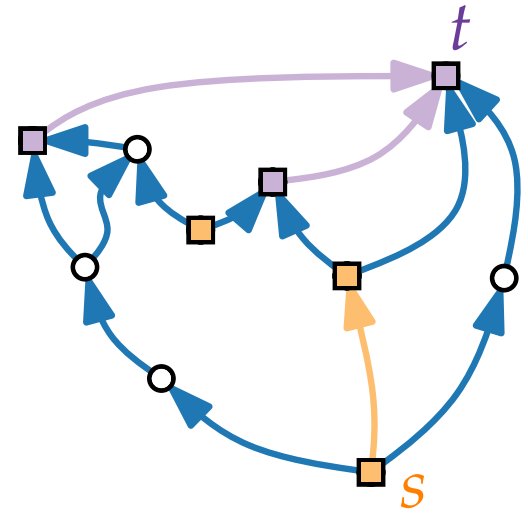
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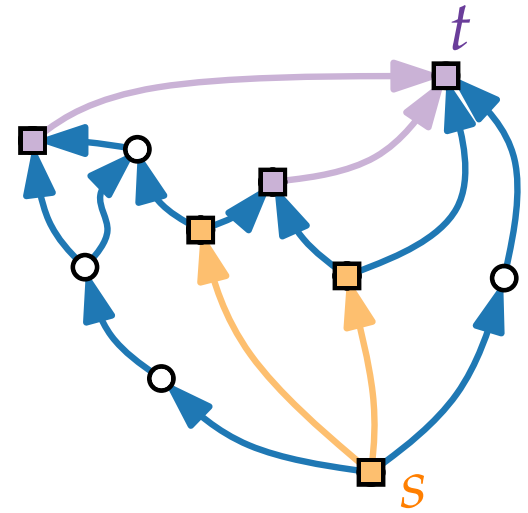
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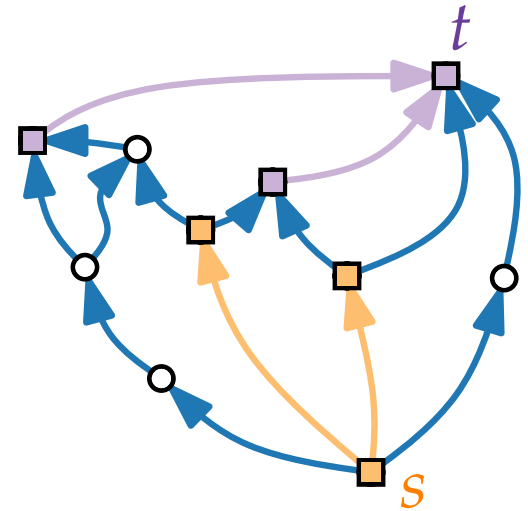
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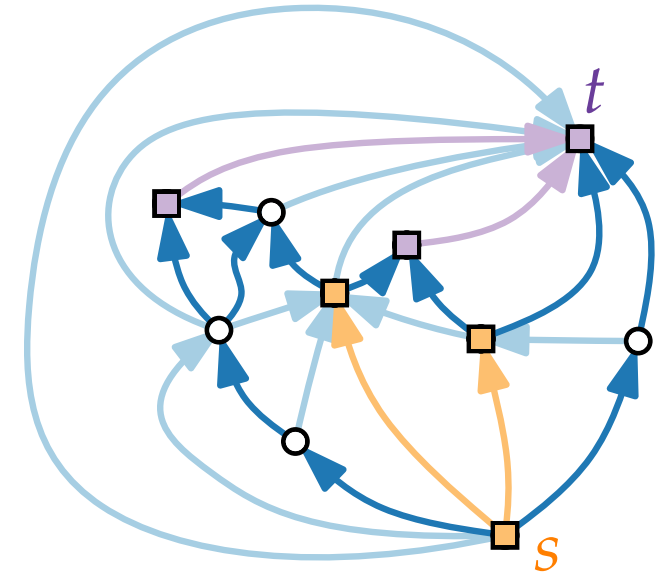
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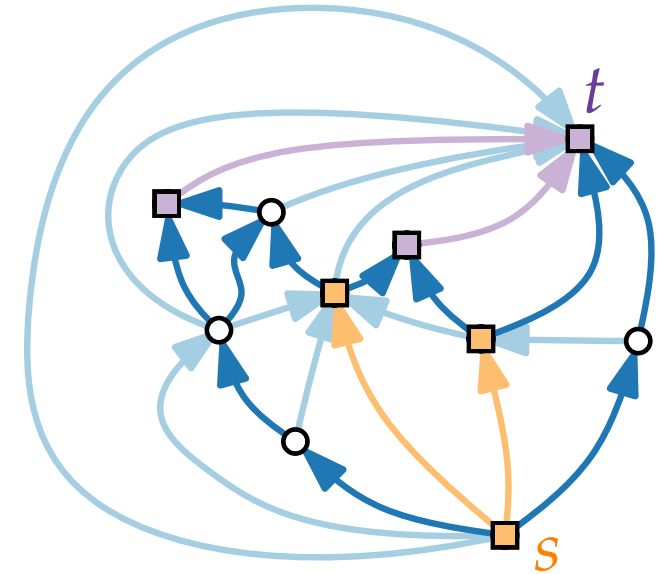
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Can draw in
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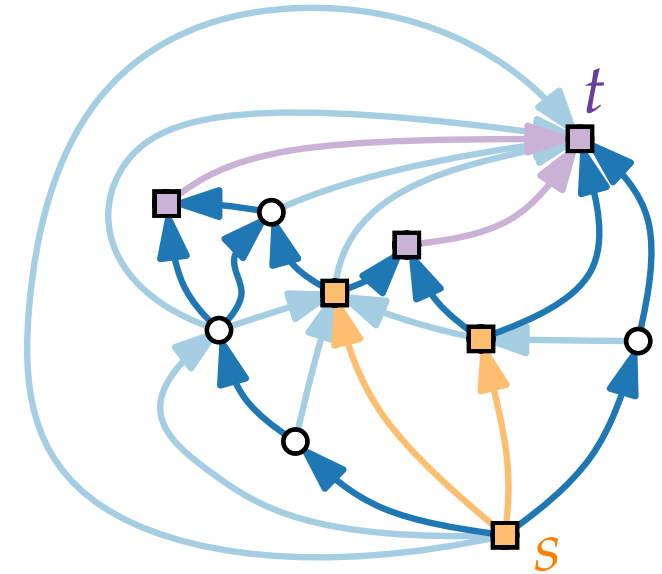
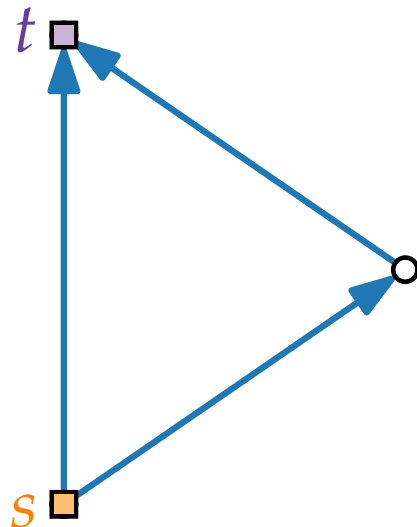
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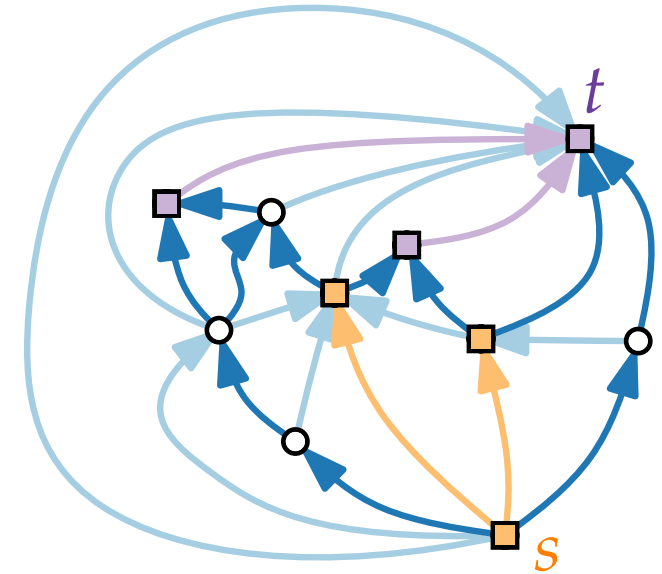
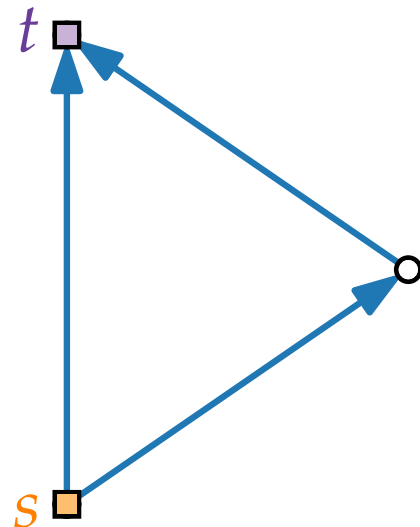
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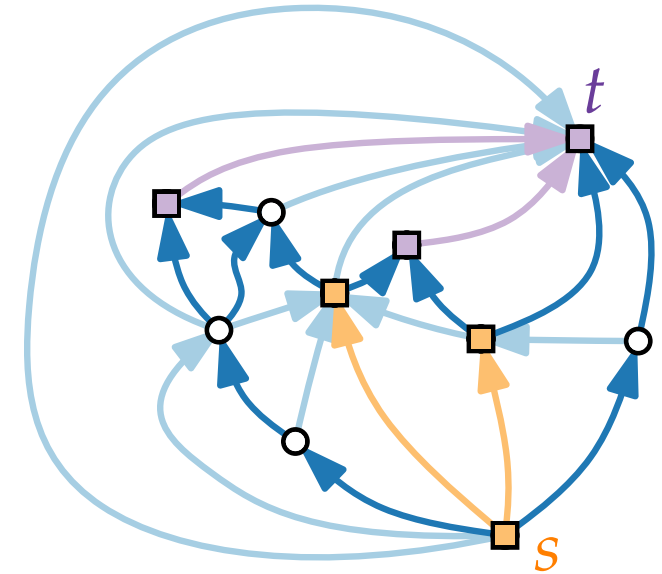
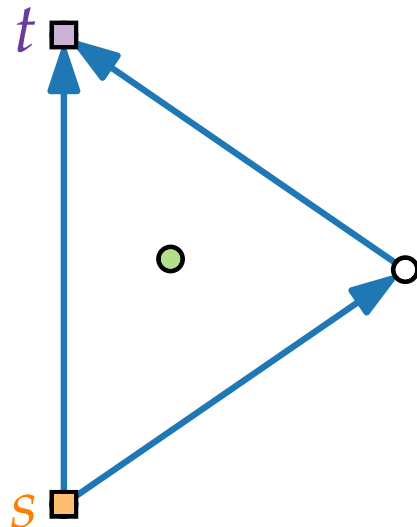
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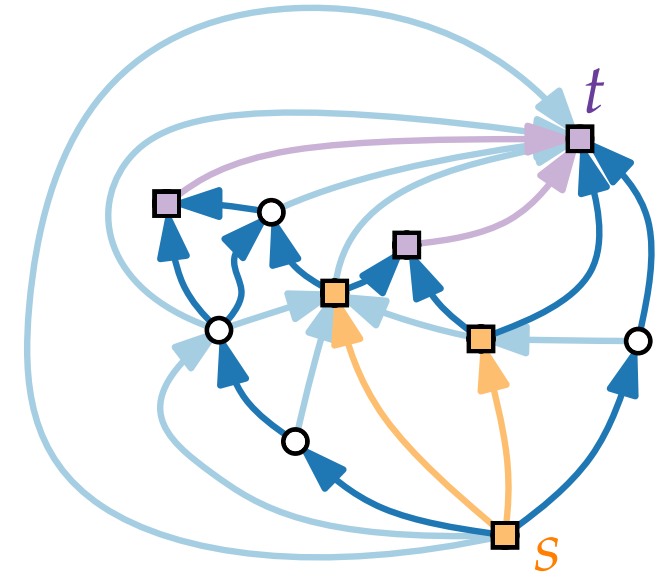
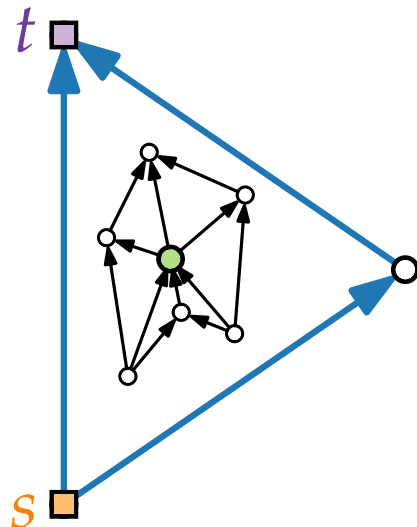
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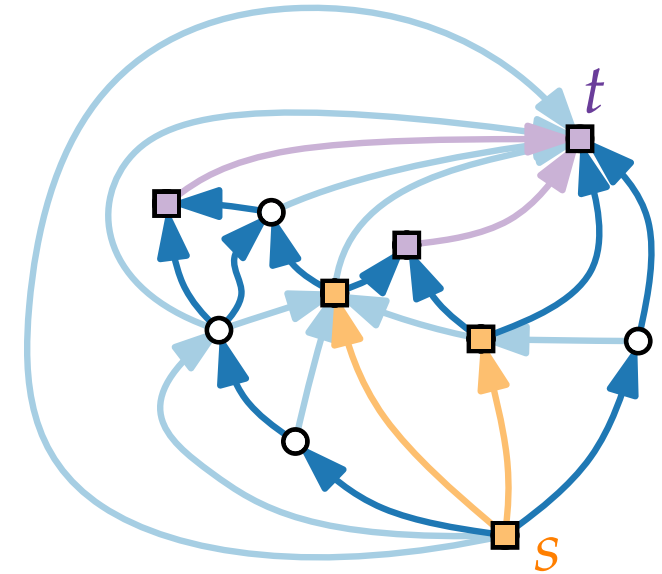
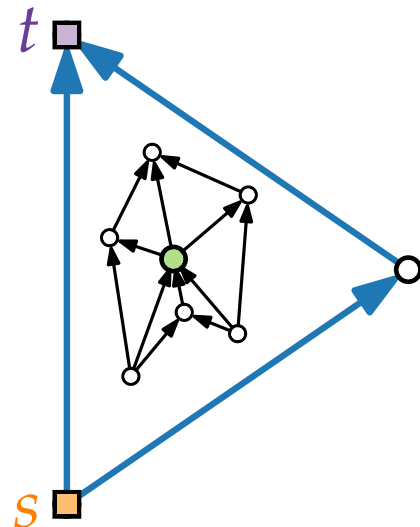
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Case 1:
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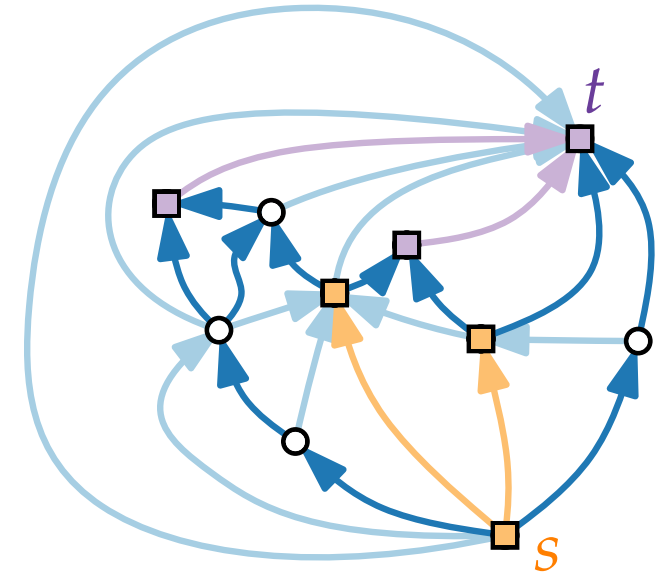
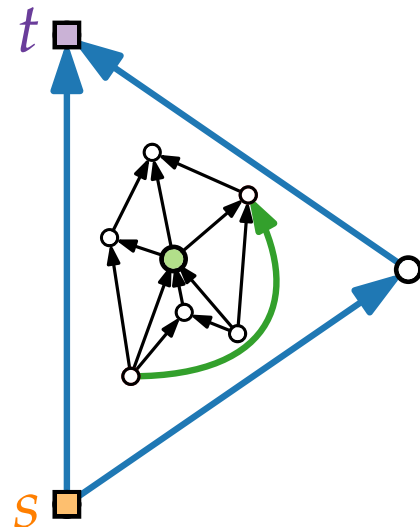
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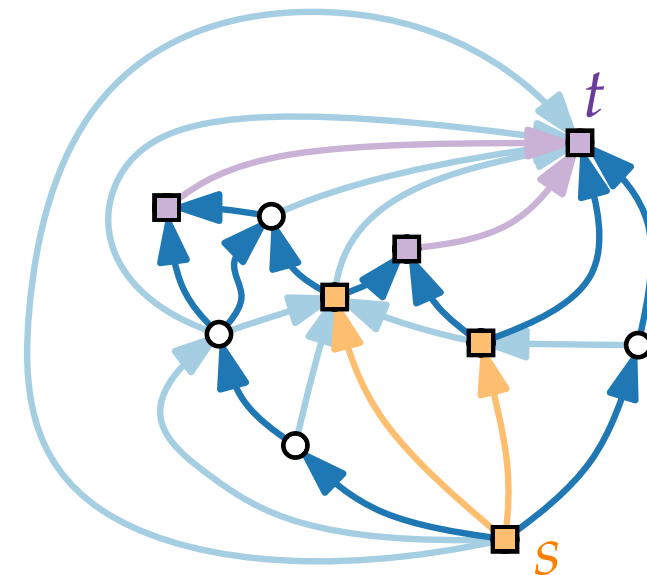
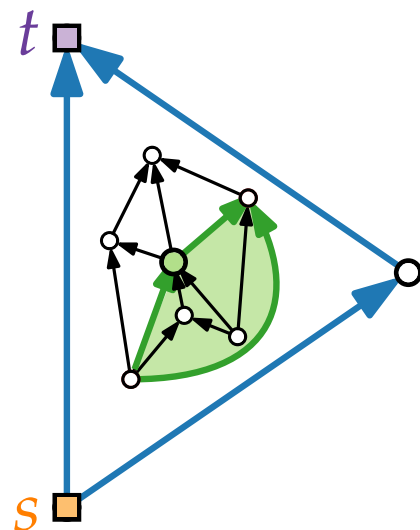
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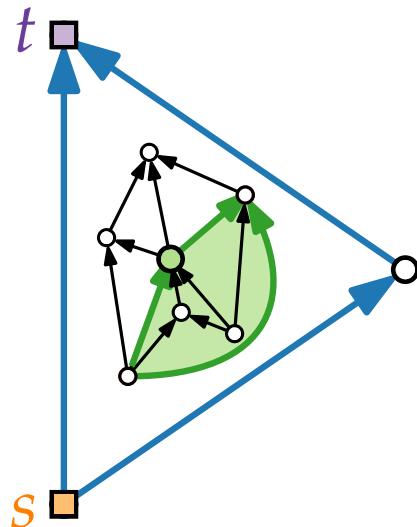
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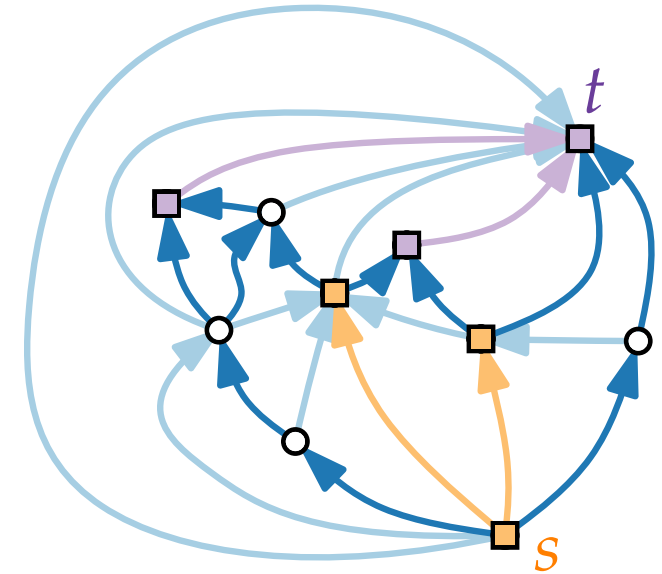
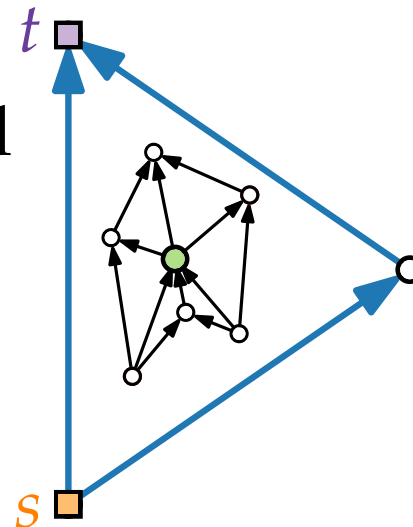
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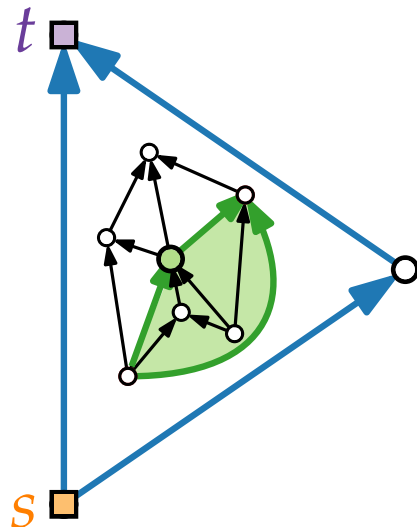
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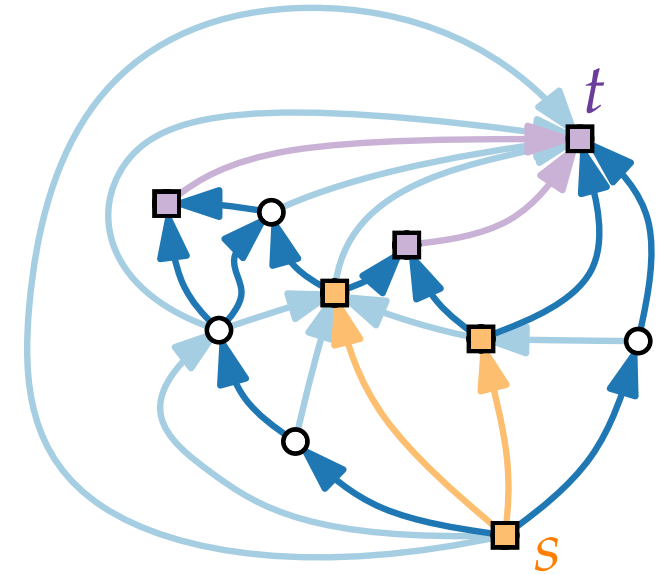
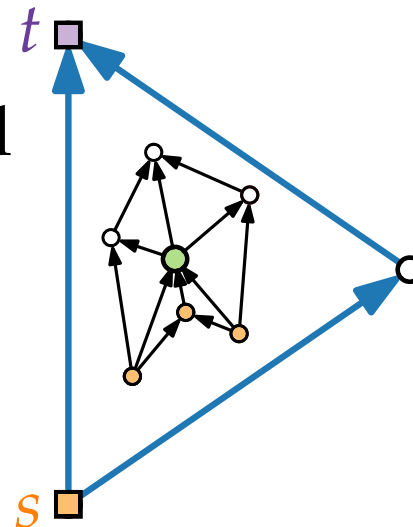
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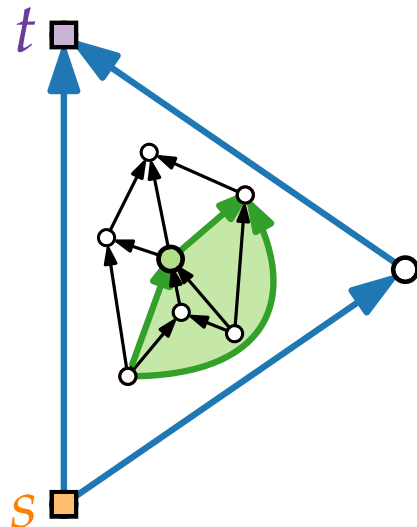
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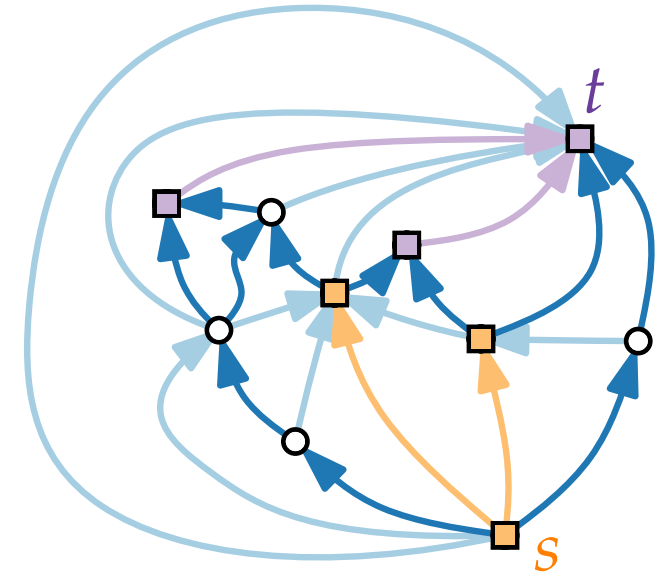
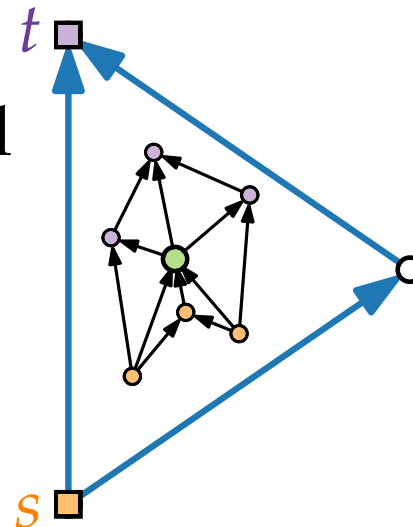
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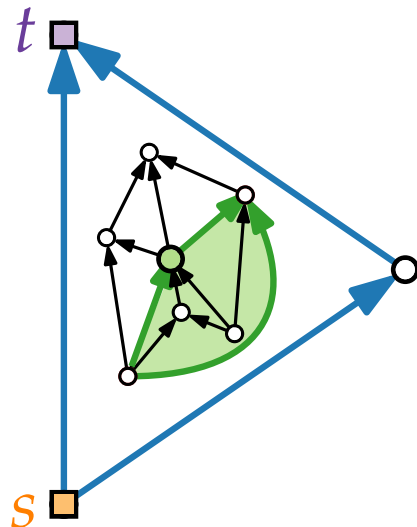
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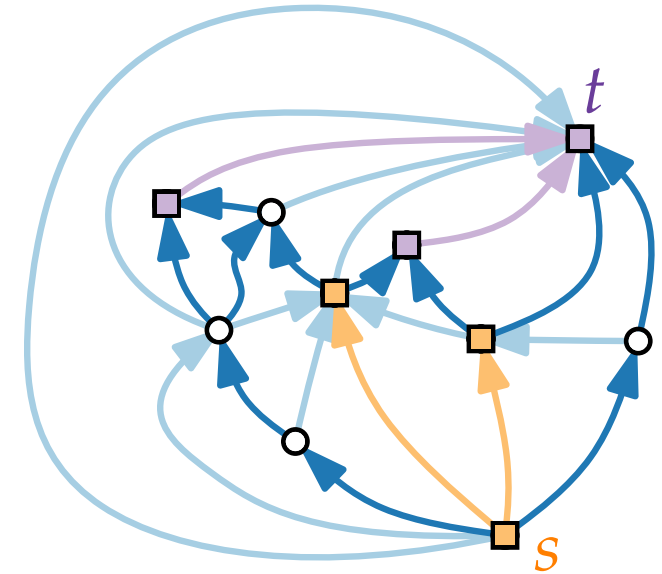
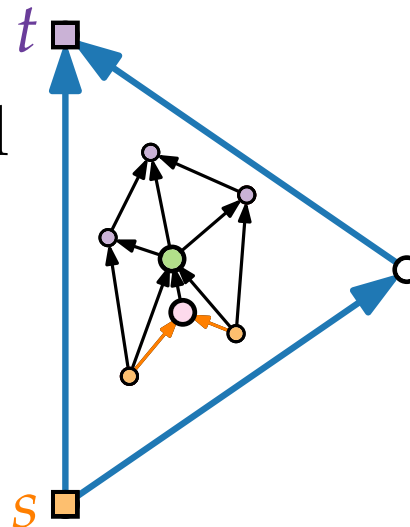
Can draw in
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Induction on n .

Case 1:
chord



Case 2:
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Upward Planarity – Characterization

Theorem 1. [Kelly 1987, Di Battista & Tamassia 1988]

For a digraph G the following statements are equivalent:

1. G is upward planar.
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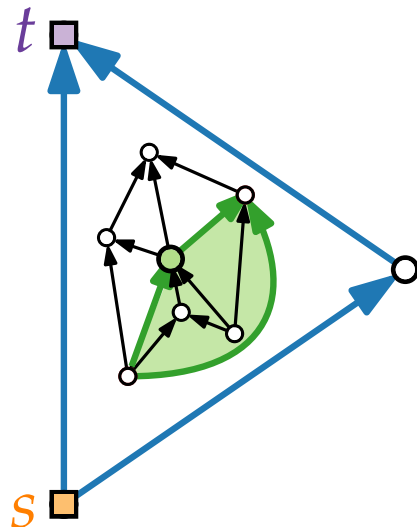
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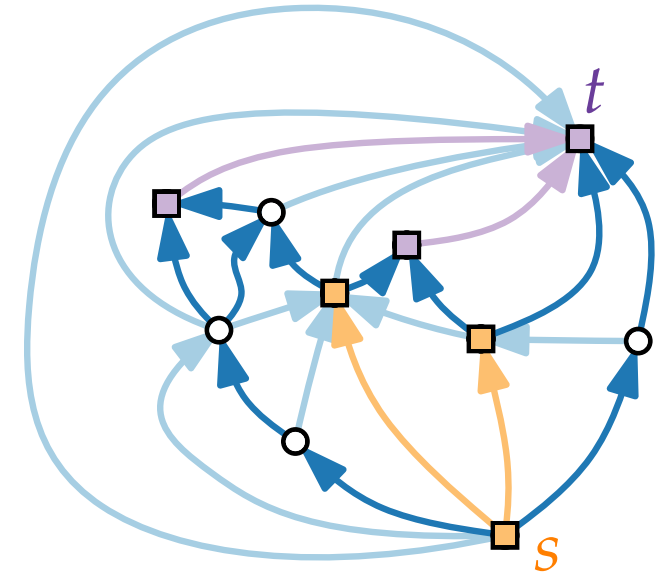
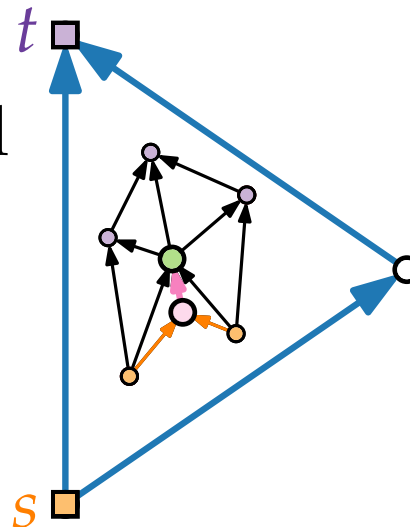
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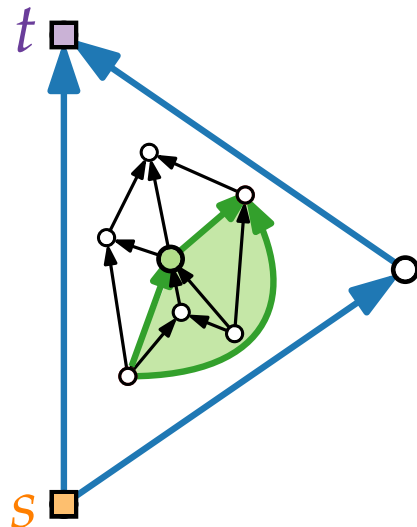
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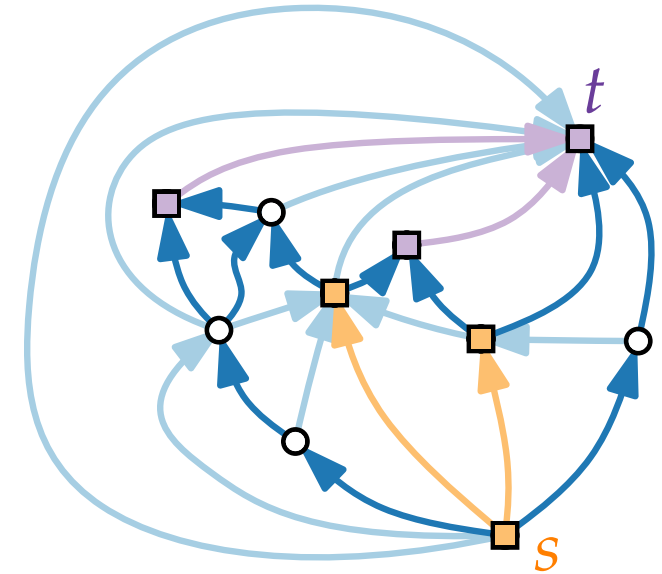
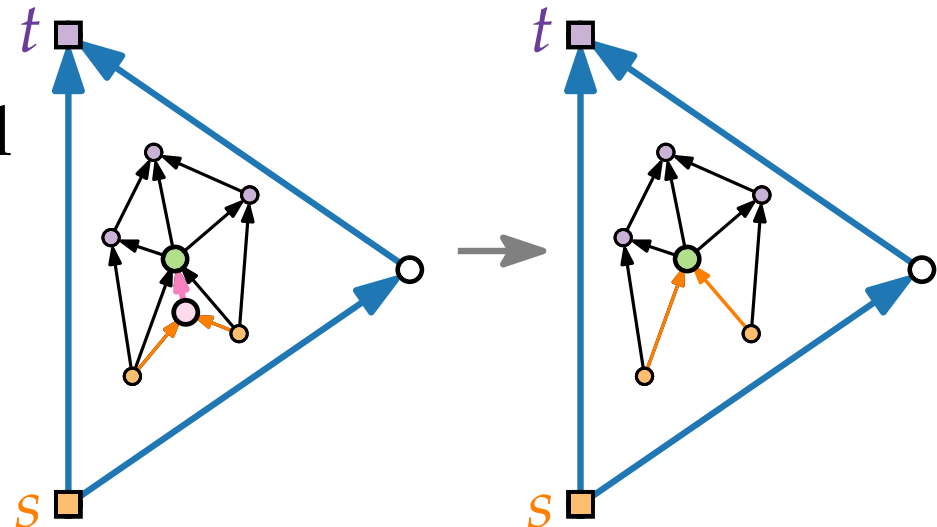
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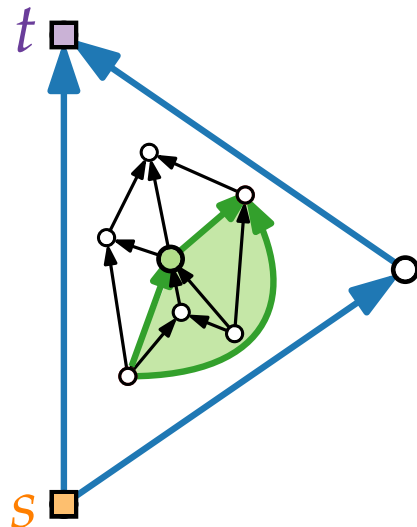
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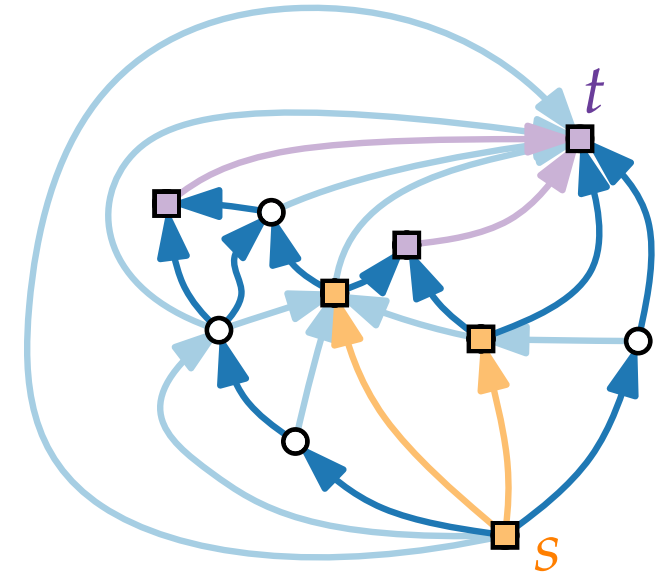
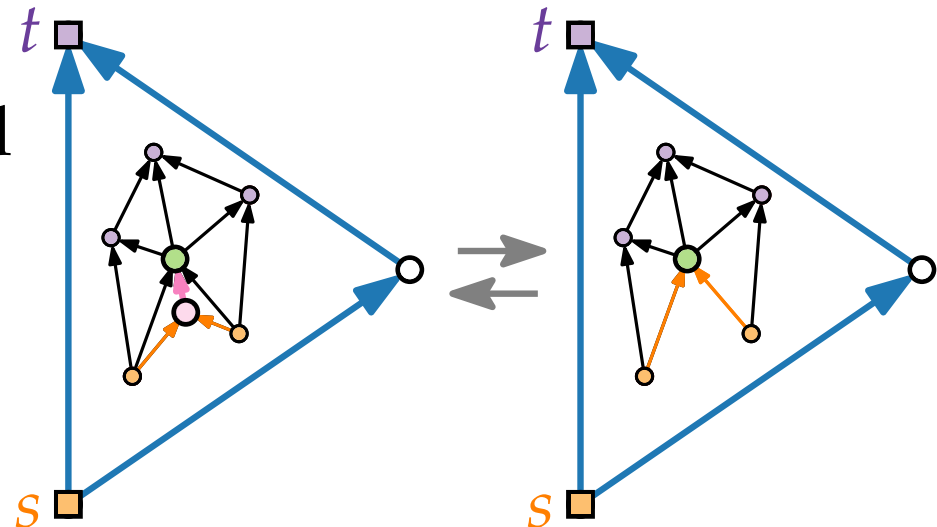
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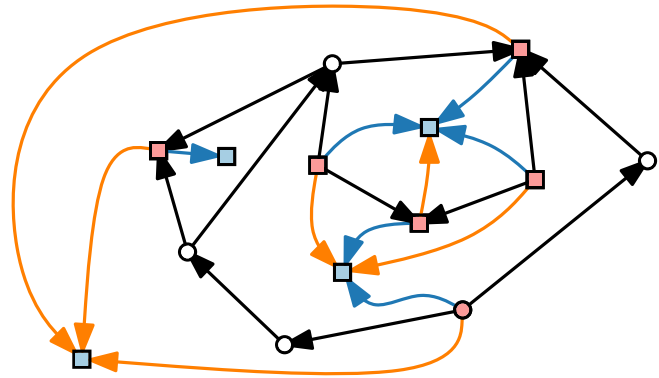
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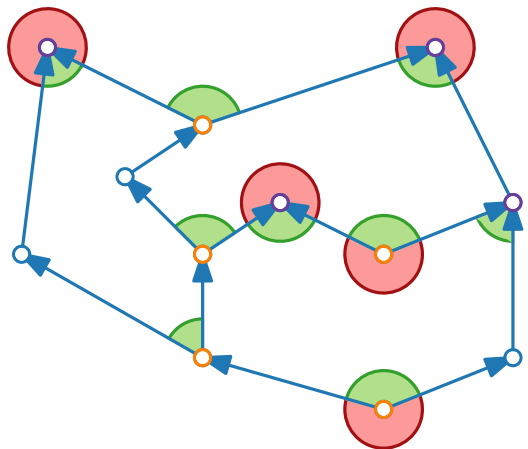
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Visualization of Graphs



Lecture 7: Upward Planar Drawings



Part II: Complexity

Philipp Kindermann

Upward Planarity – Complexity

Theorem.

[Garg & Tamassia, 1995]

For a *planar acyclic* digraph it is in general NP-hard to decide whether it is upward planar.

Upward Planarity – Complexity

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Fixed Embedding Upward Planarity Testing.

Let $G = (V, E)$ be a plane digraph with set of faces F and outer face f_0 .

Test whether G is upward planar (wrt to F, f_0).

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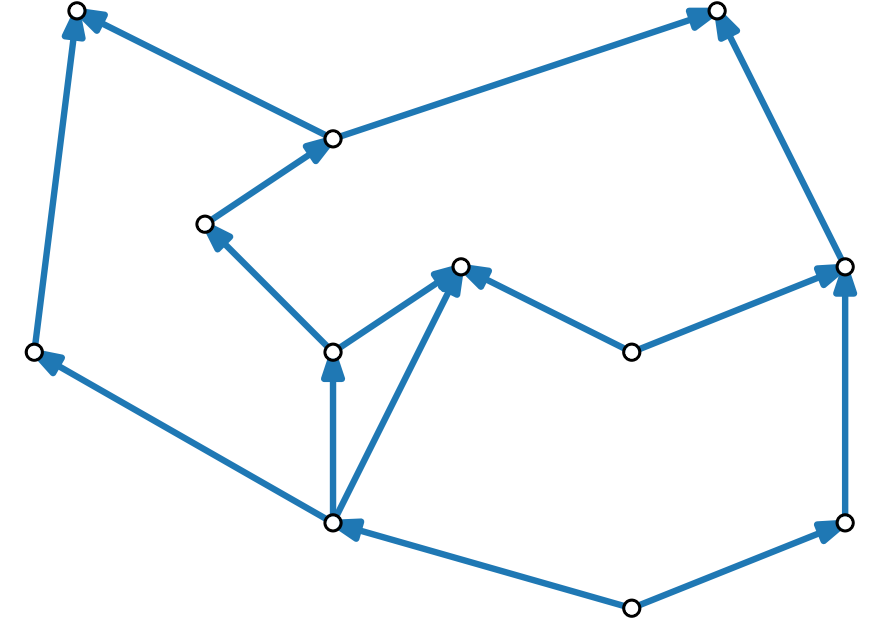
Test whether G is upward planar (wrt to F, f_0).

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- Find property that any upward planar drawing of G satisfies.
- Formalize property.
- Find algorithm to test property.

Angles, Local Sources & Sinks

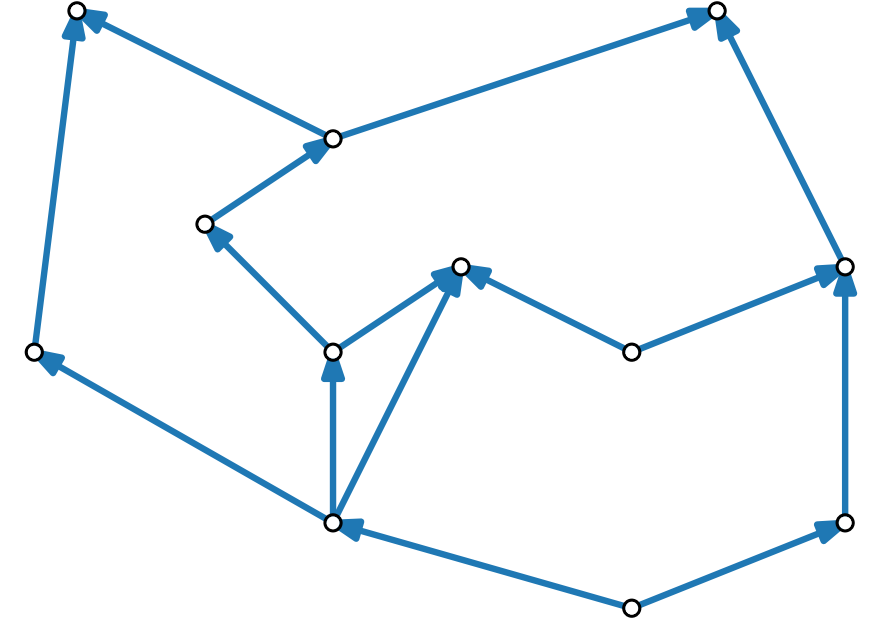
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Angles, Local Sources & Sinks

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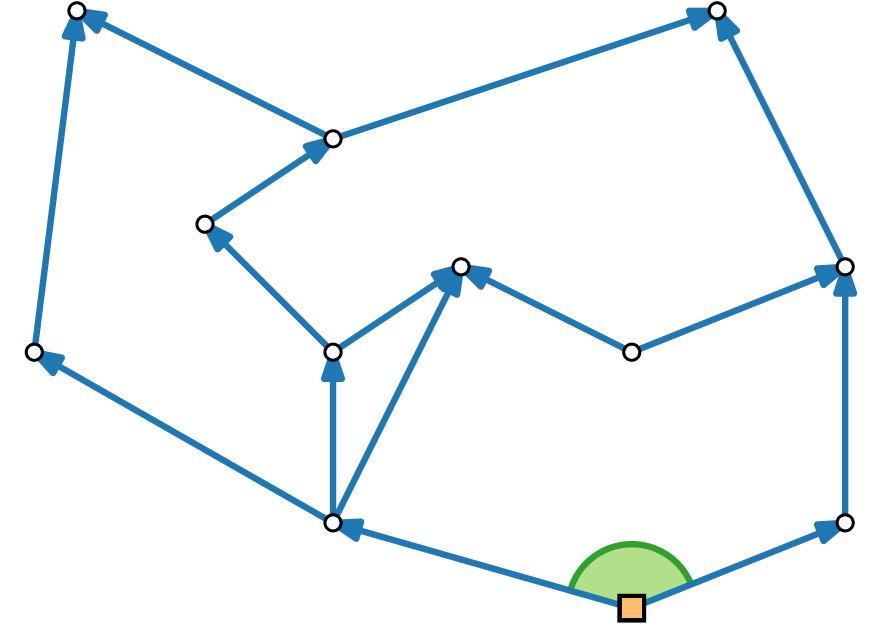
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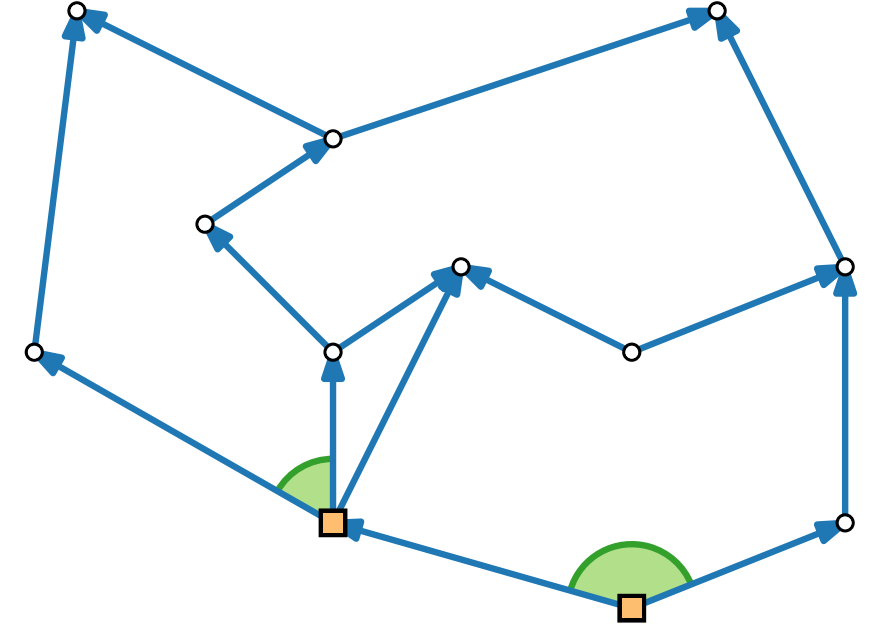
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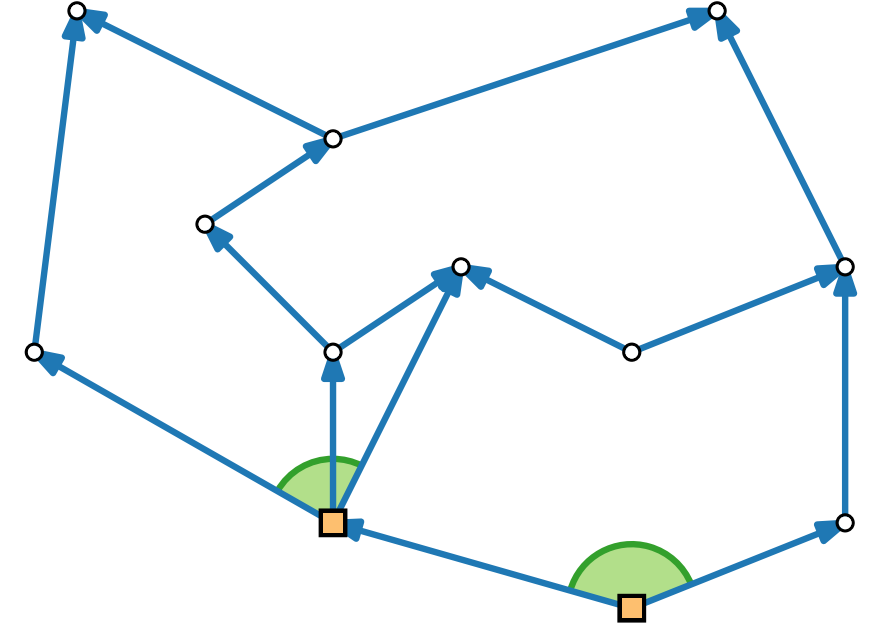
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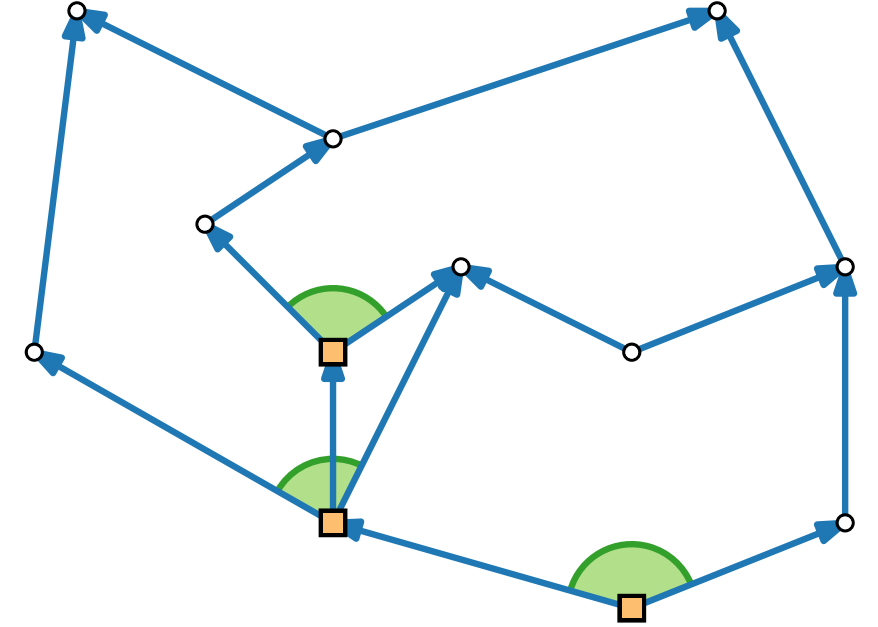
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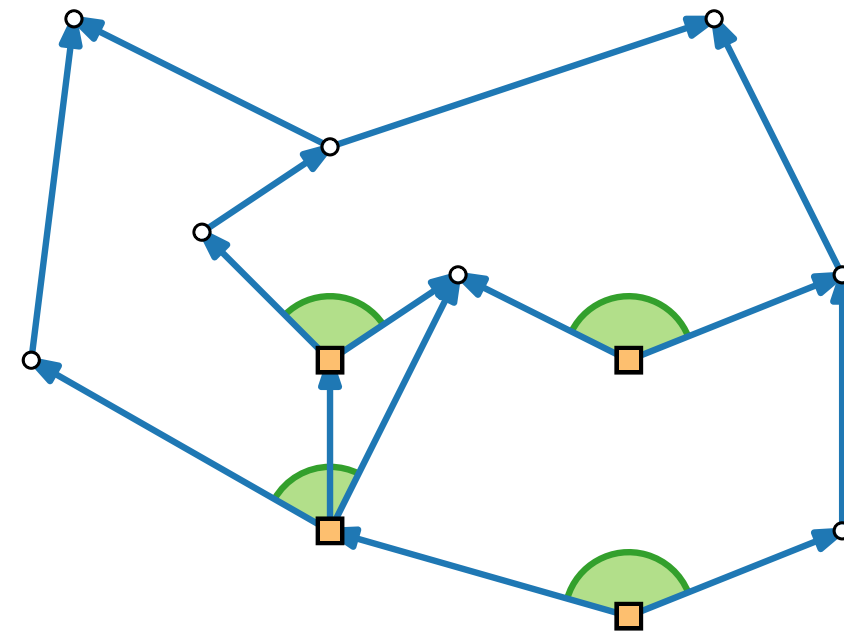
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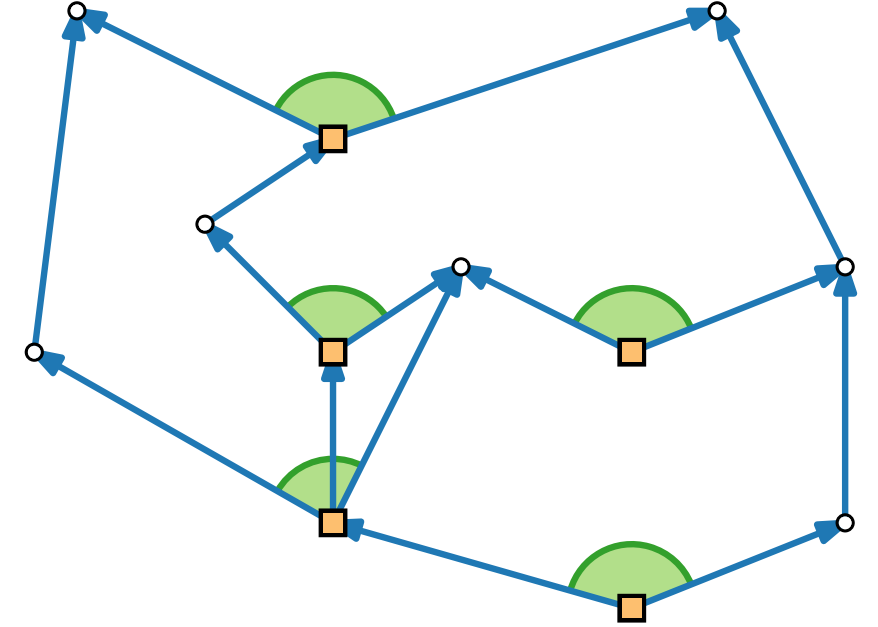
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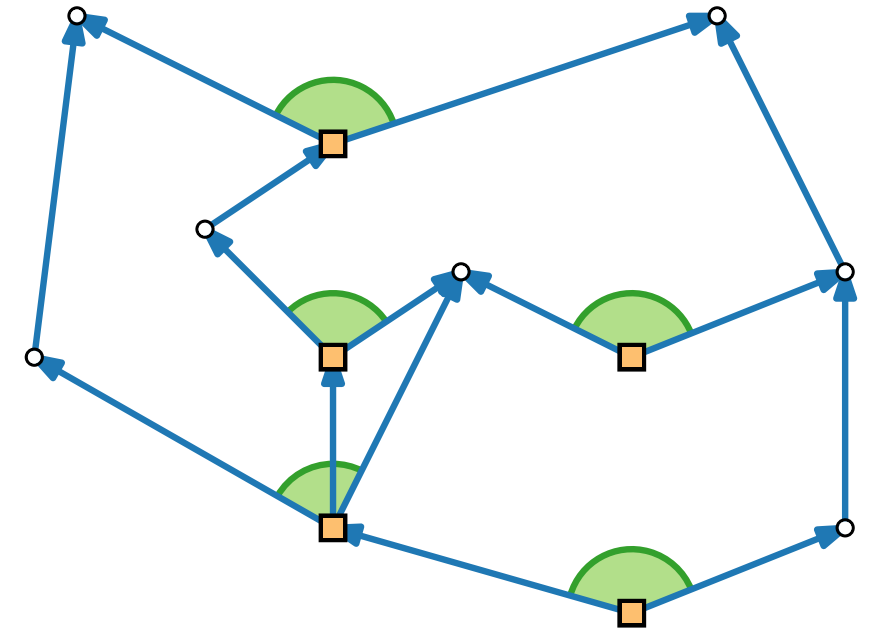
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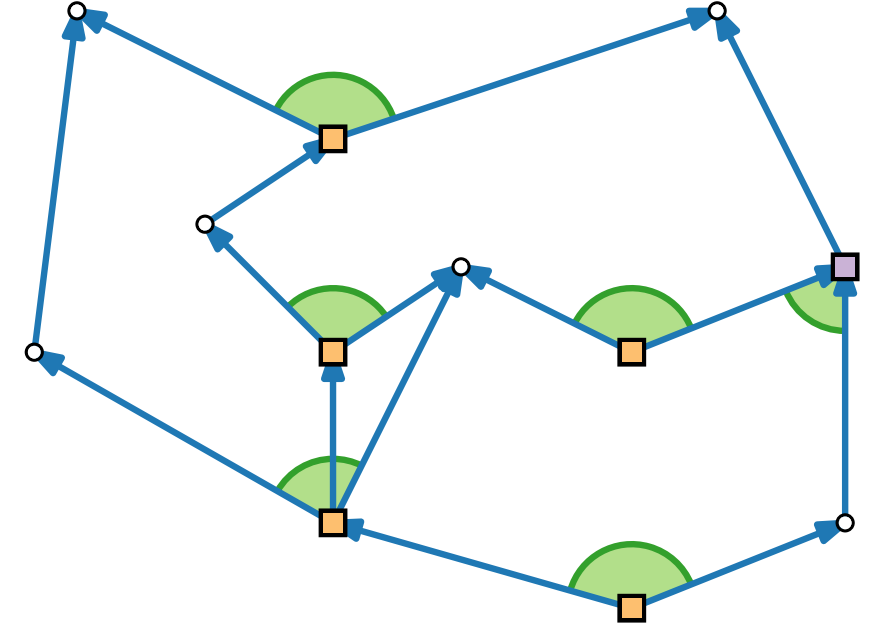
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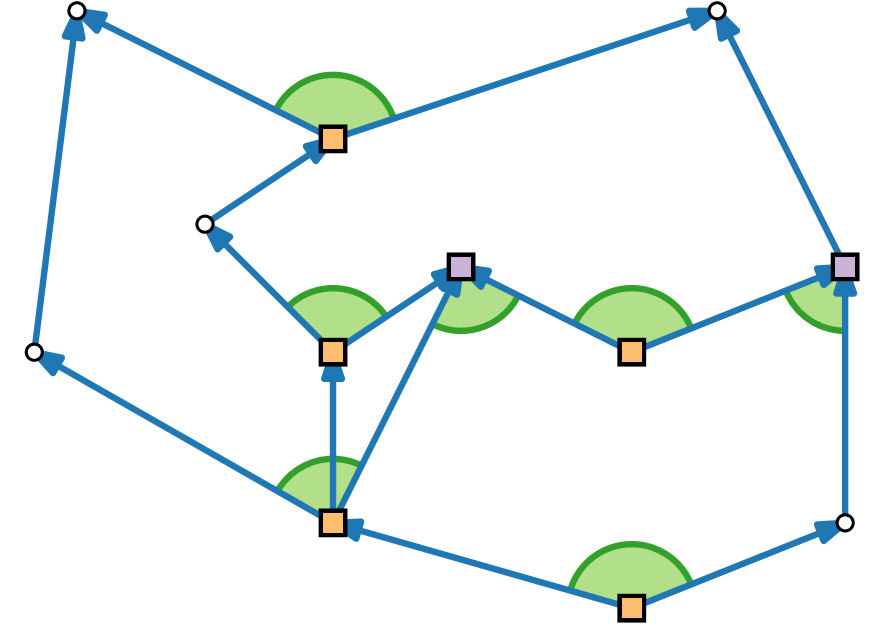
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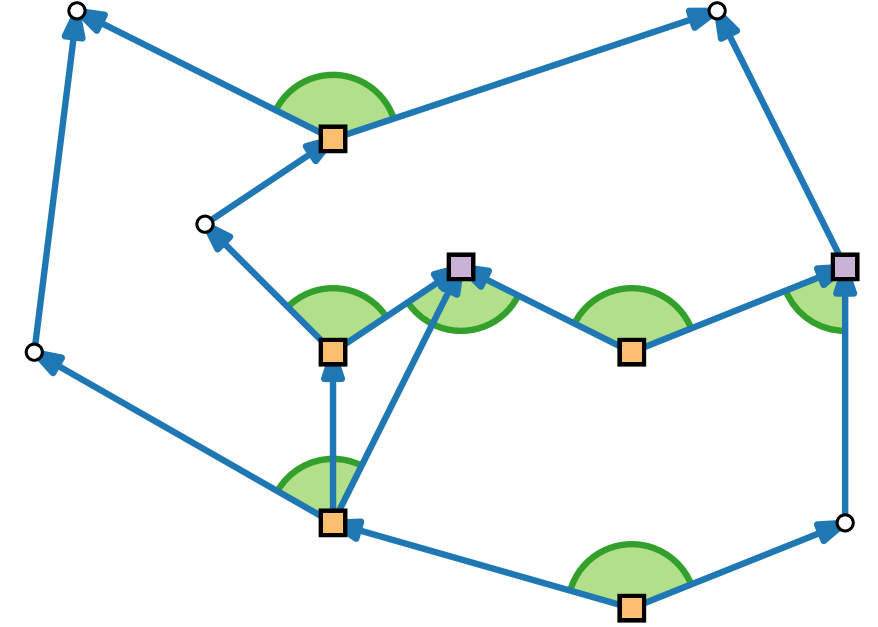
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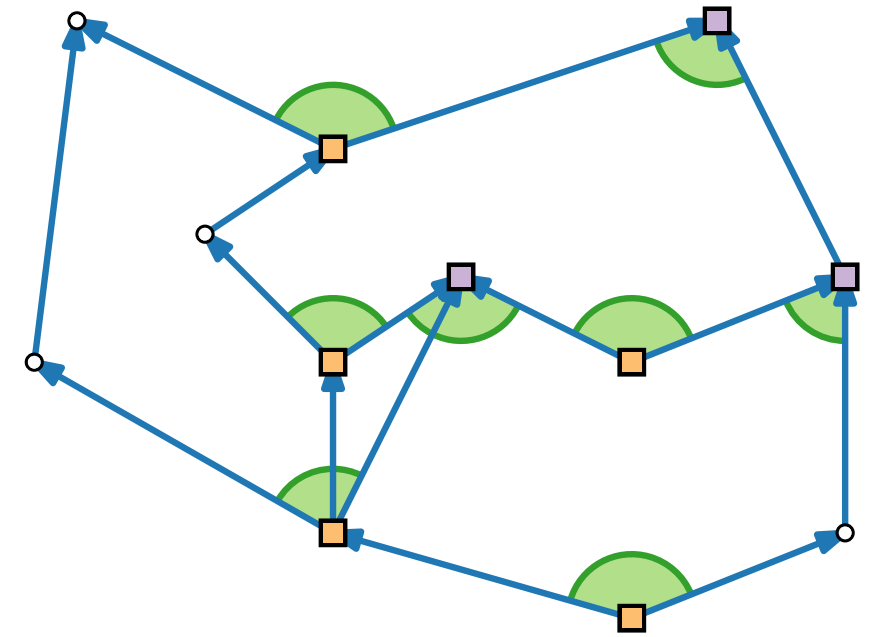
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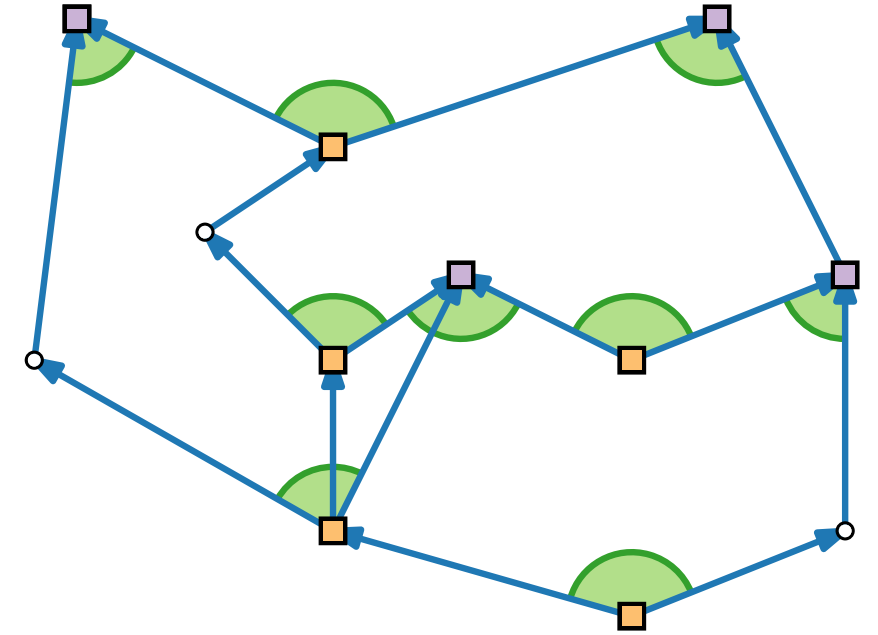
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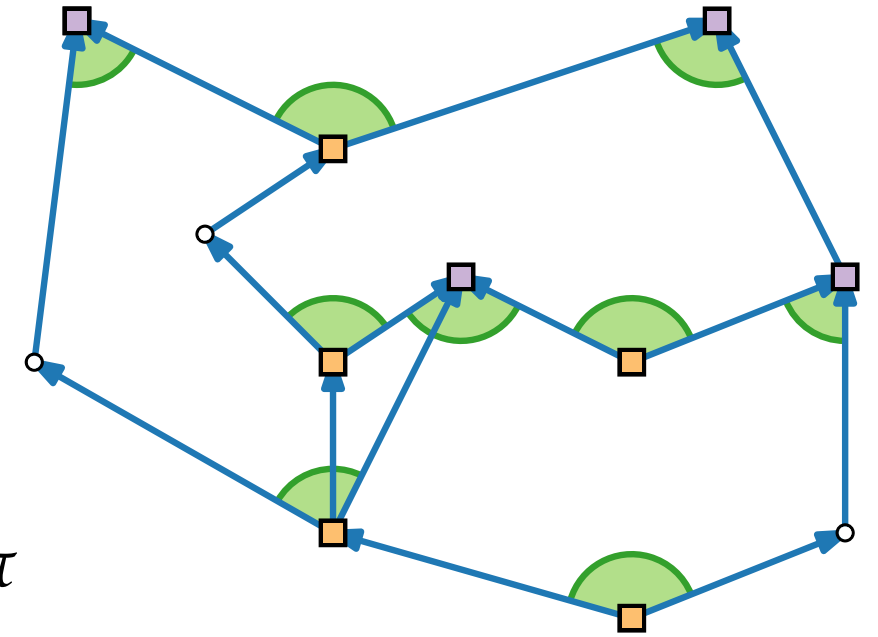
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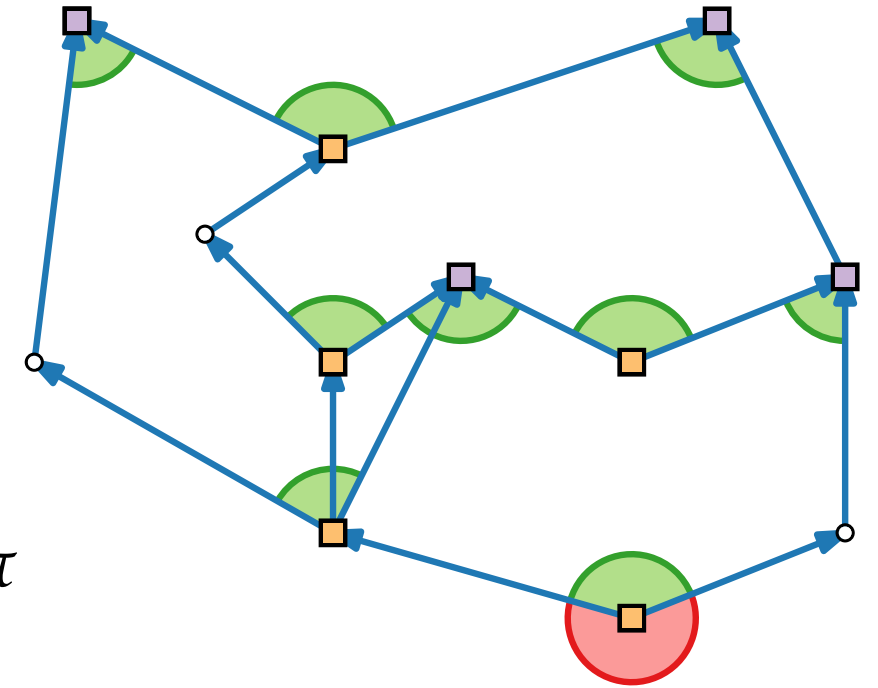
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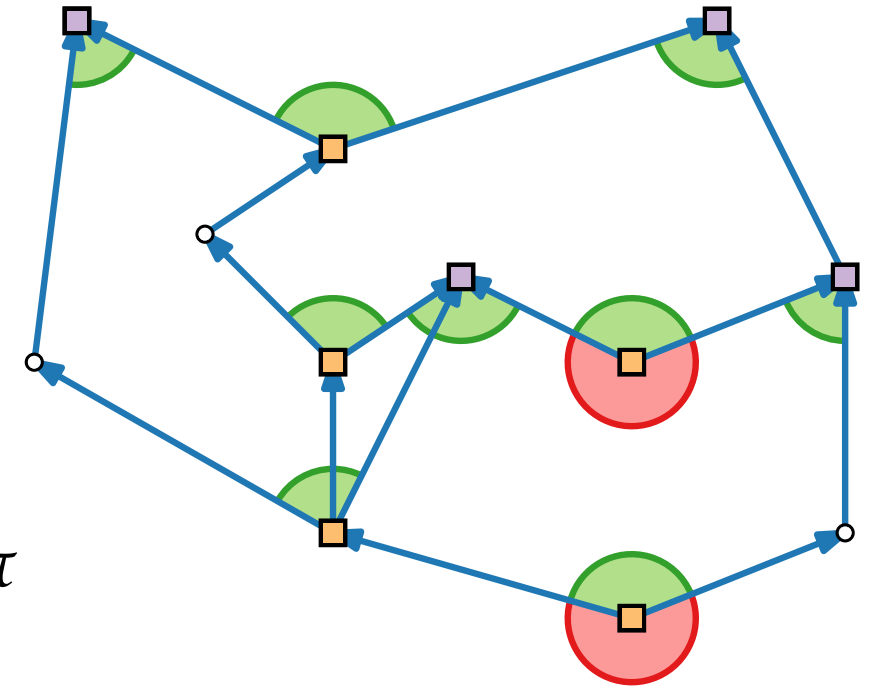
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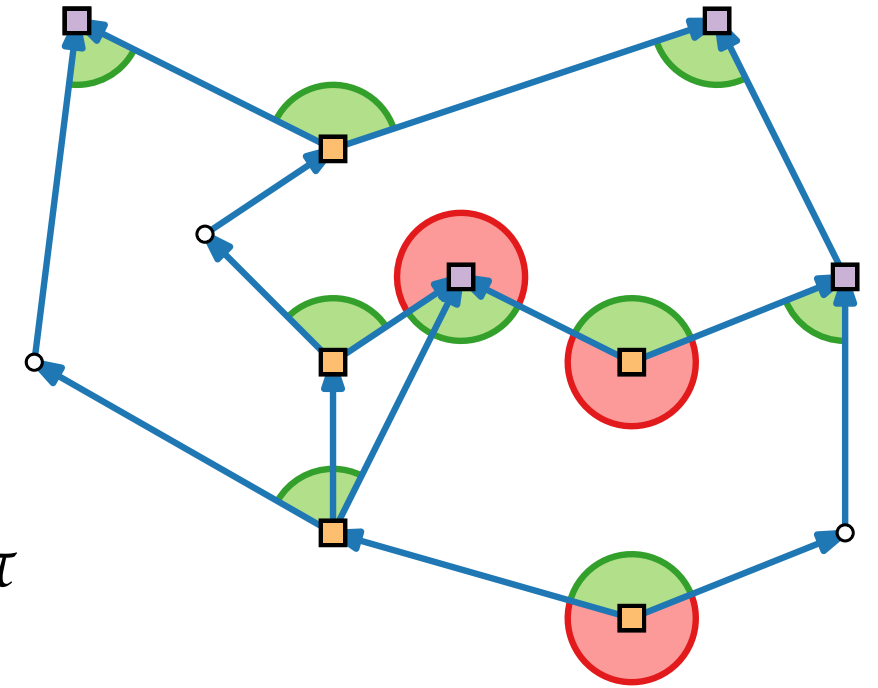
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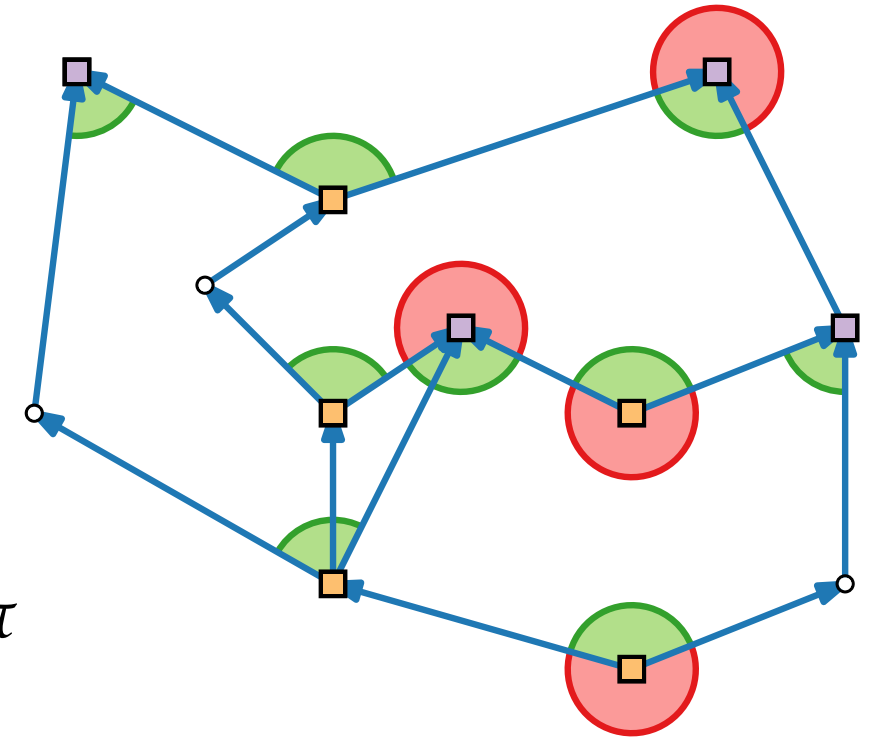
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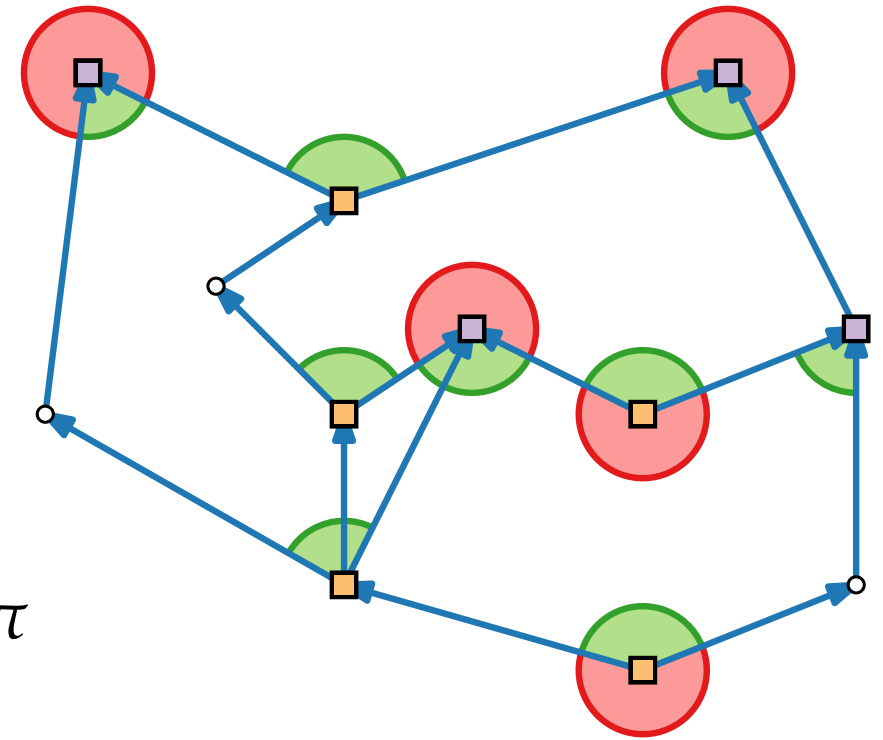
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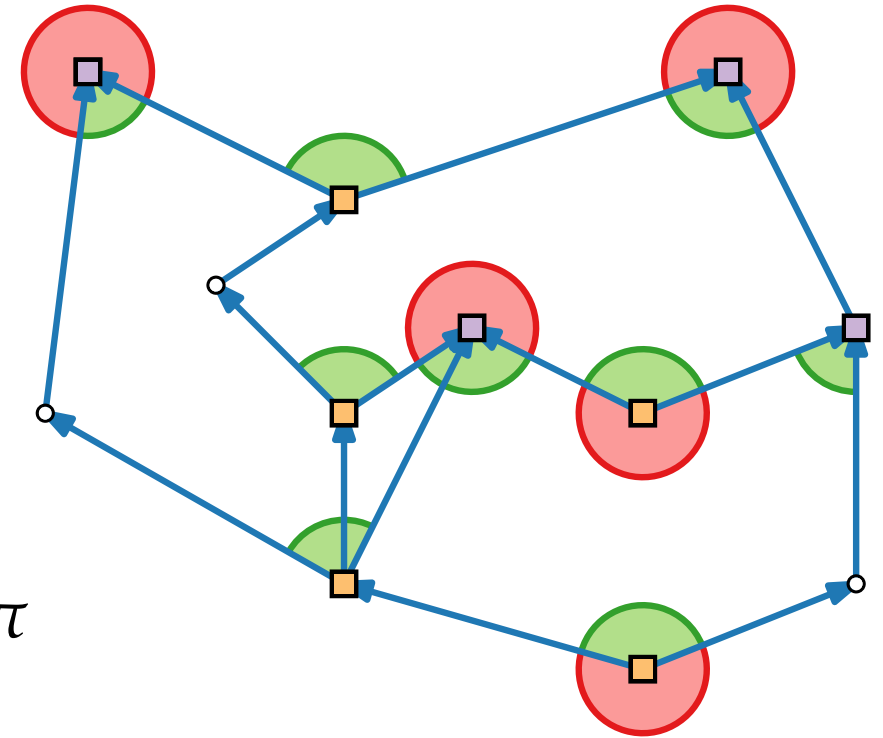
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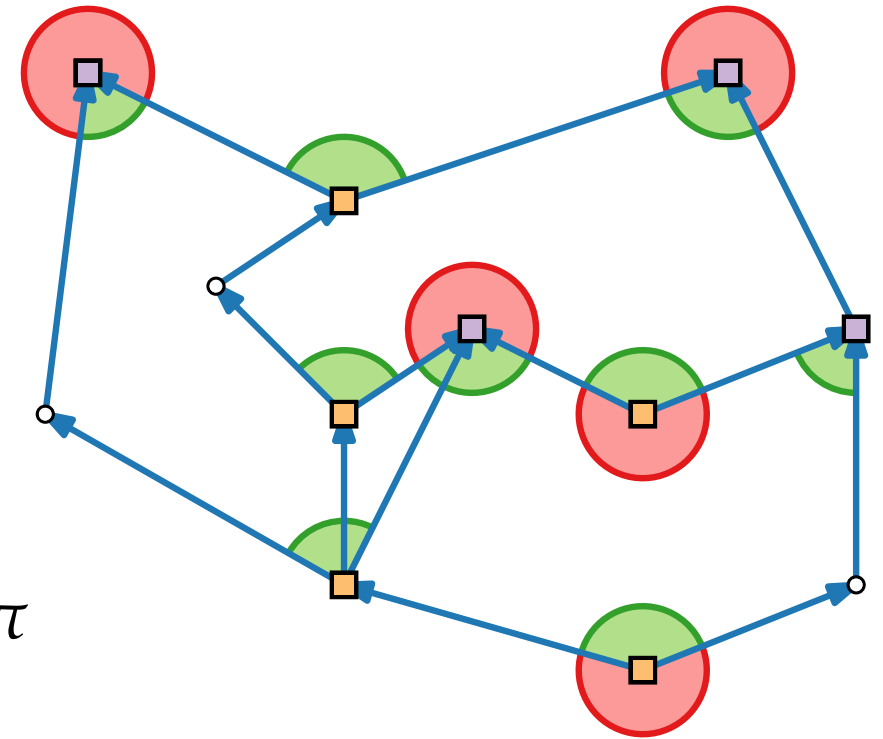
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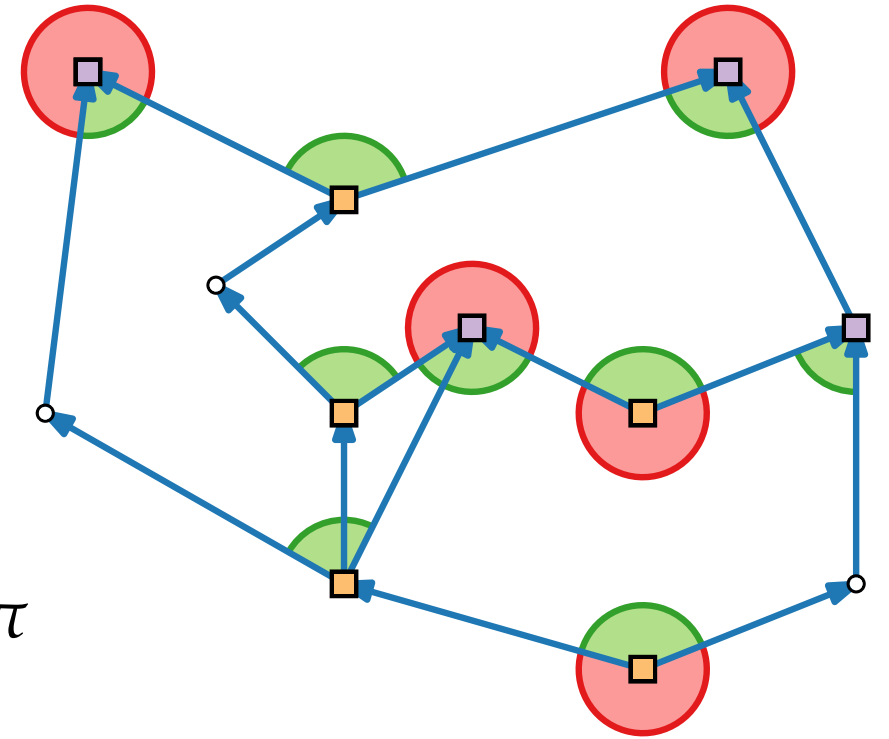
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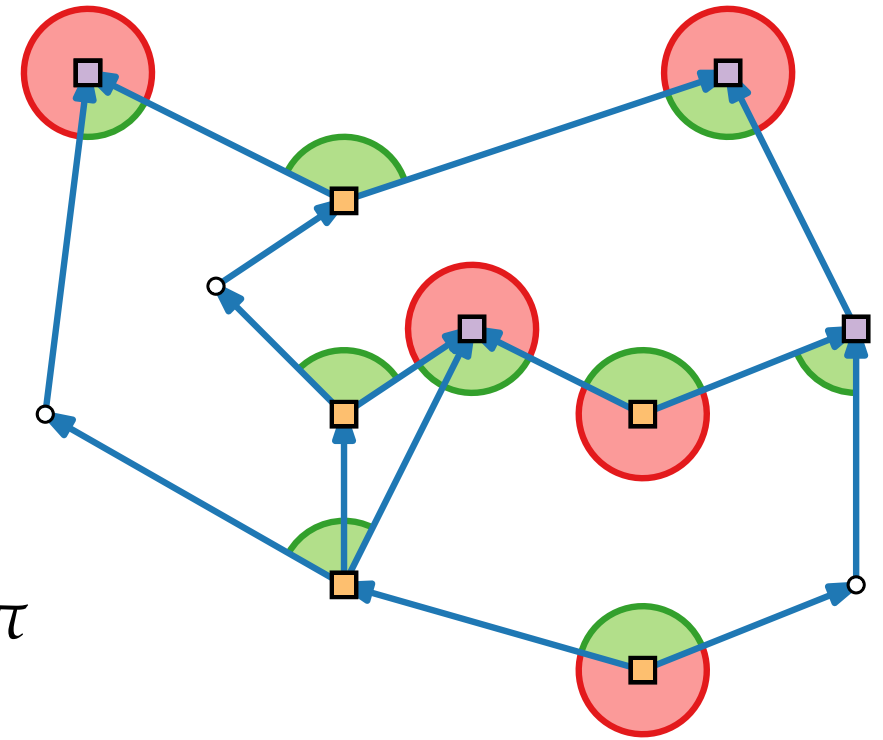
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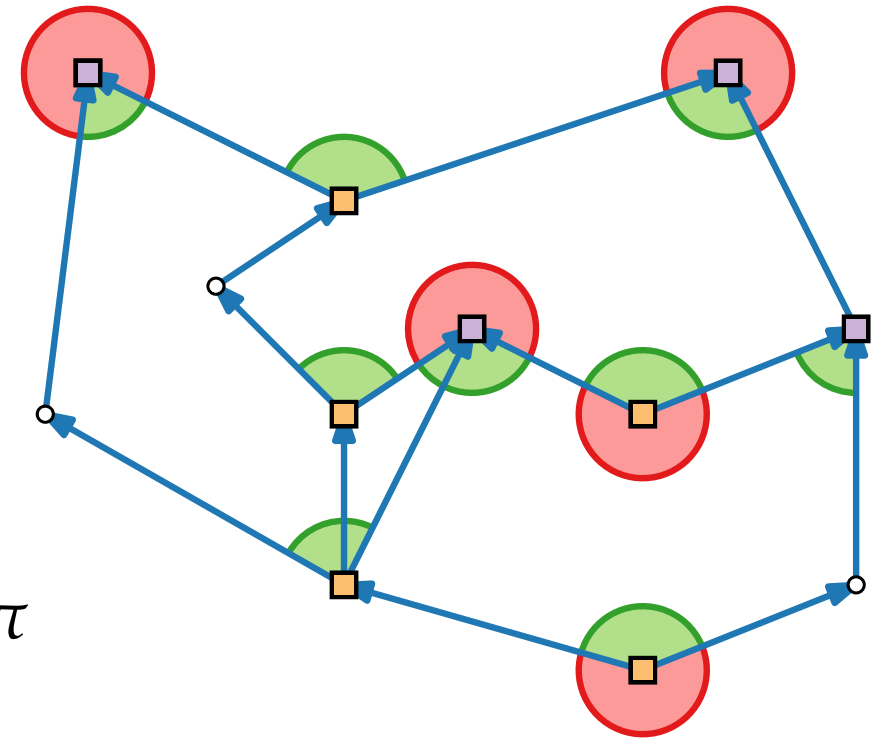
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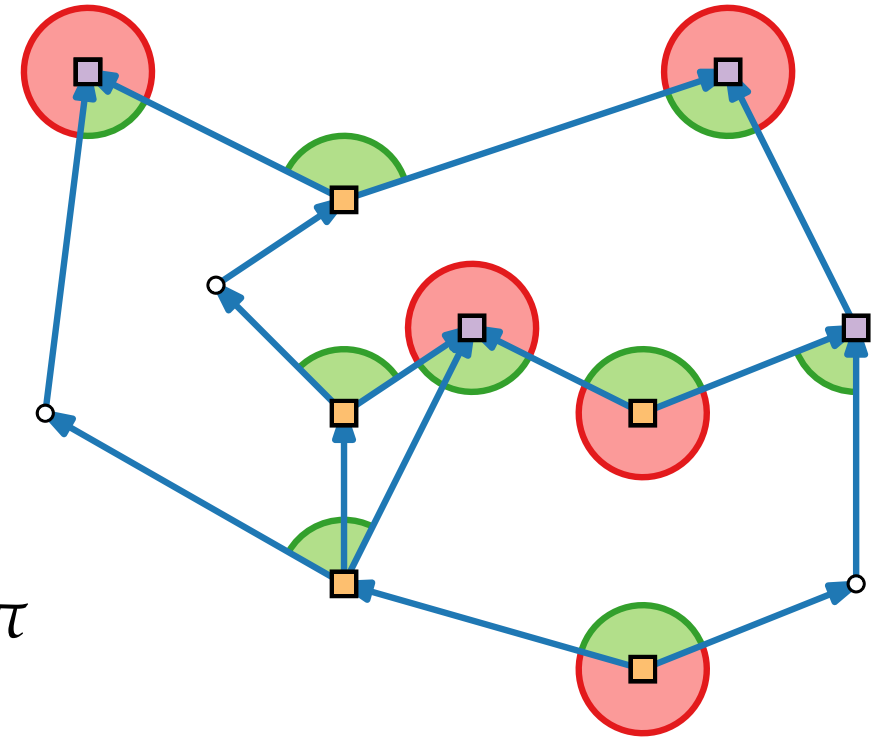
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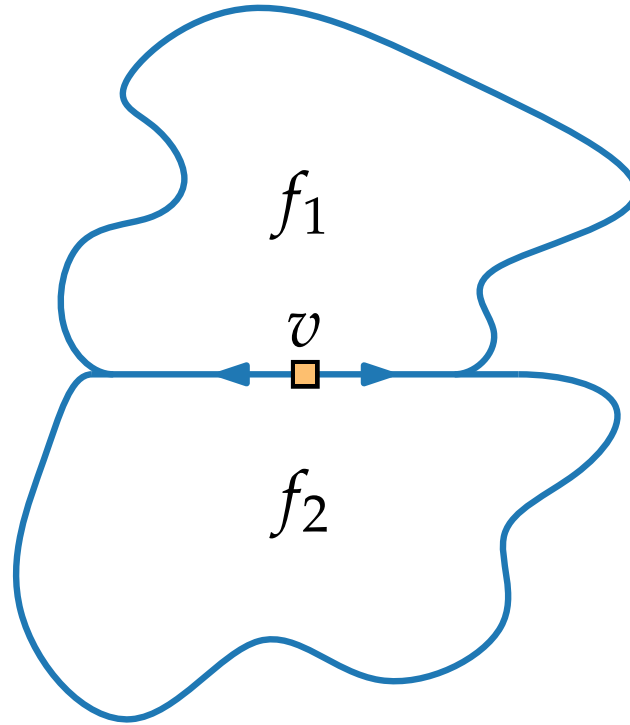


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$$L(f) + S(f) = 2A(f)$$

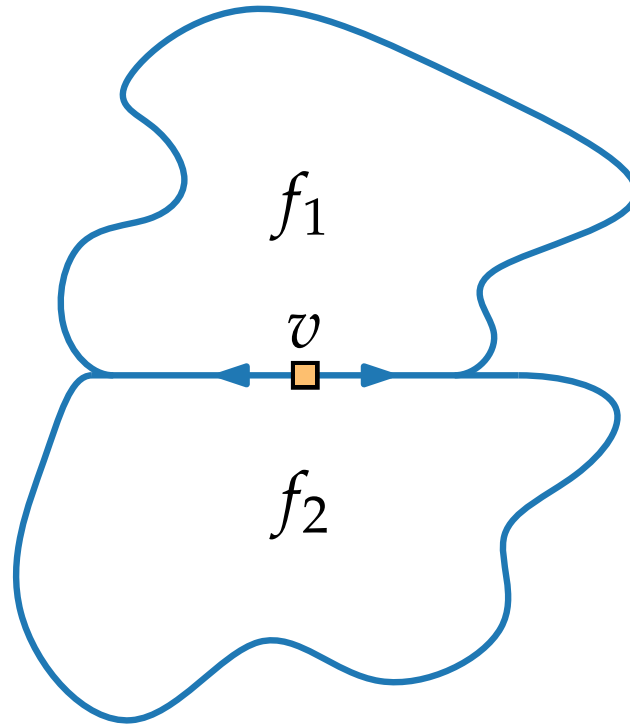
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- Vertex v is a **global source**.

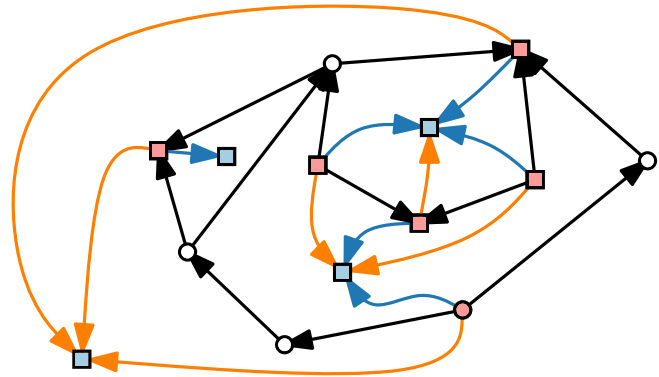


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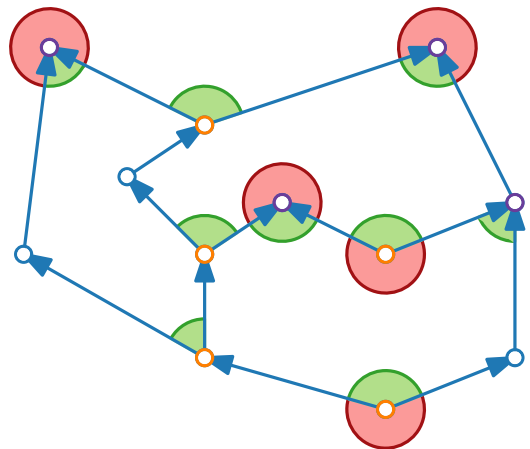
- Vertex v is a **global source**.
- At which face does v have a **large** angle?



Visualization of Graphs



Lecture 7: Upward Planar Drawings



Part III: Angle Relations

Philipp Kindermann

Angle Relations

Lemma 2.

$$L(f) - S(f) = \begin{cases} -2, & f \neq f_0 \\ +2, & f = f_0 \end{cases}$$

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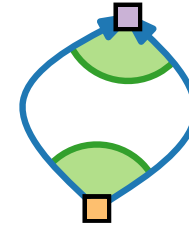
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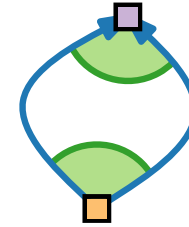
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$$\Rightarrow S(f) = 2$$

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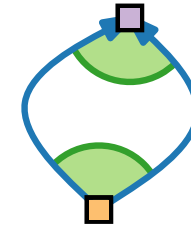
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■ $L(f) \geq 1$

Proof by induction.

■ $L(f) = 0$



$\Rightarrow S(f) = 2$

Angle Relations

Lemma 2.

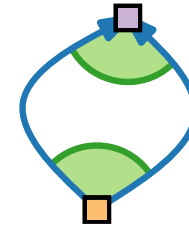
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Angle Relations

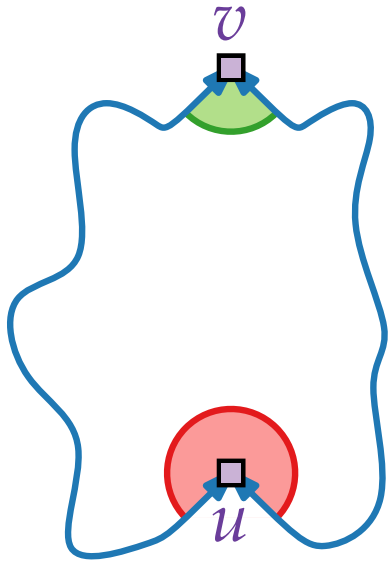
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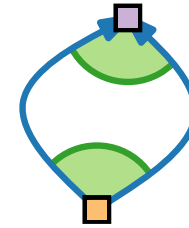
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Angle Relations

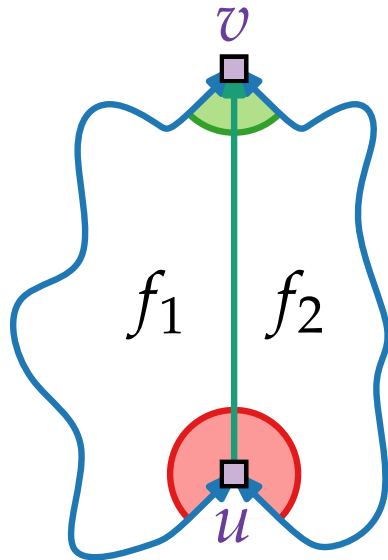
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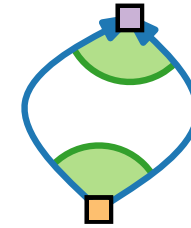
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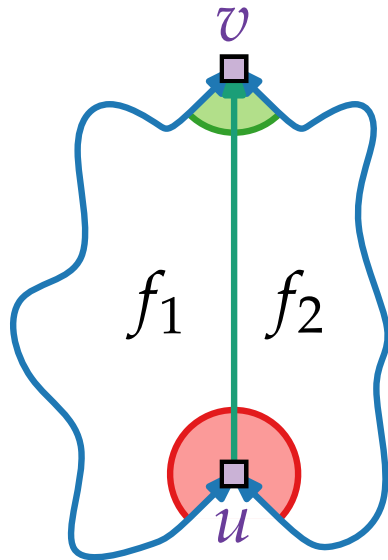
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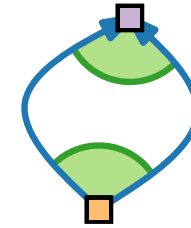
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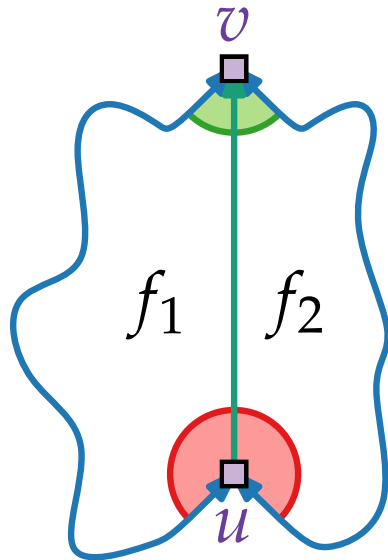
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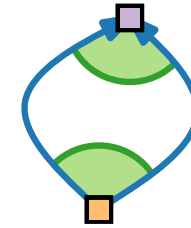
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Angle Relations

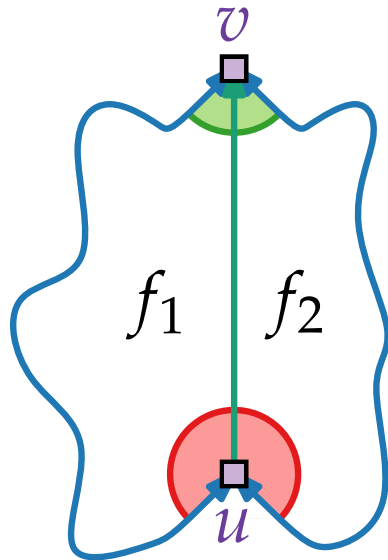
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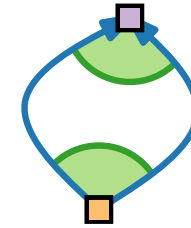
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Angle Relations

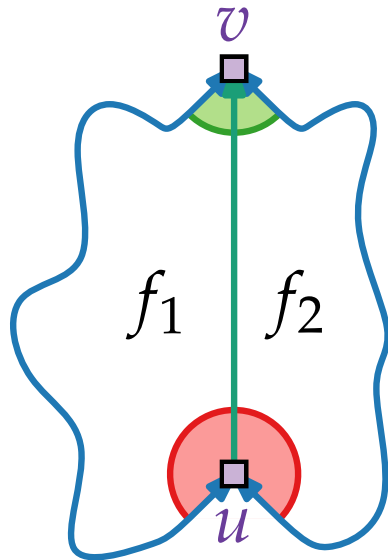
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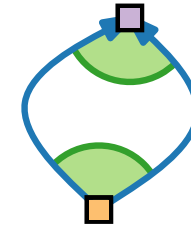
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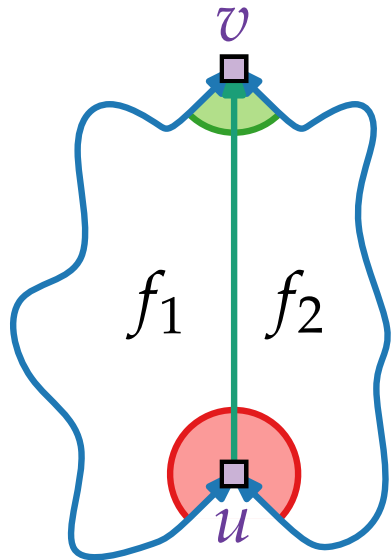
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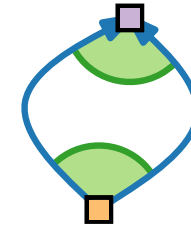
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Angle Relations

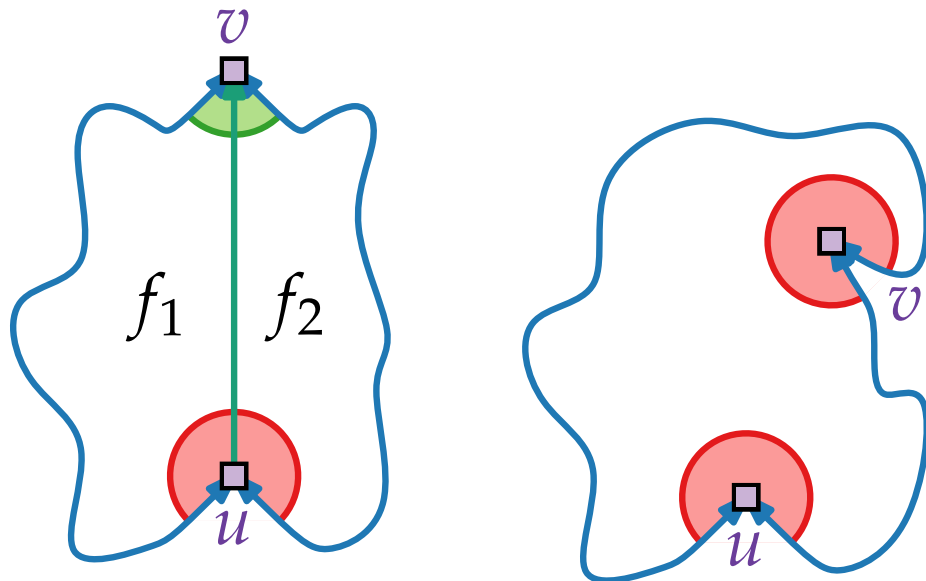
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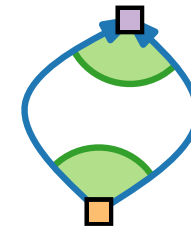
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Angle Relations

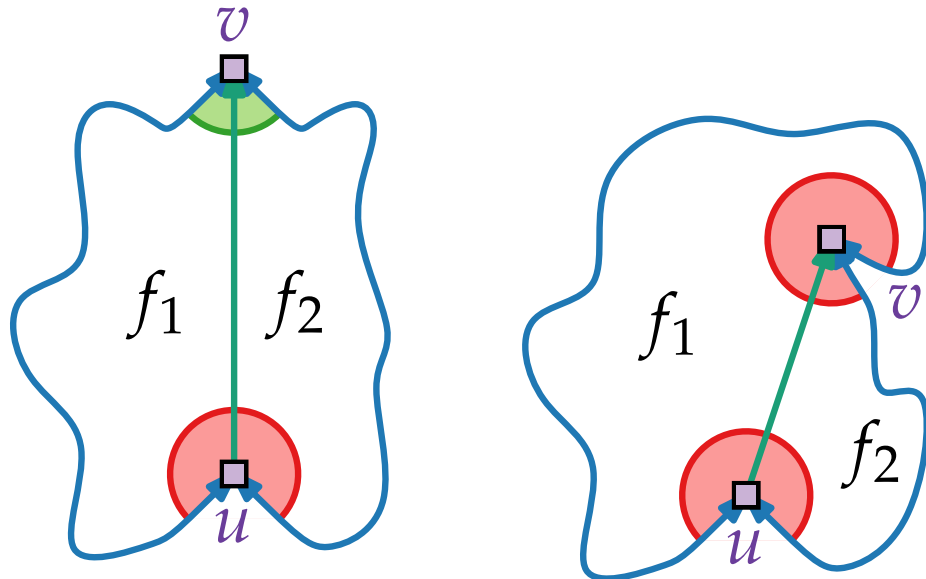
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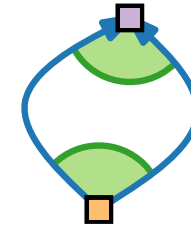
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Angle Relations

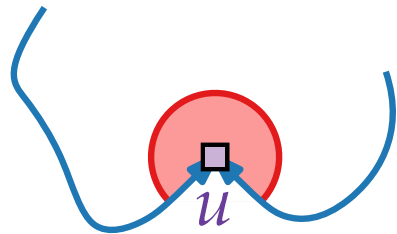
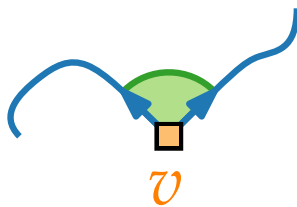
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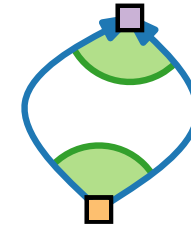
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Angle Relations

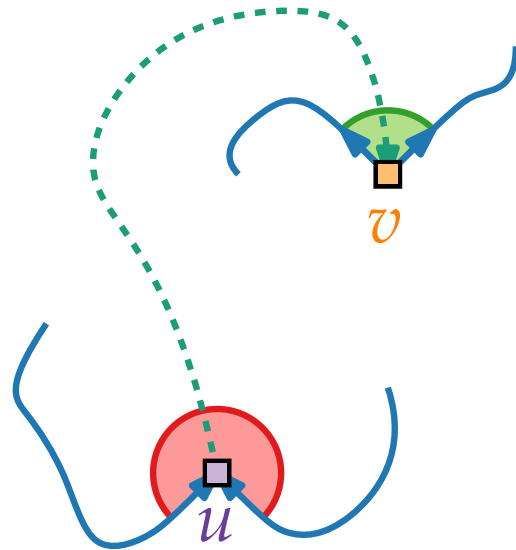
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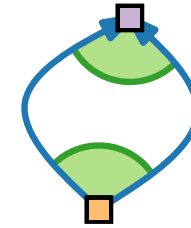
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Angle Relations

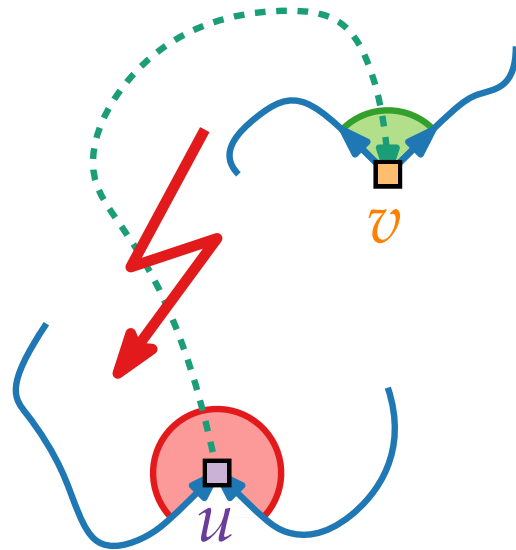
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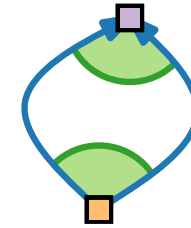
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Angle Relations

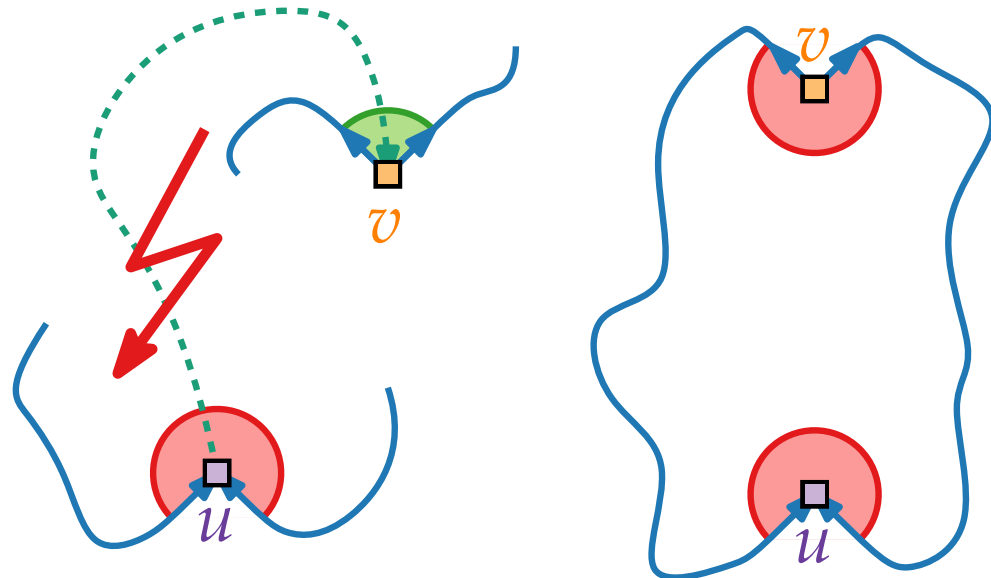
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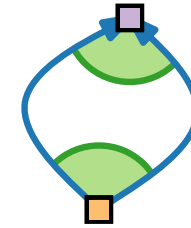
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Angle Relations

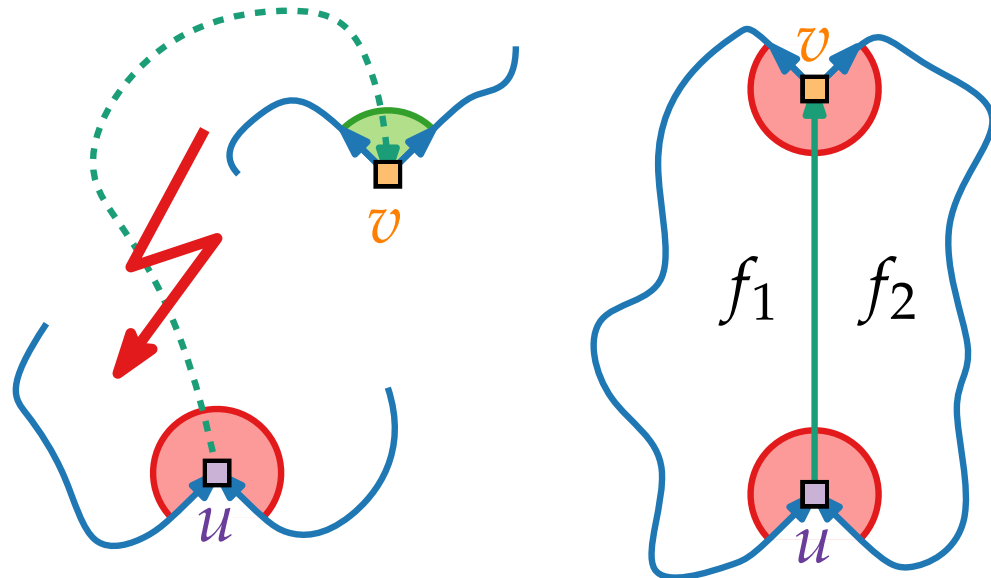
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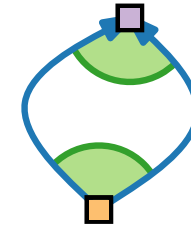
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Angle Relations

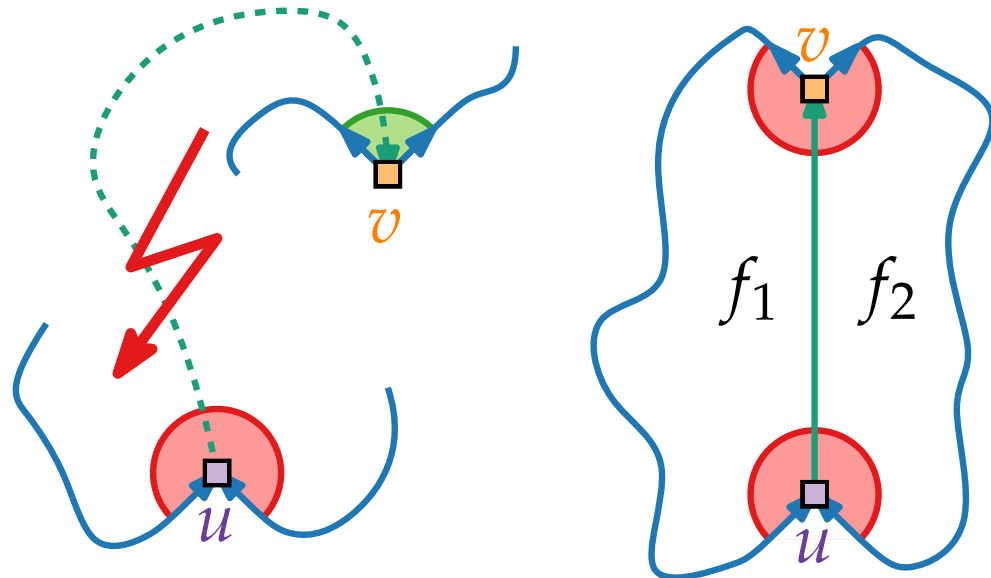
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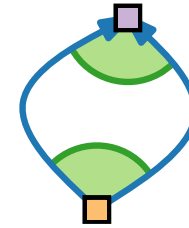
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Angle Relations

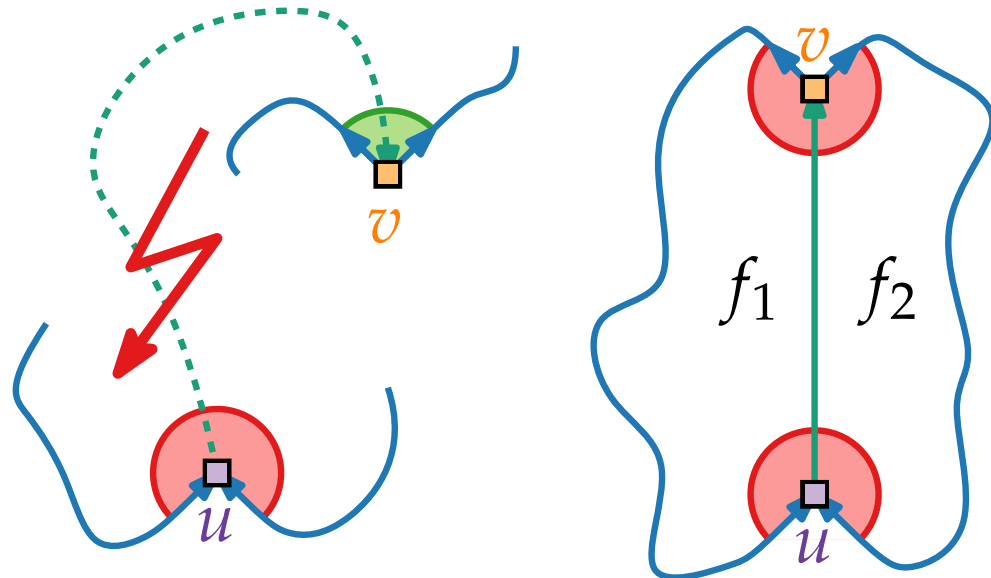
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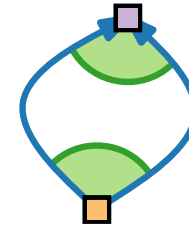
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Angle Relations

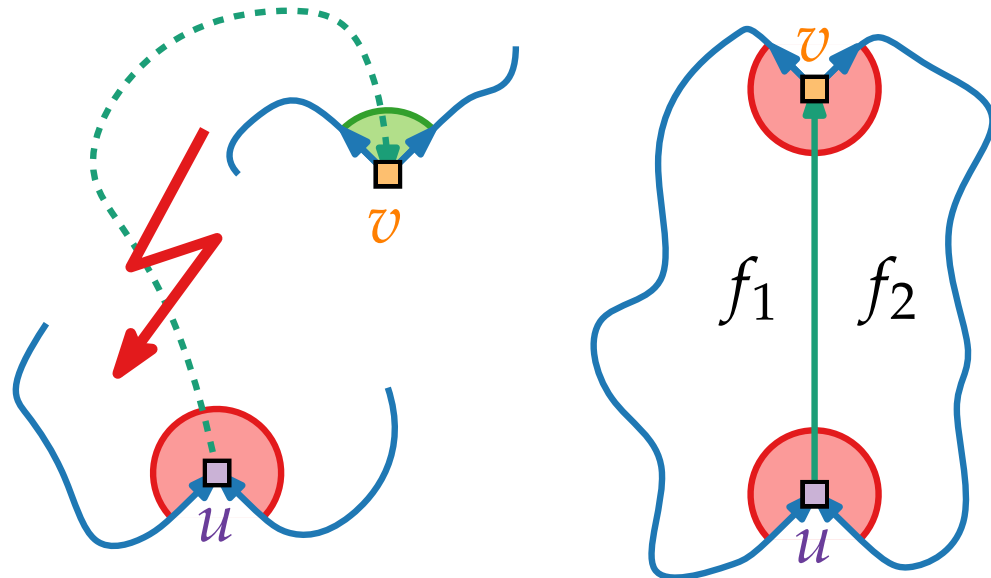
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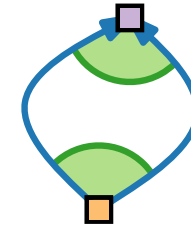
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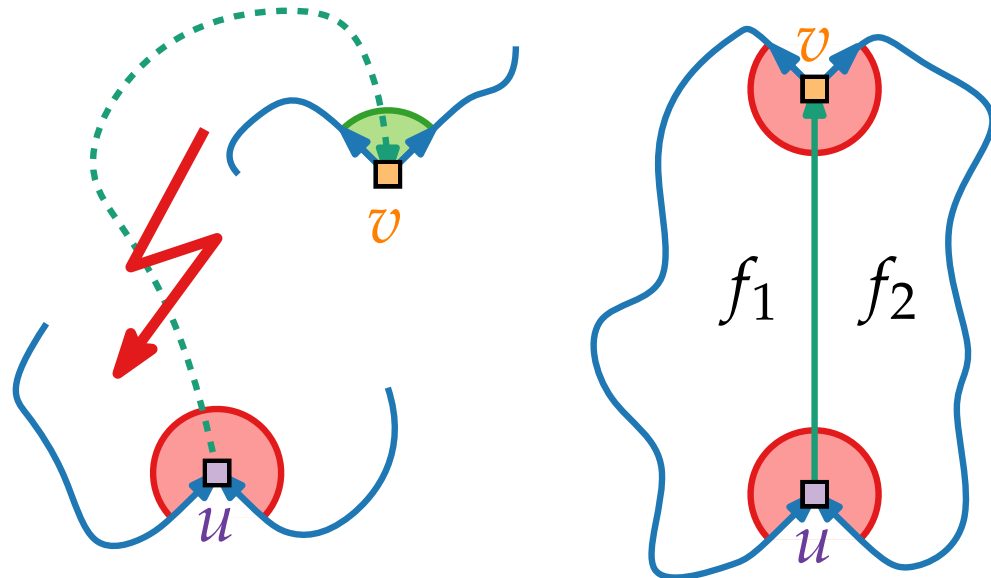
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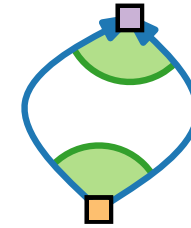
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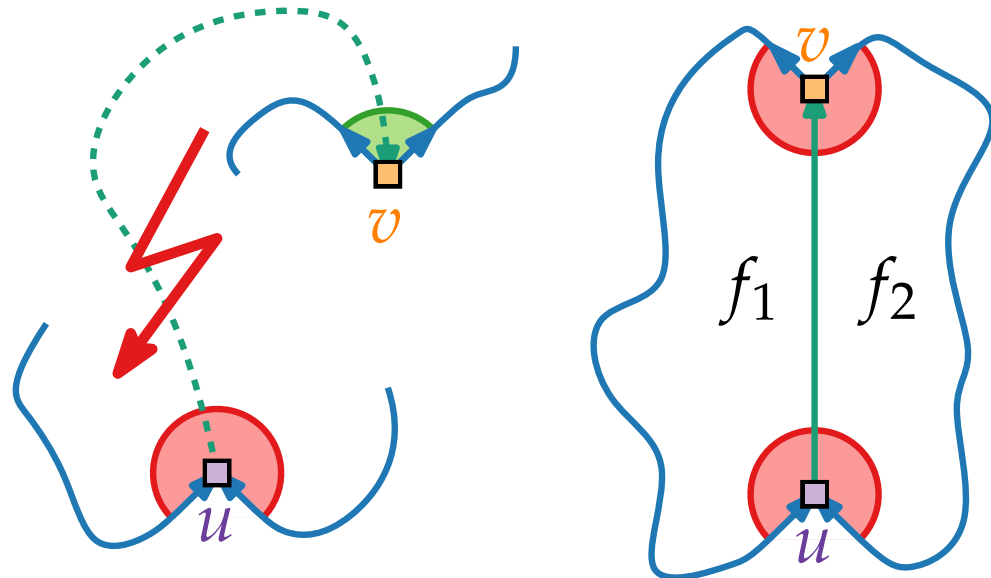
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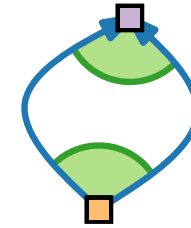
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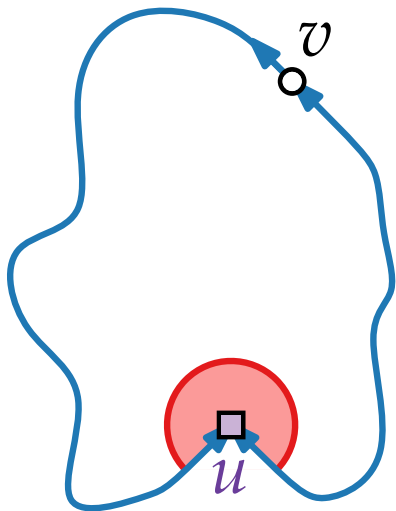
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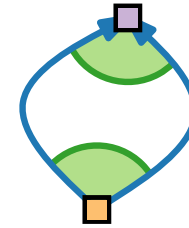
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Angle Relations

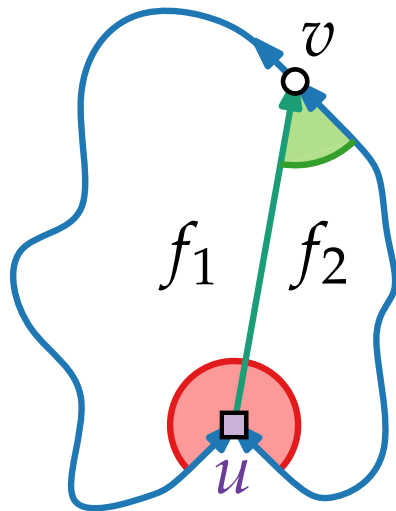
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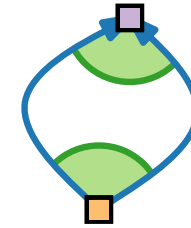
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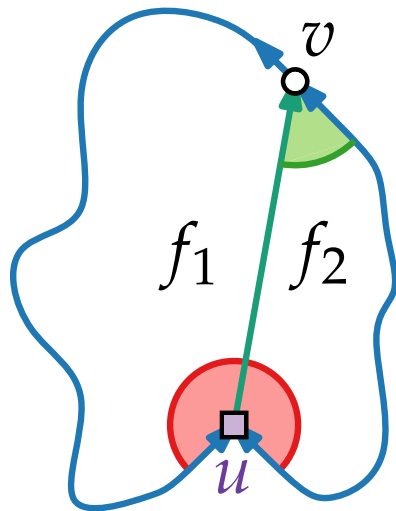
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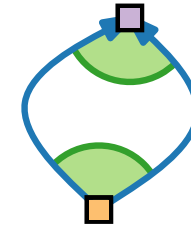
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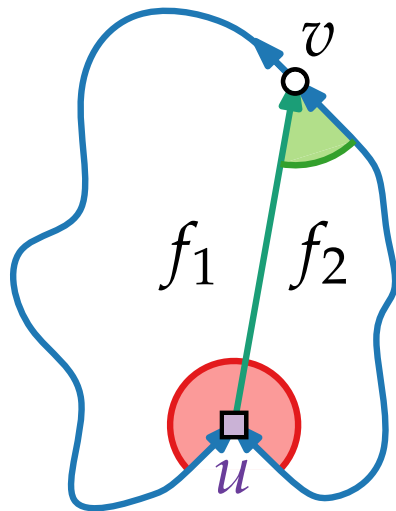
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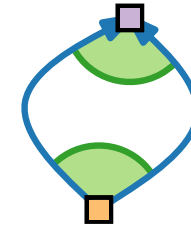
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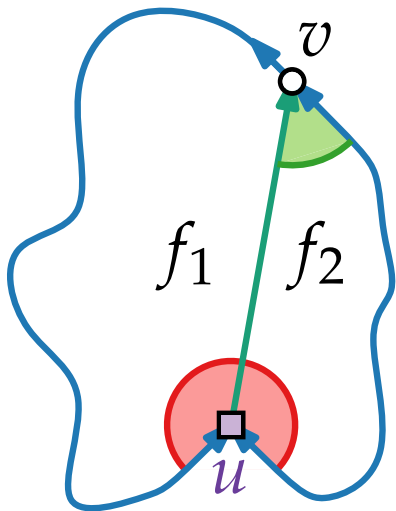
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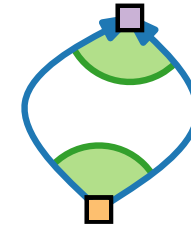
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Angle Relations

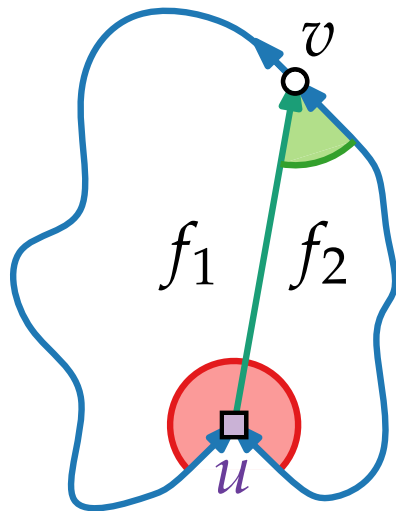
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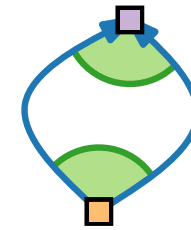
Split f with **edge** from a large angle at a “low” **sink** u to

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Proof by induction.

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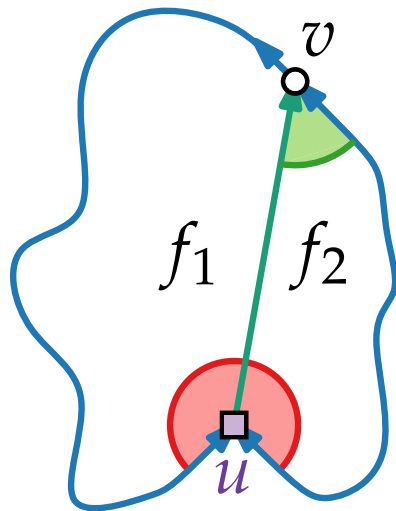
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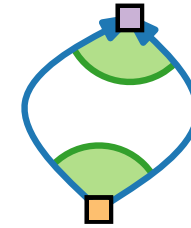
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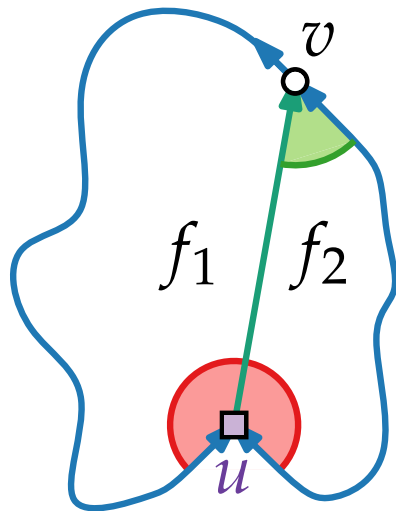
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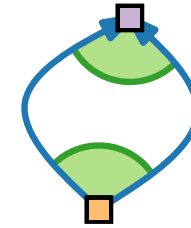
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■ Otherwise “high” **source** u exists.

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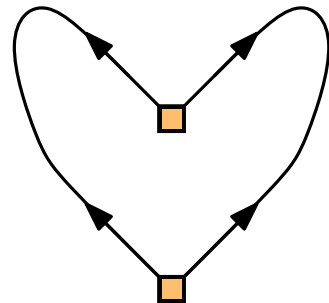
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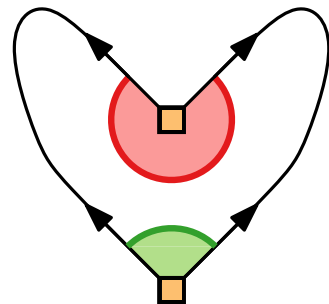


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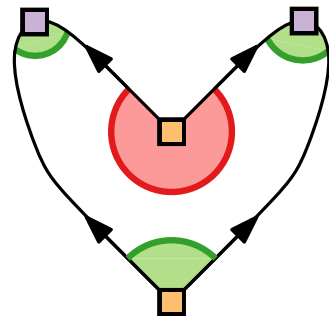


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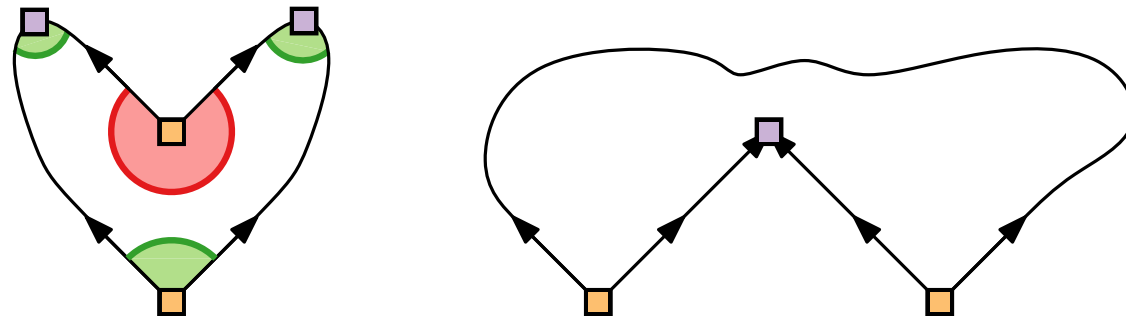


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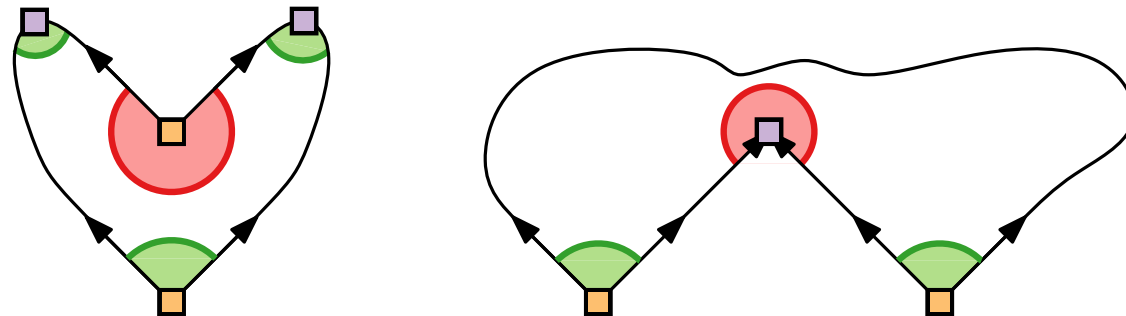


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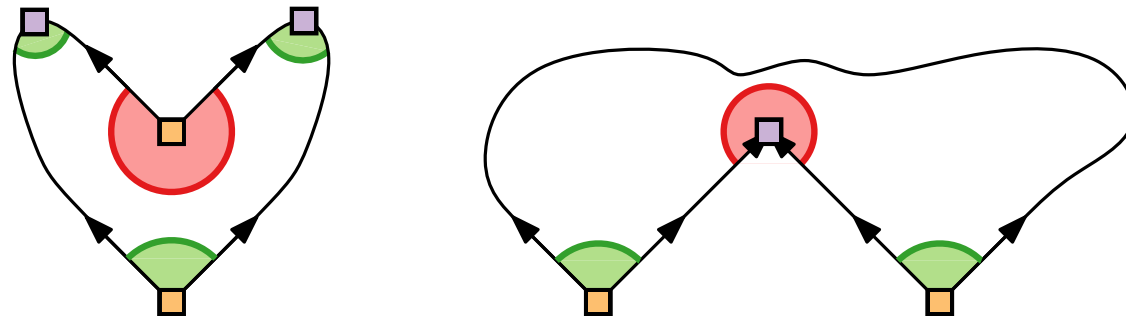
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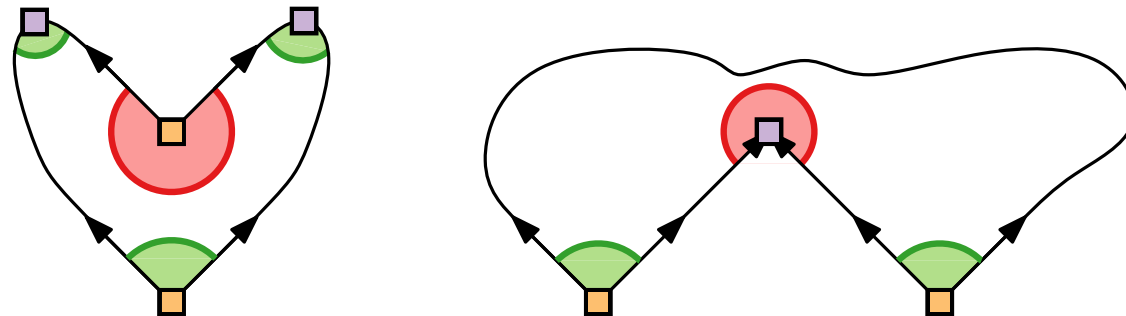
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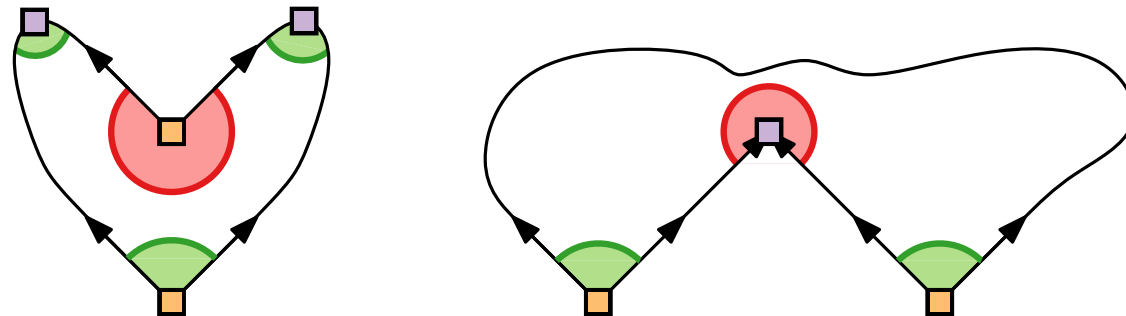
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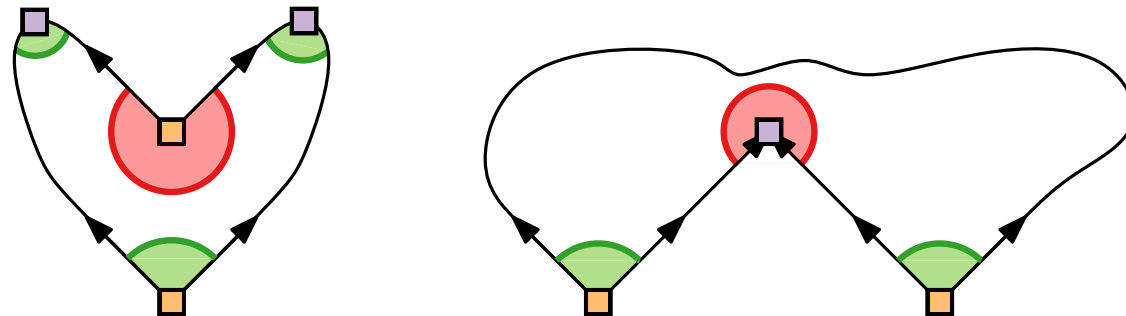
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Assignment of Large Angles to Faces

Let S and T be the sets of **sources** and **sinks**, respectively.

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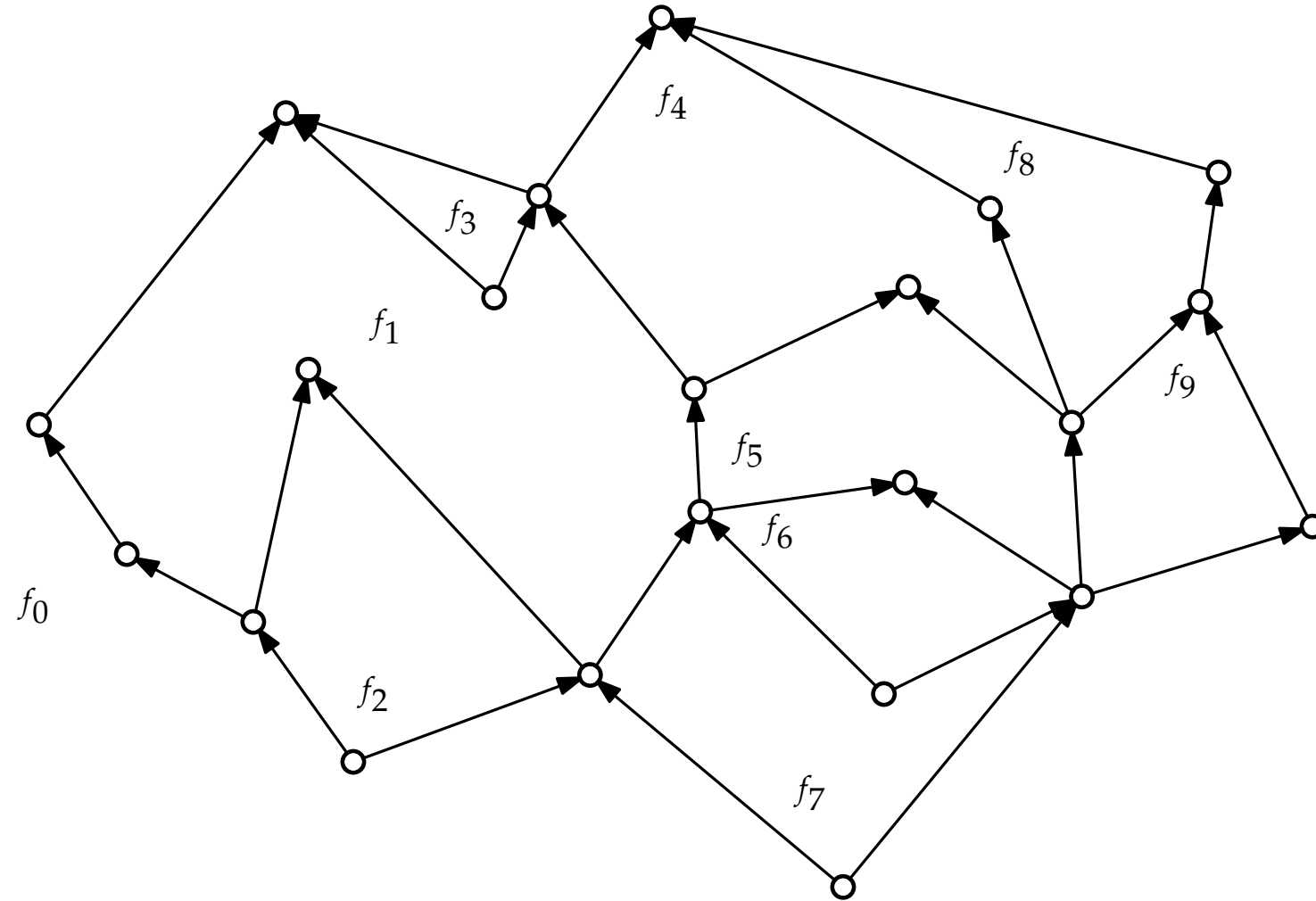
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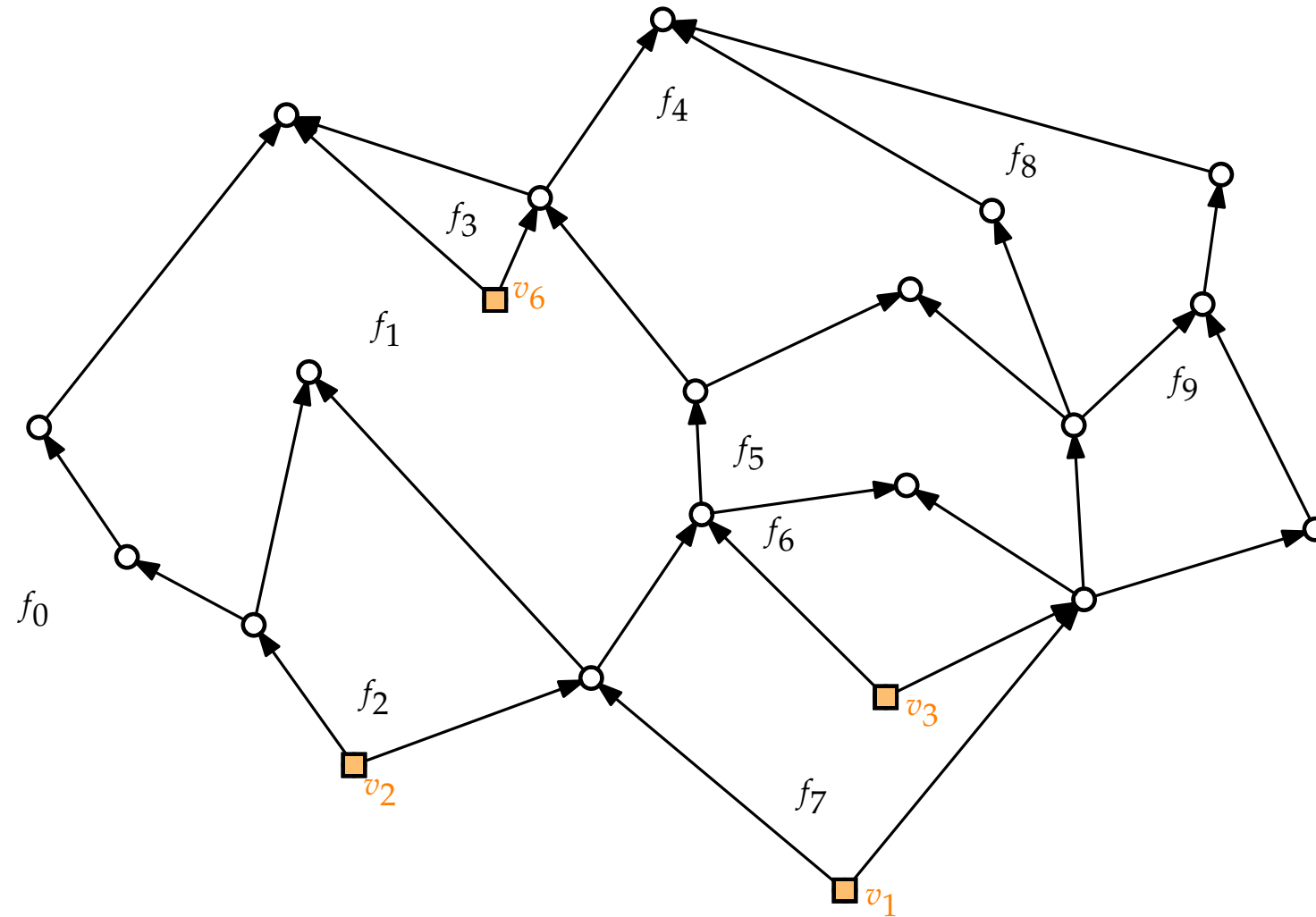
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Example of Angle to Face Assignment

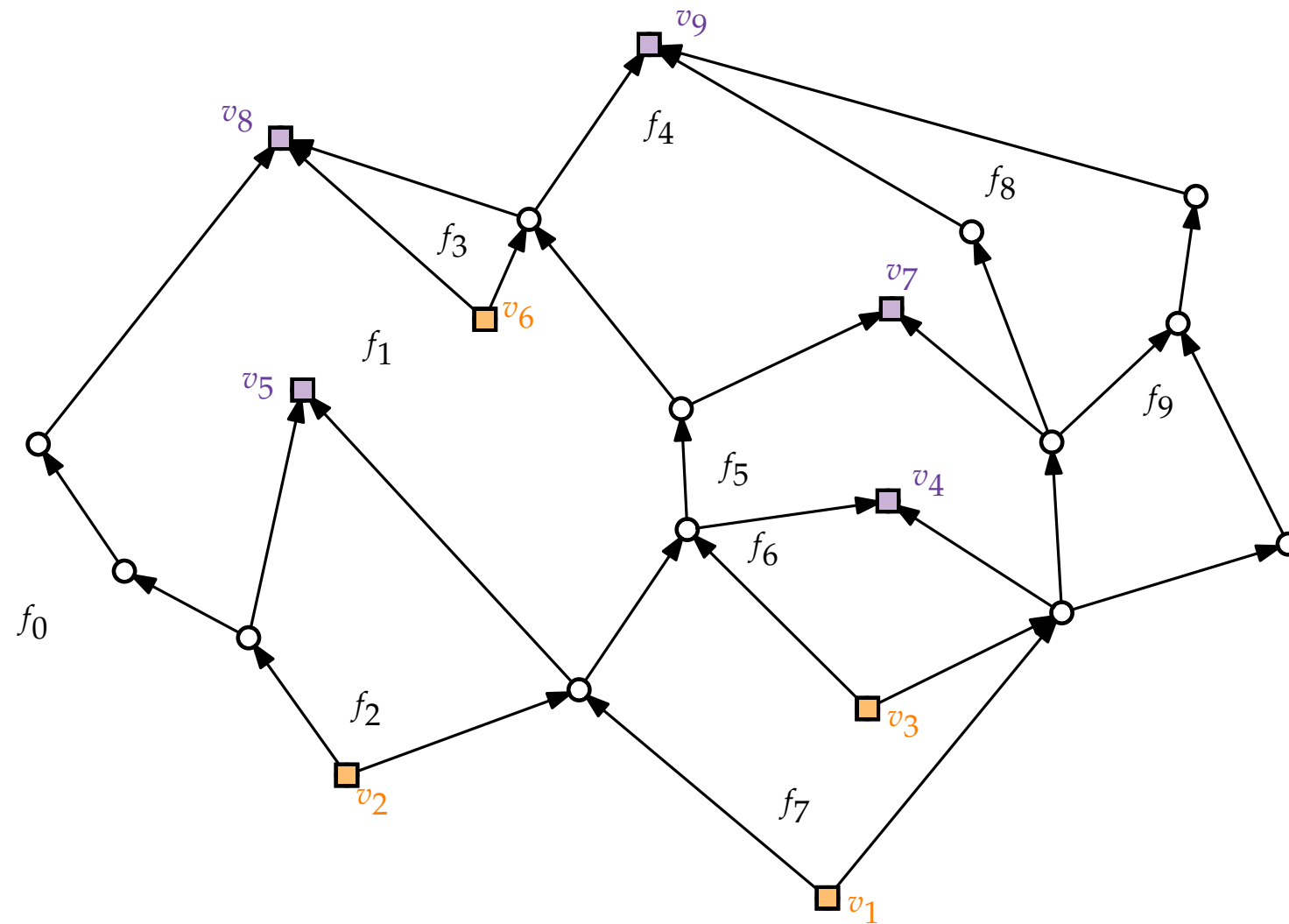


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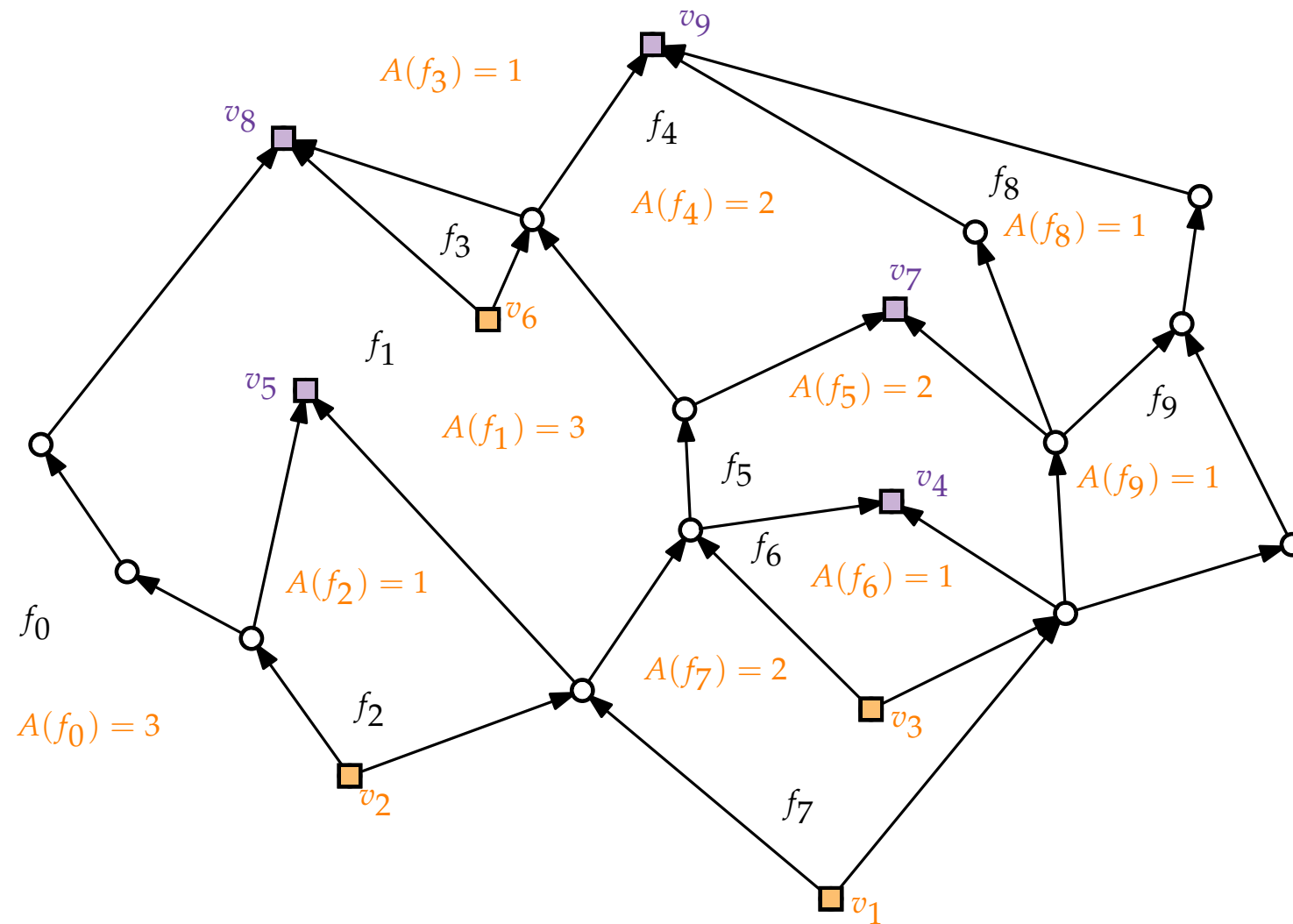
global sources

Example of Angle to Face Assignment



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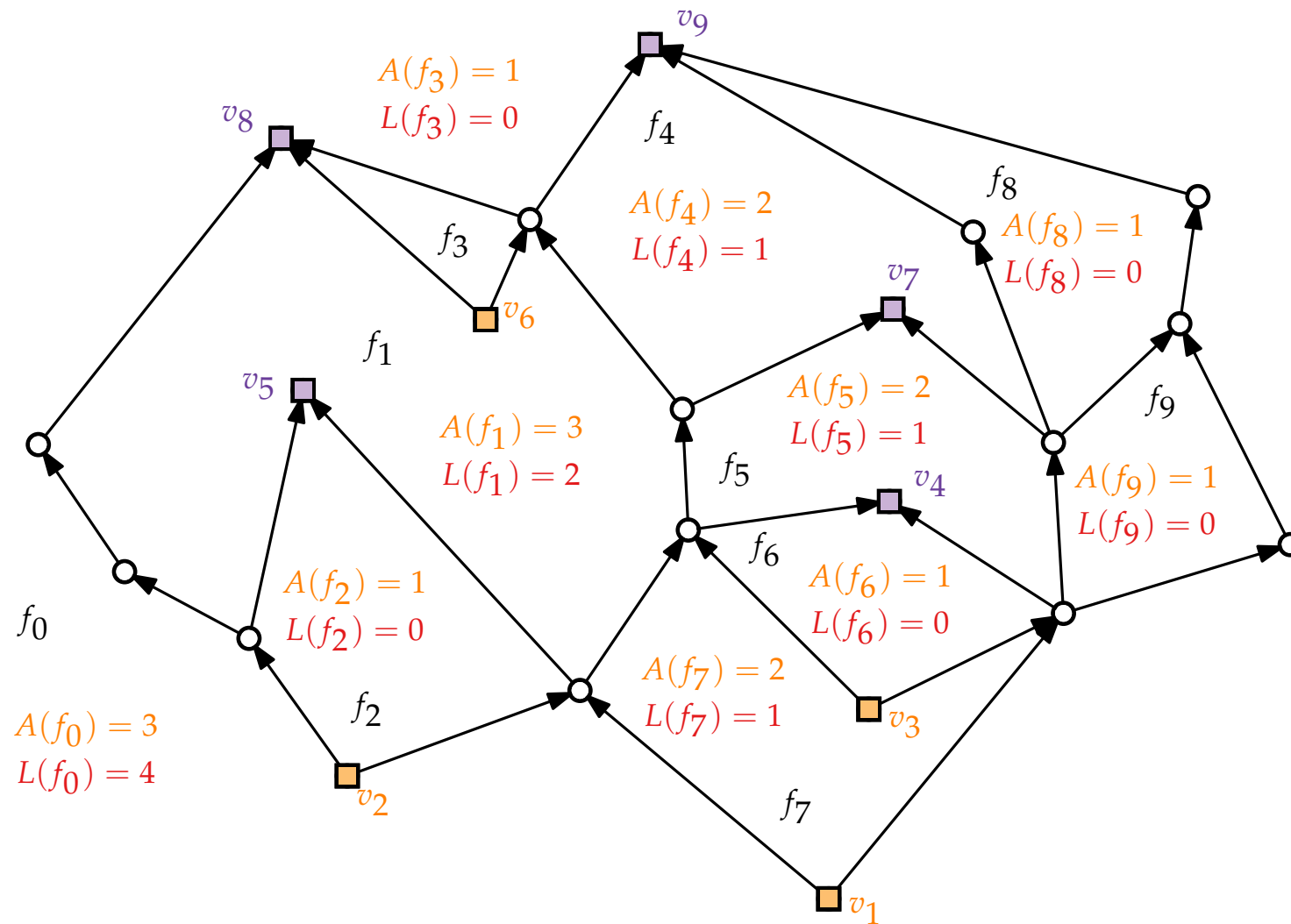
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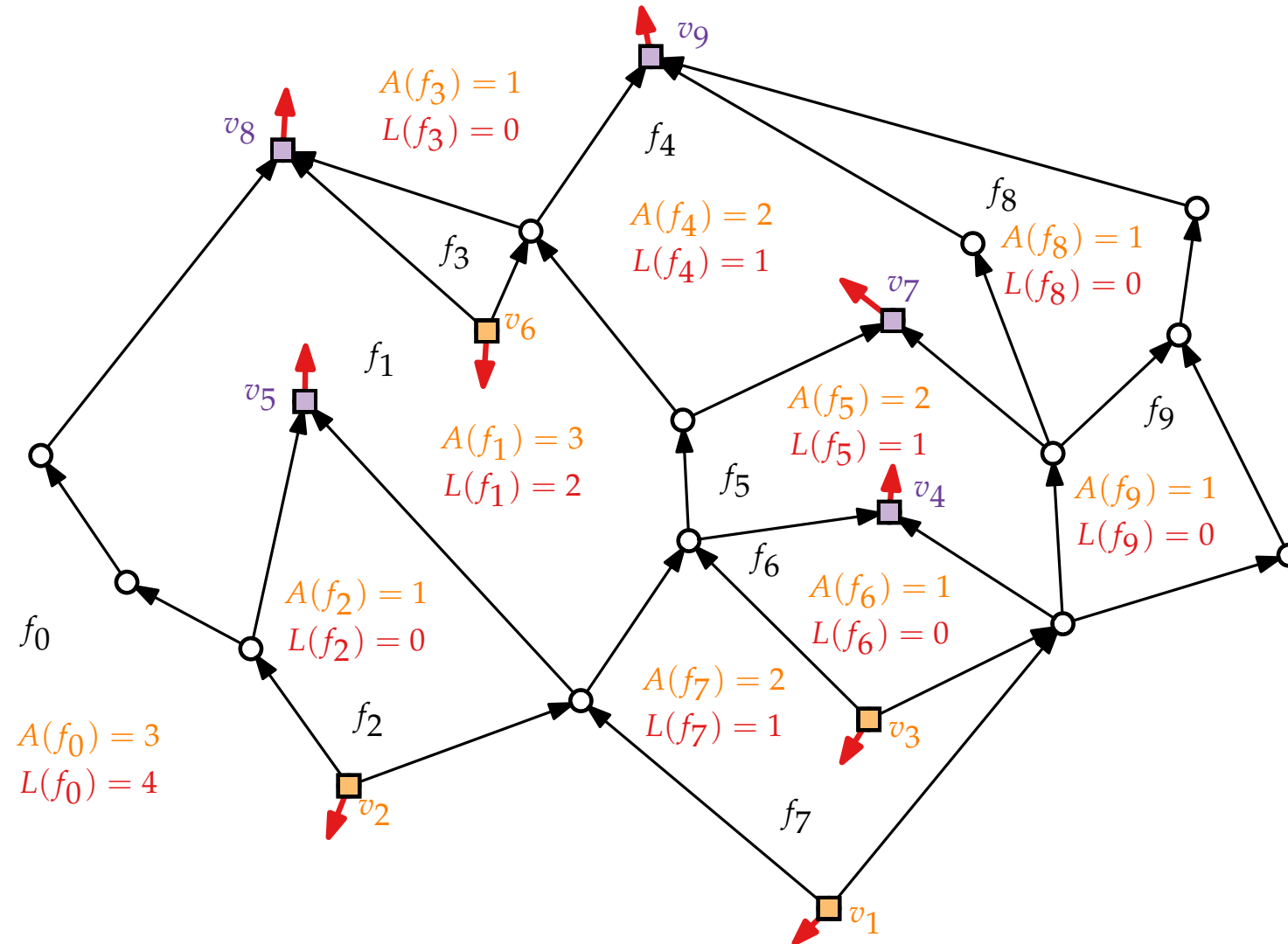


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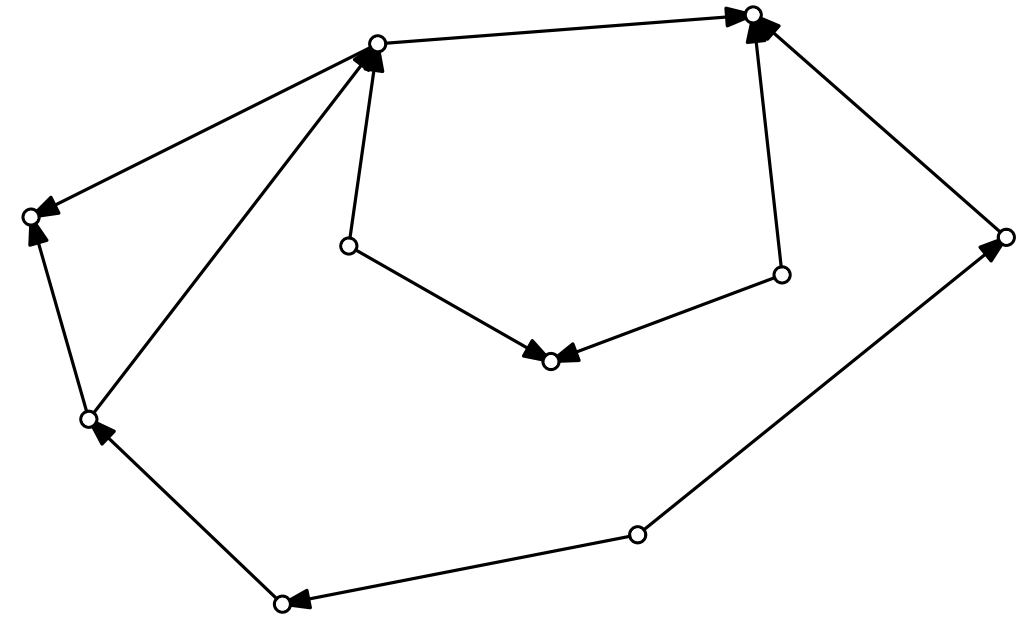
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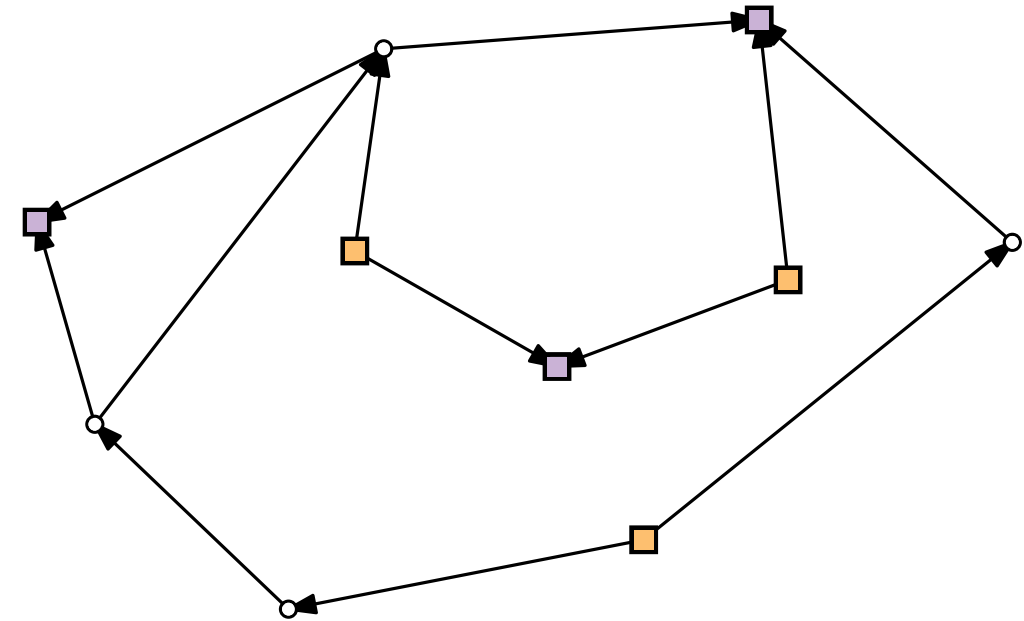
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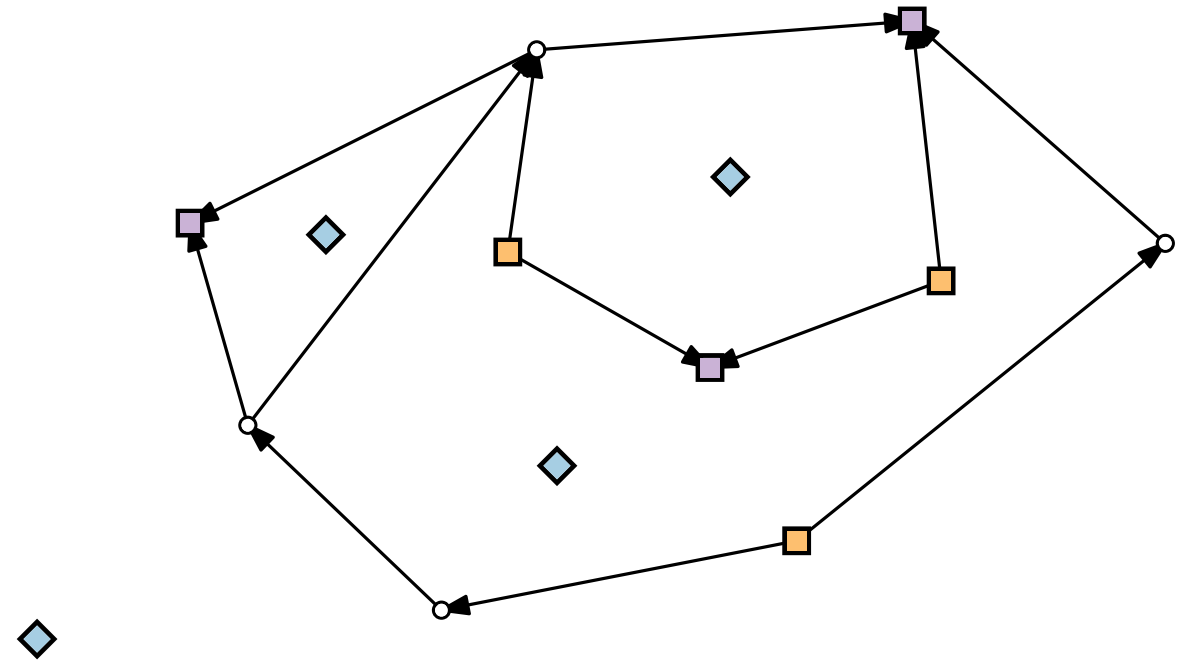
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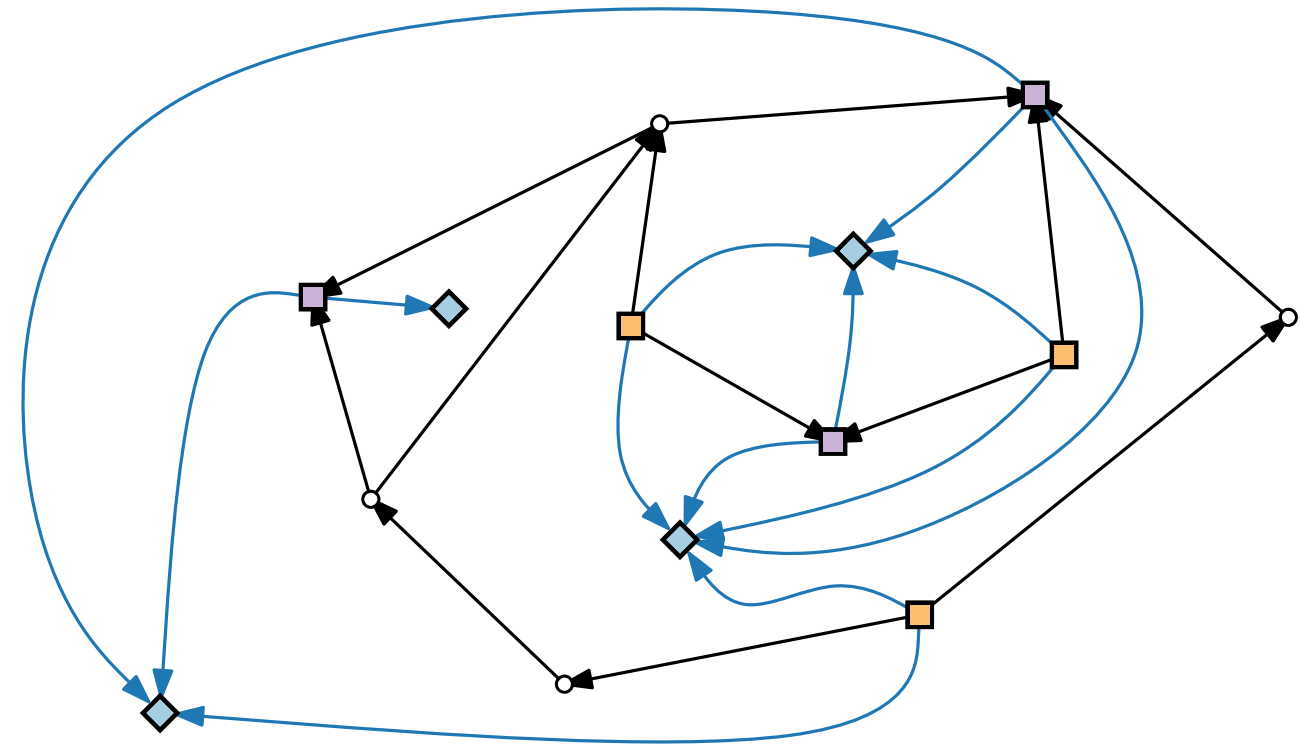
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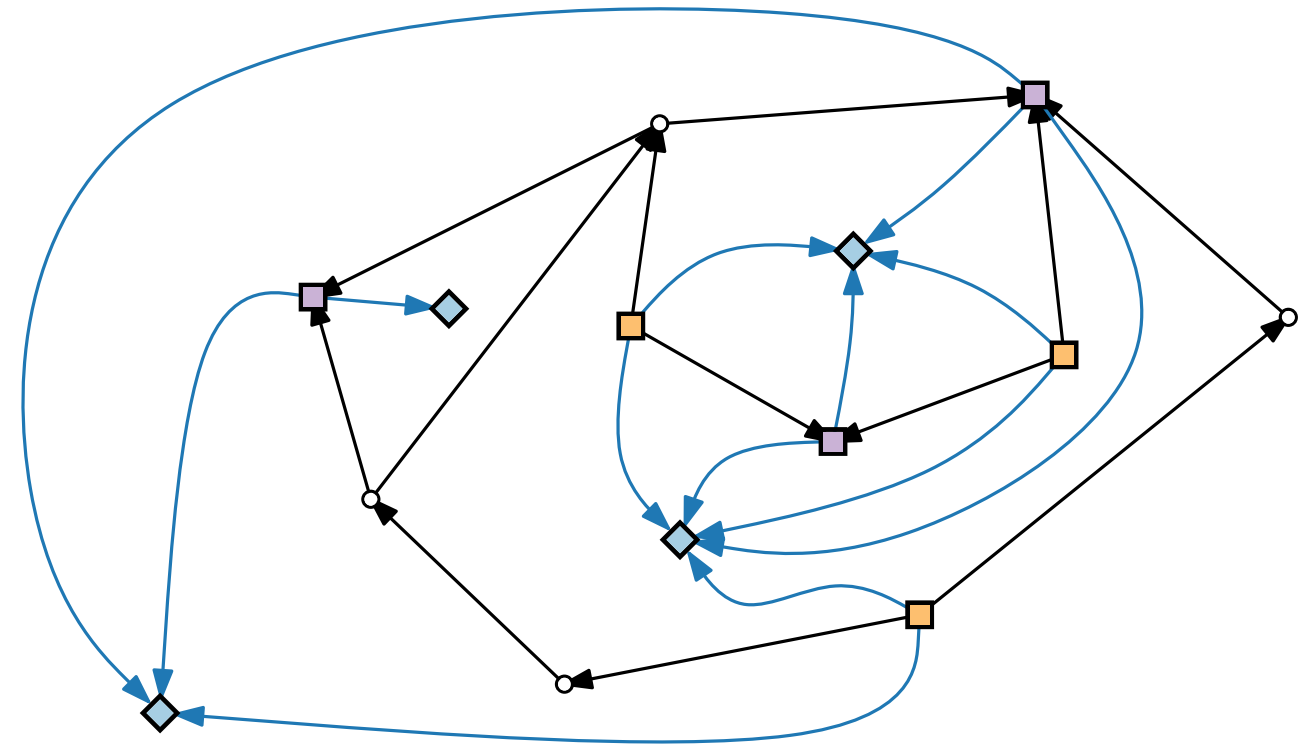
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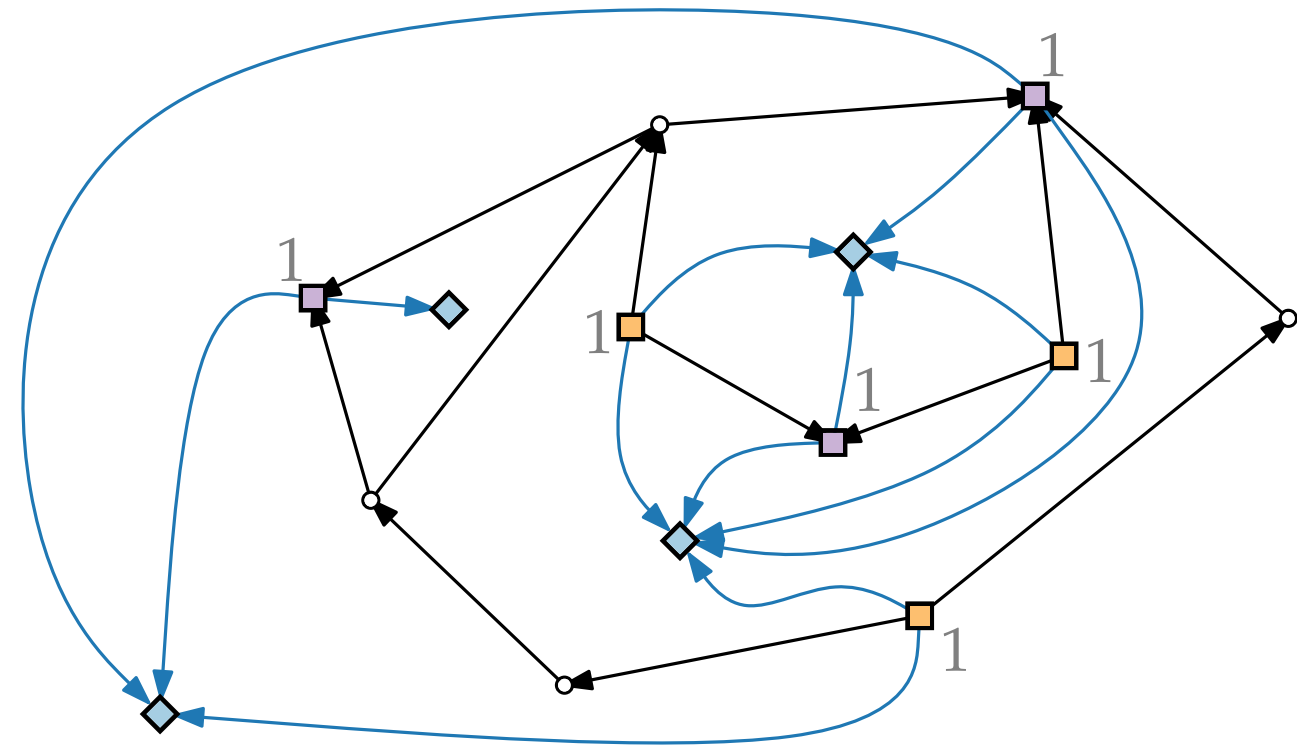
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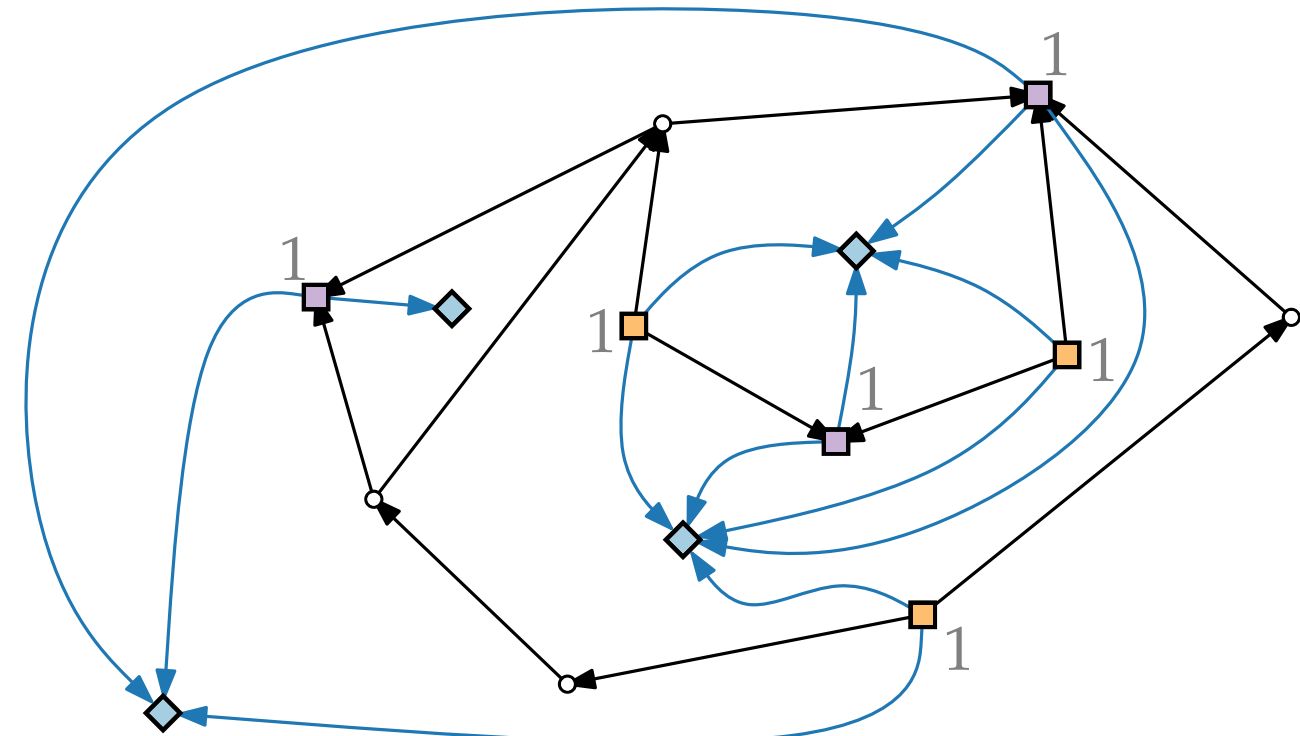
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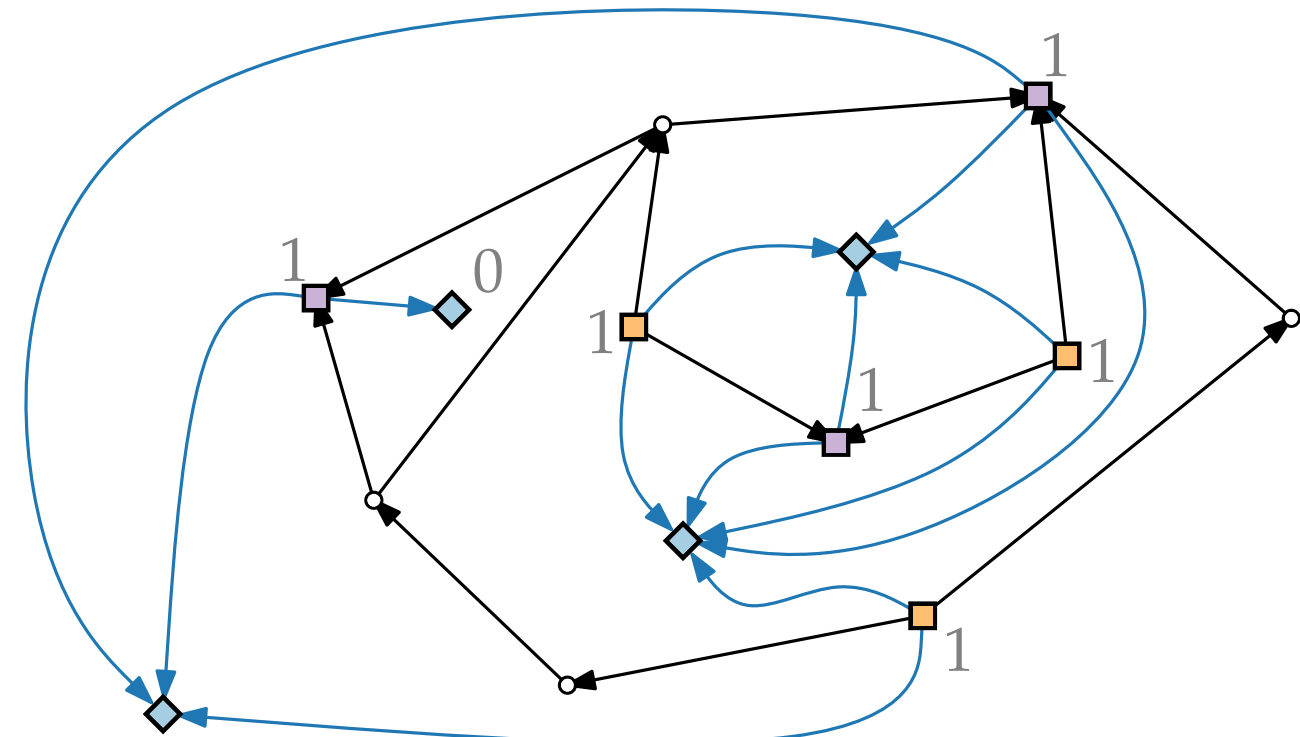
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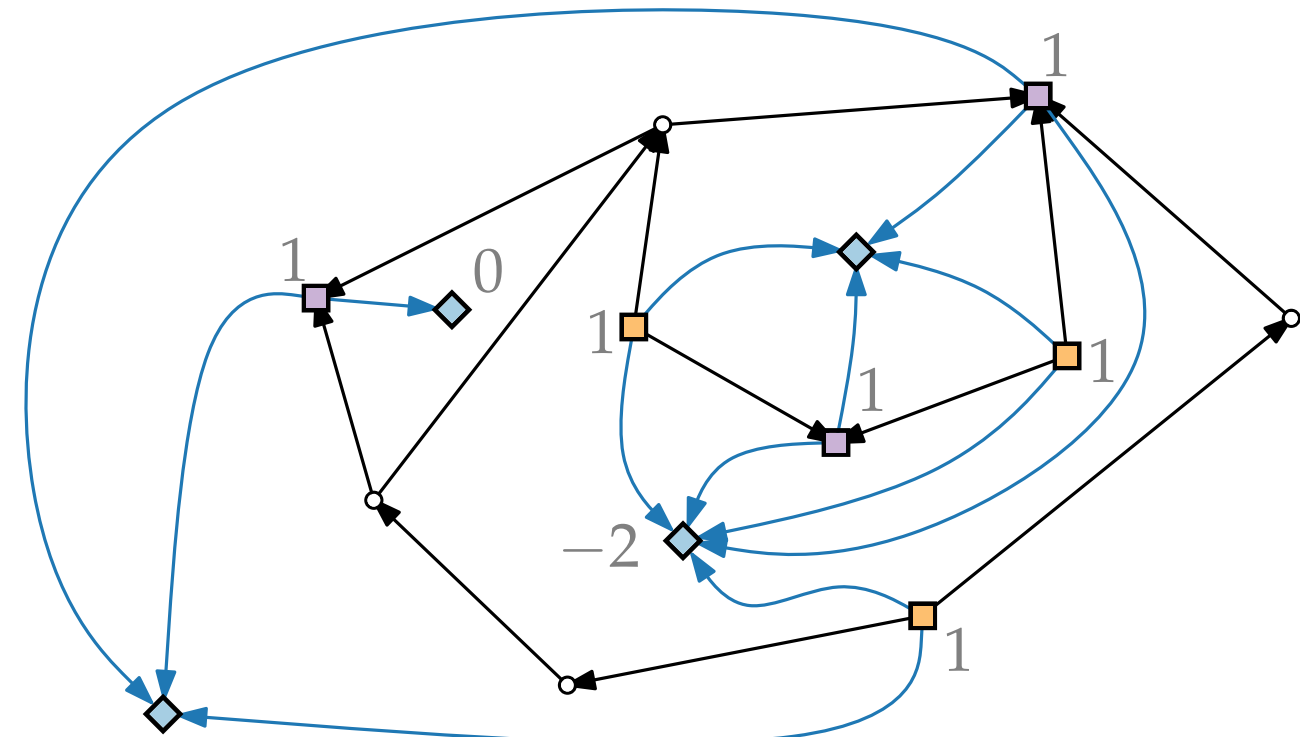
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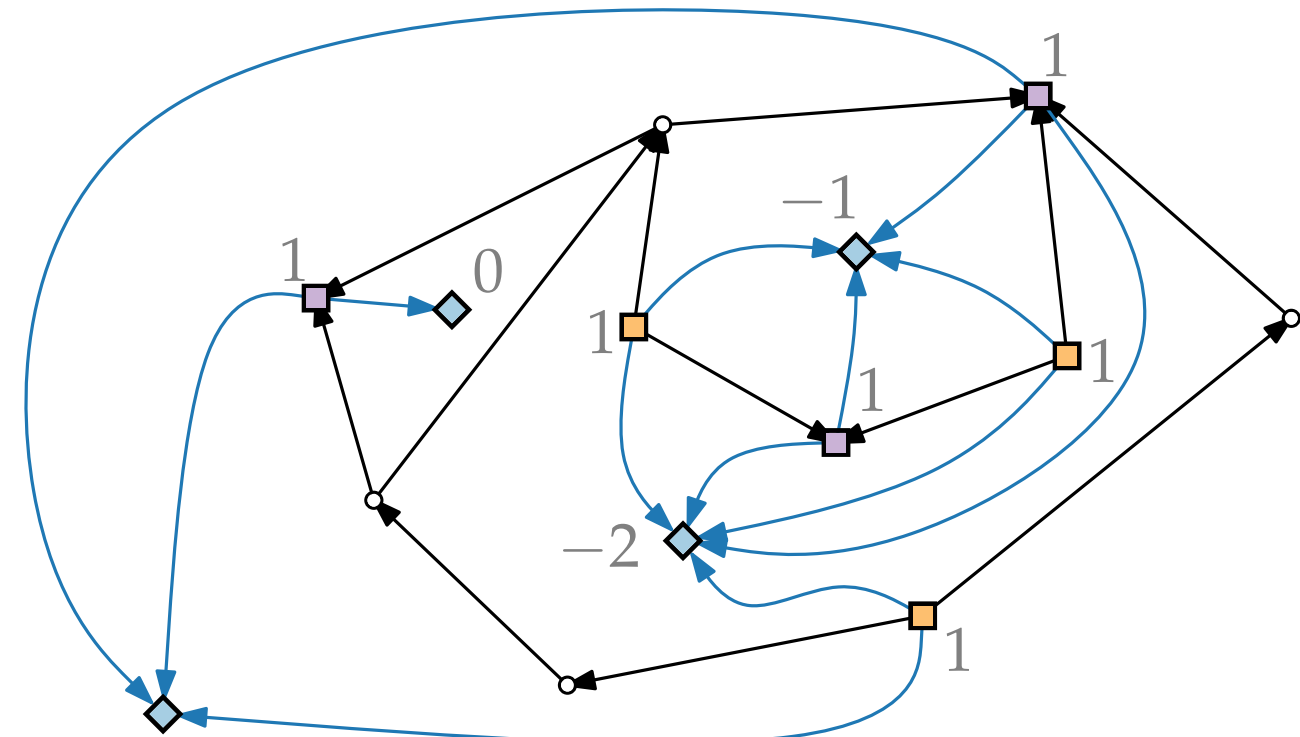
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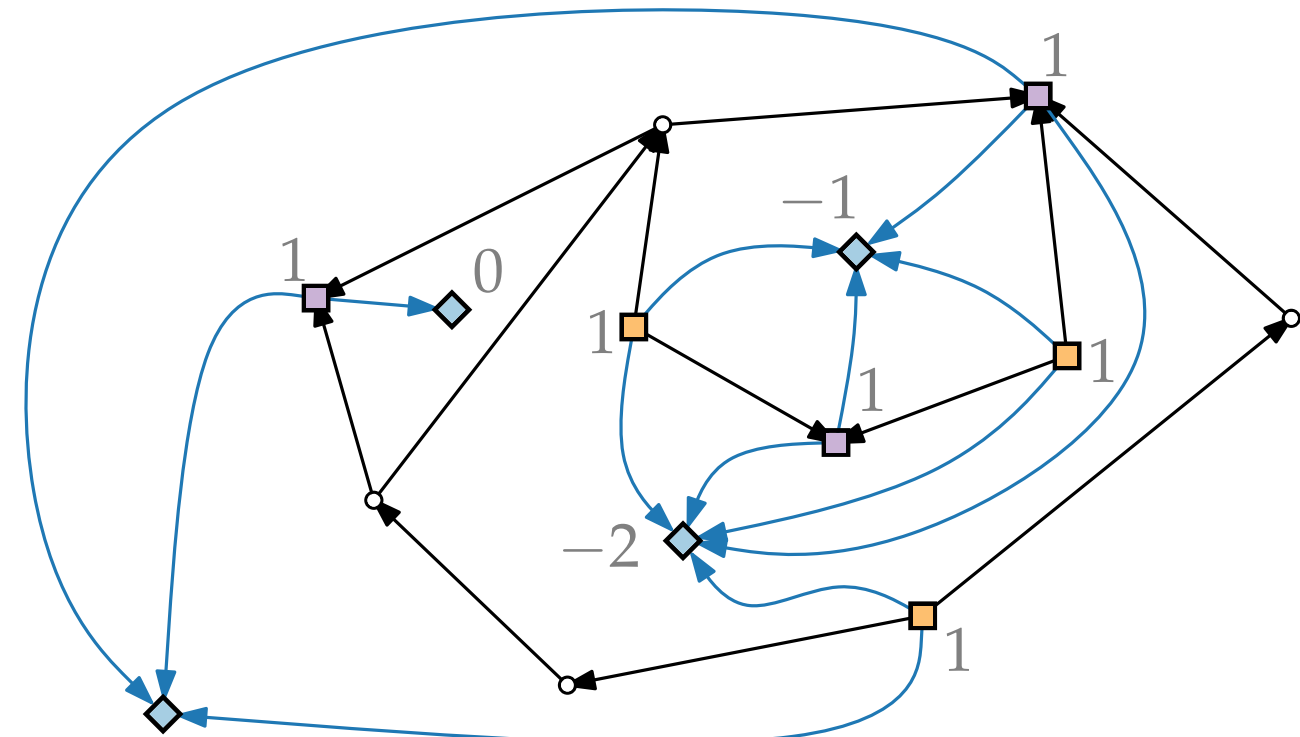
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$$\blacksquare W = \{v \in V \mid v \text{ source or sink}\} \cup F$$

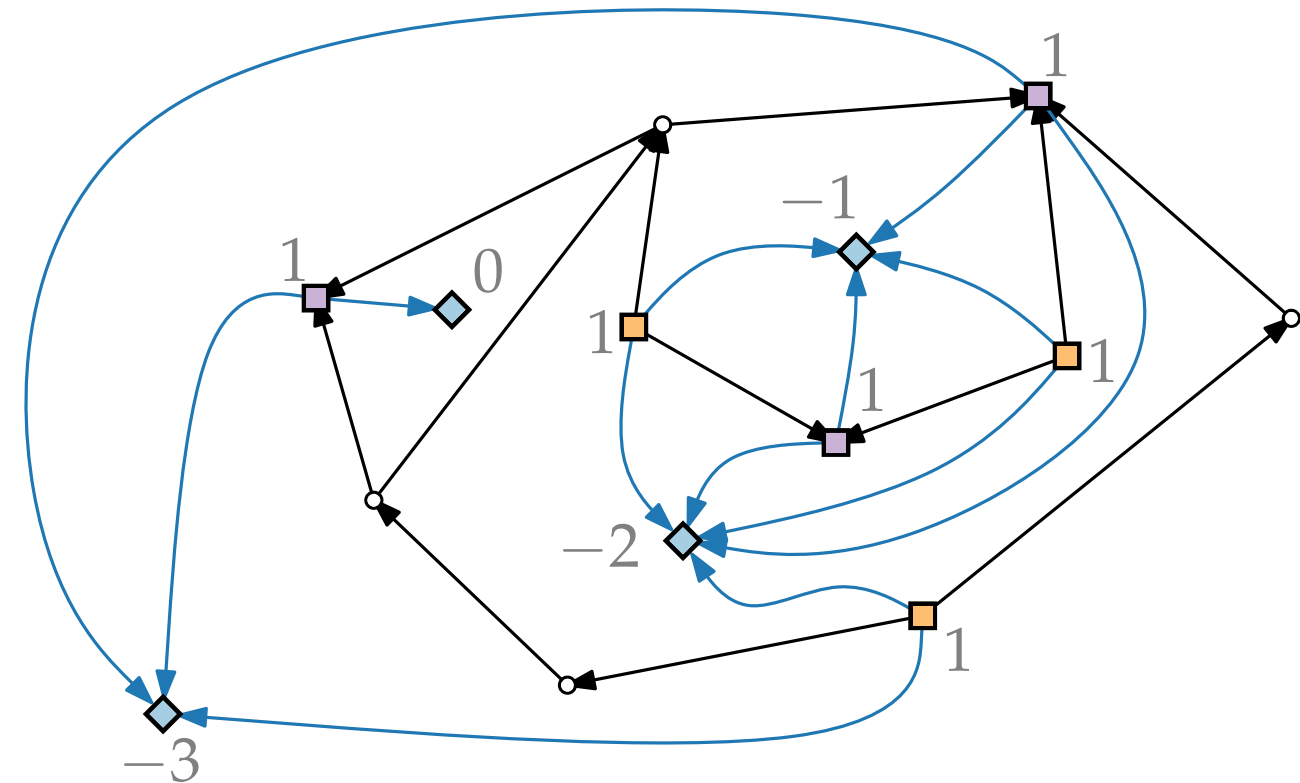
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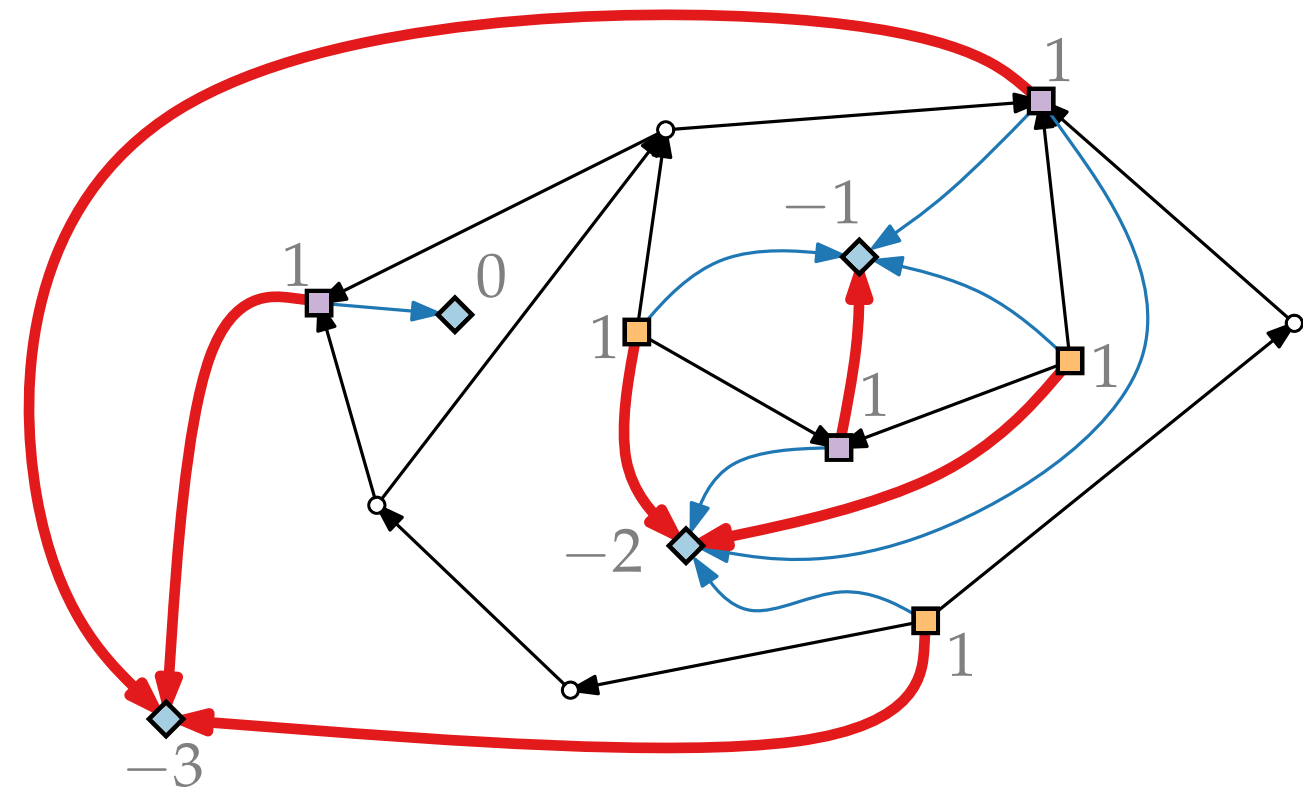
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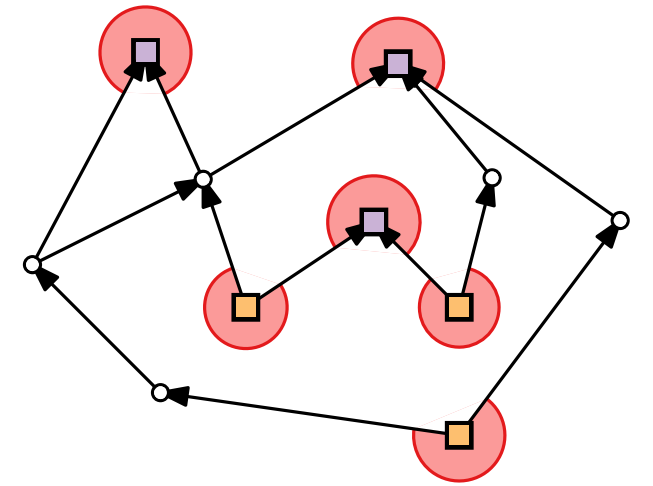
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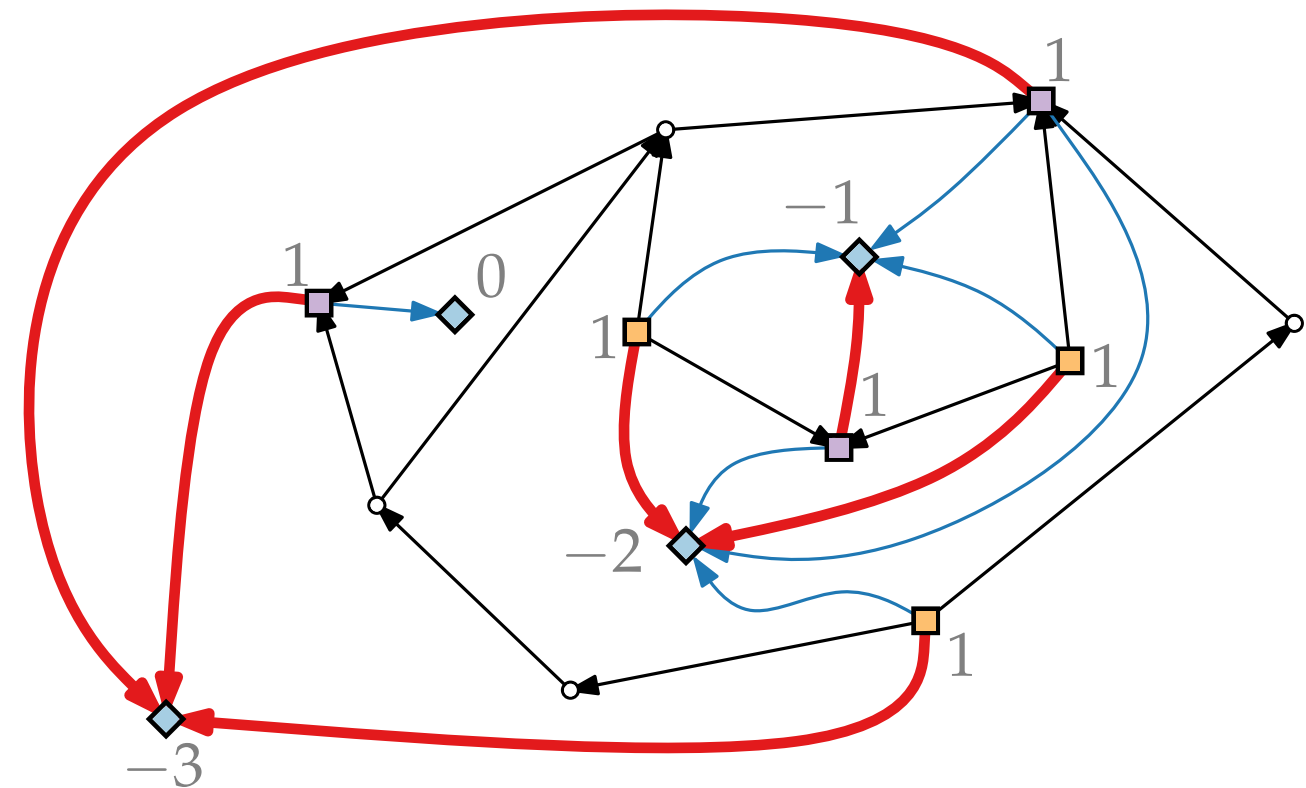
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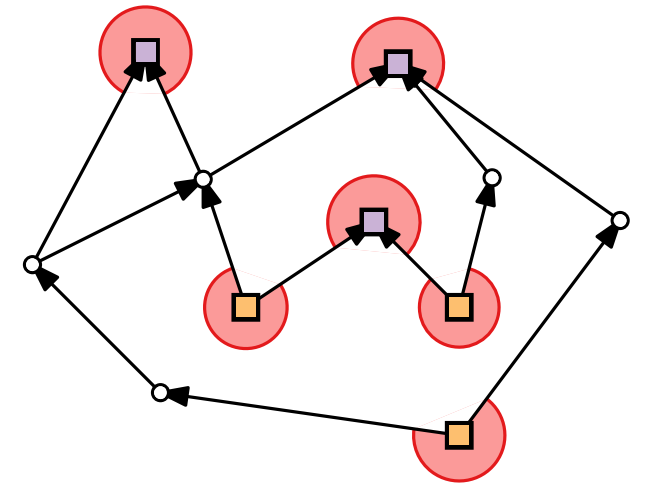
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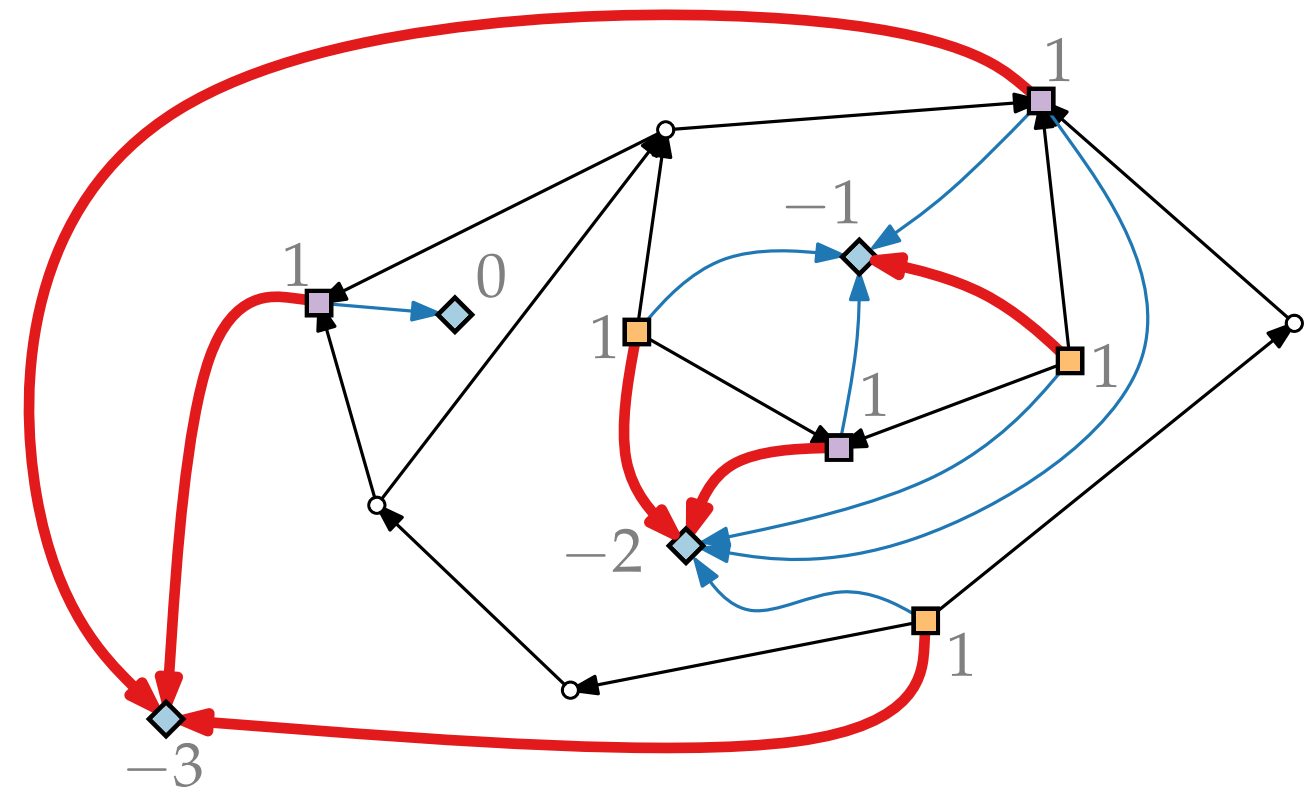
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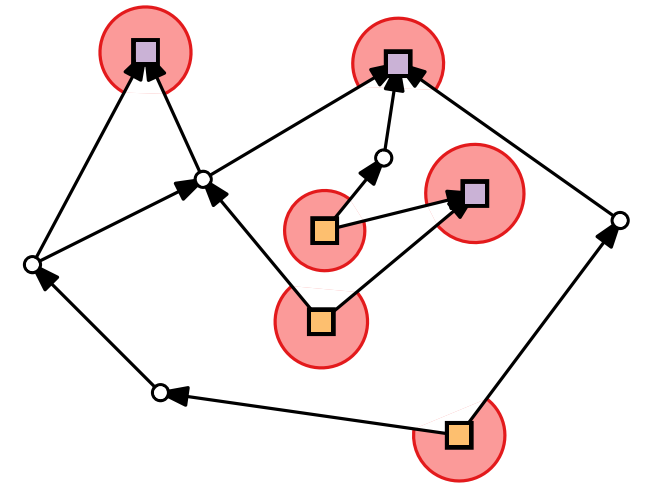
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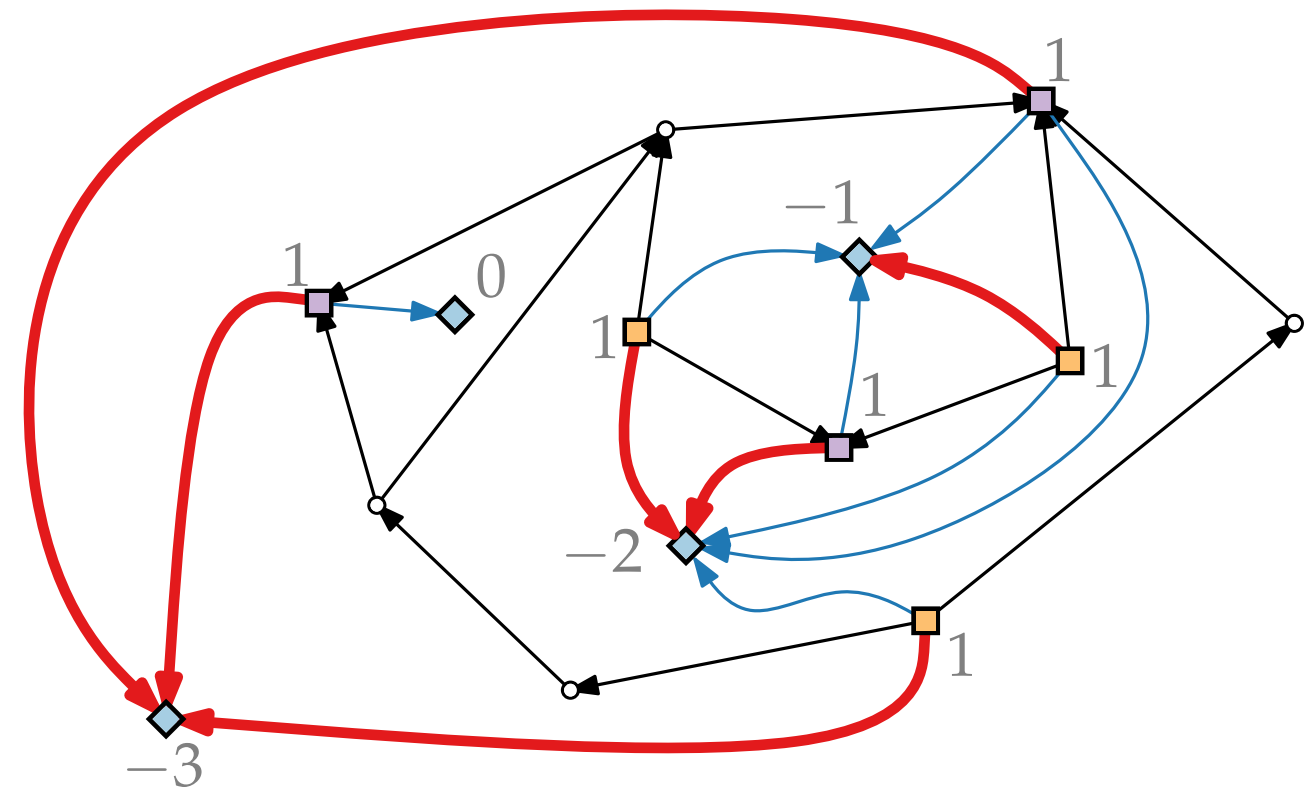
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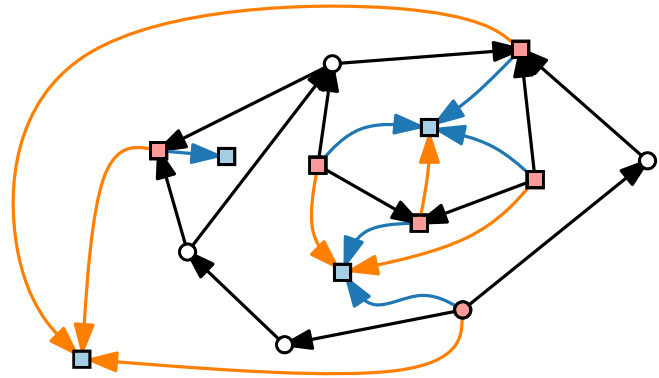
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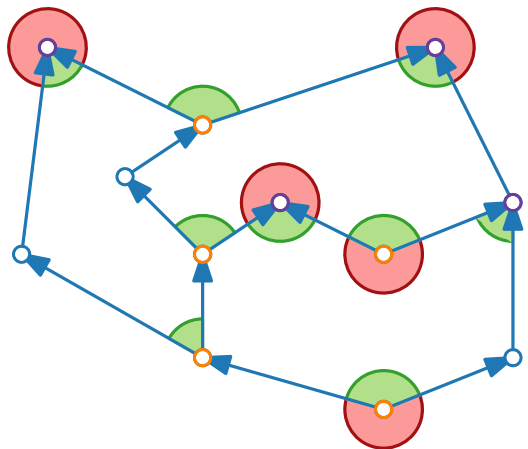
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Visualization of Graphs



Lecture 7: Upward Planar Drawings



Part IV: Testing Algorithm

Philipp Kindermann

Result Characterization

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Theorem 1.

[Kelly 1987, Di Battista & Tamassia 1988]

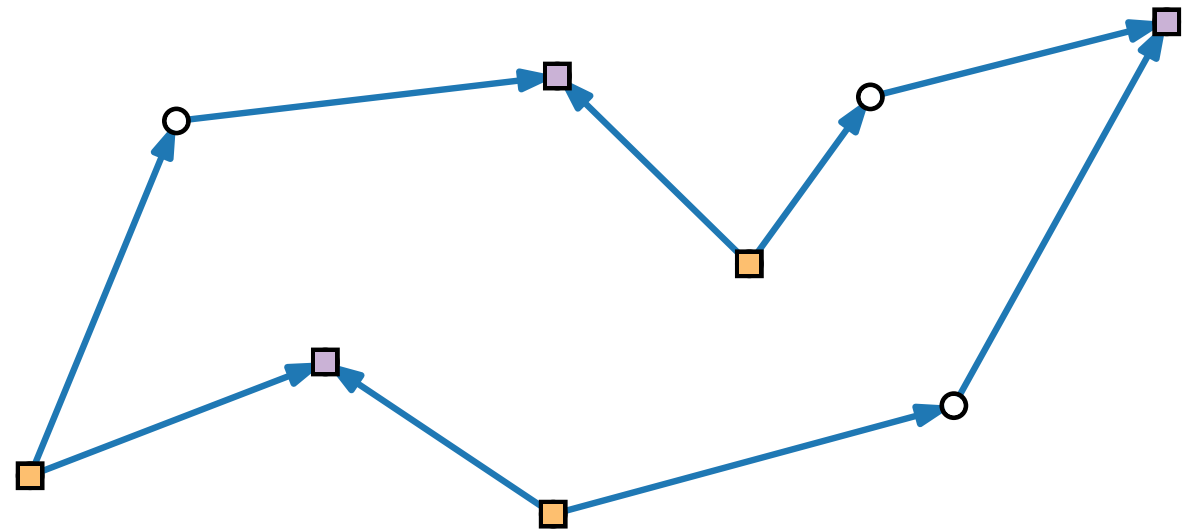
[...] G is upward planar

$\Leftrightarrow G$ is the spanning subgraph of a planar st -digraph.

Refinement Algorithm – $\Phi, F, f_0 \rightarrow$ st-digraph

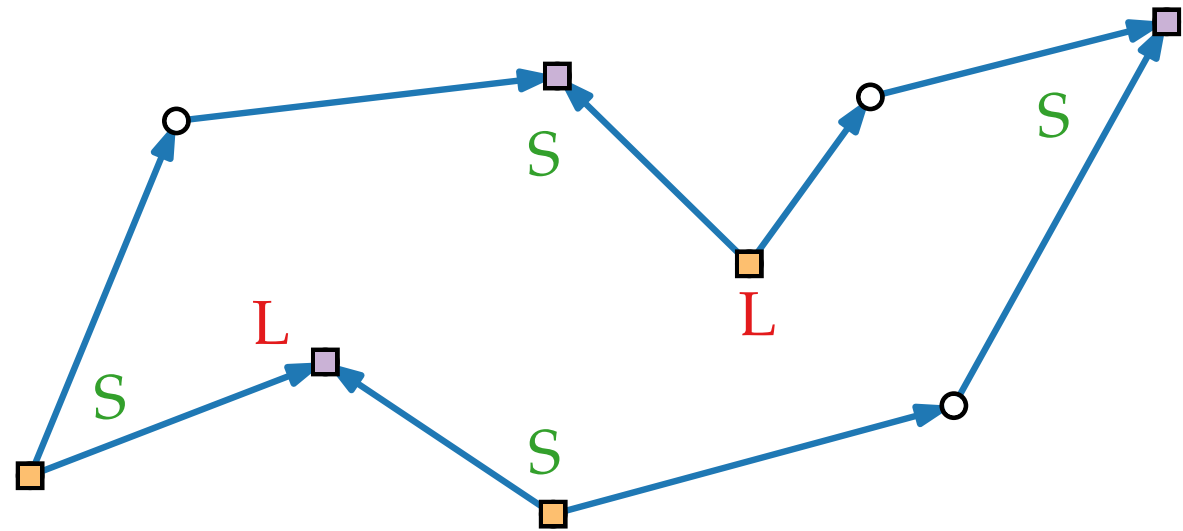
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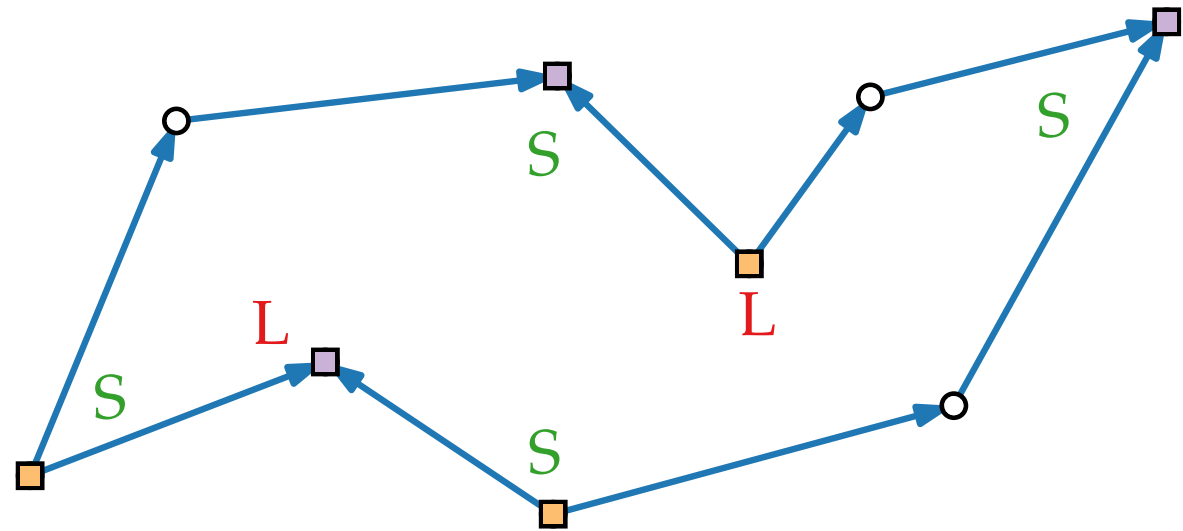
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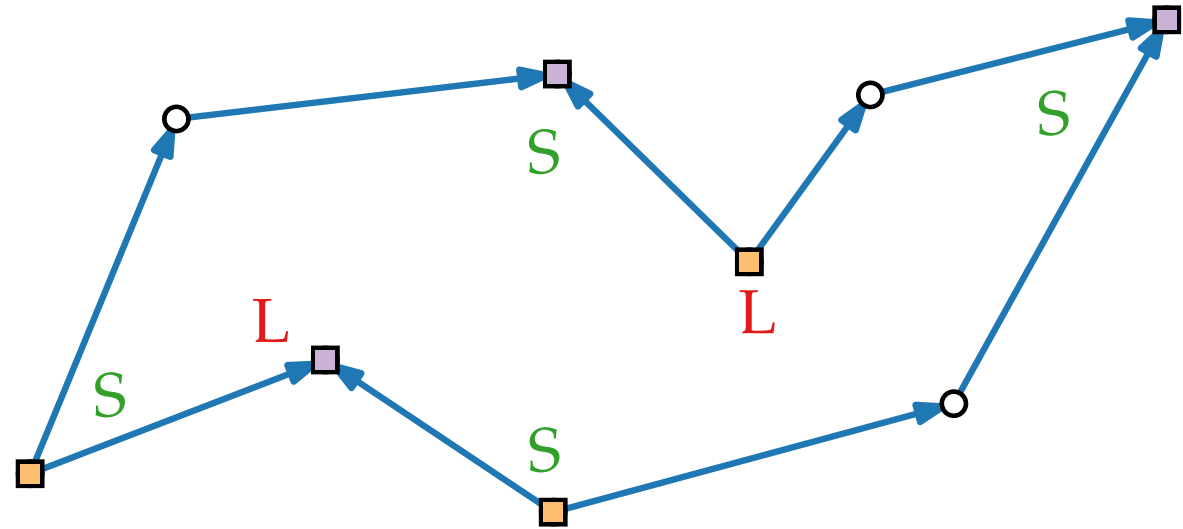
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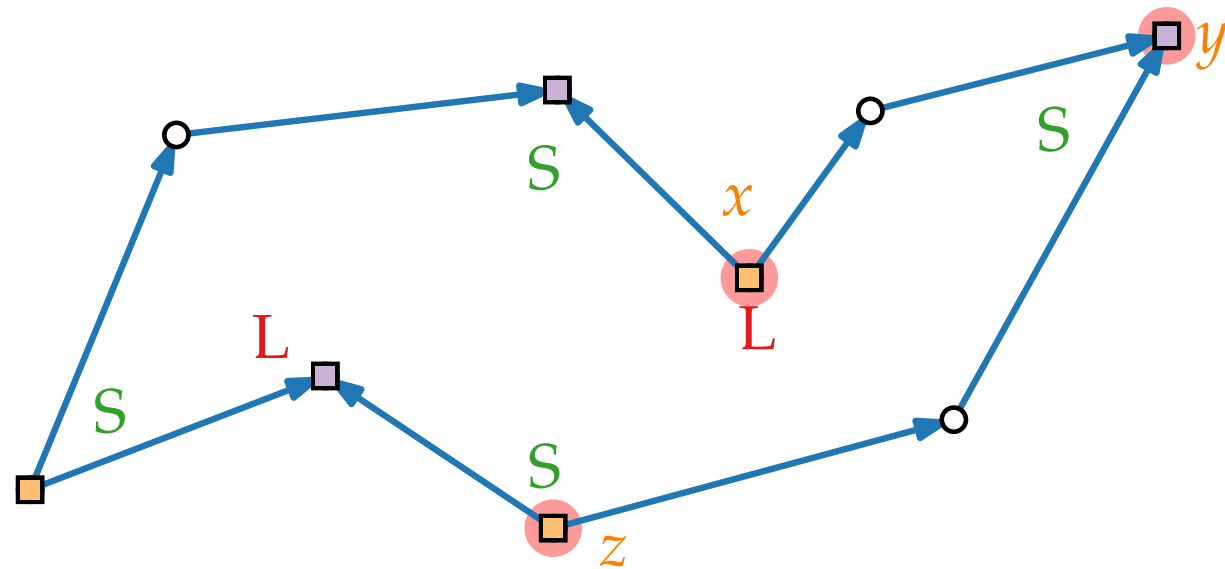
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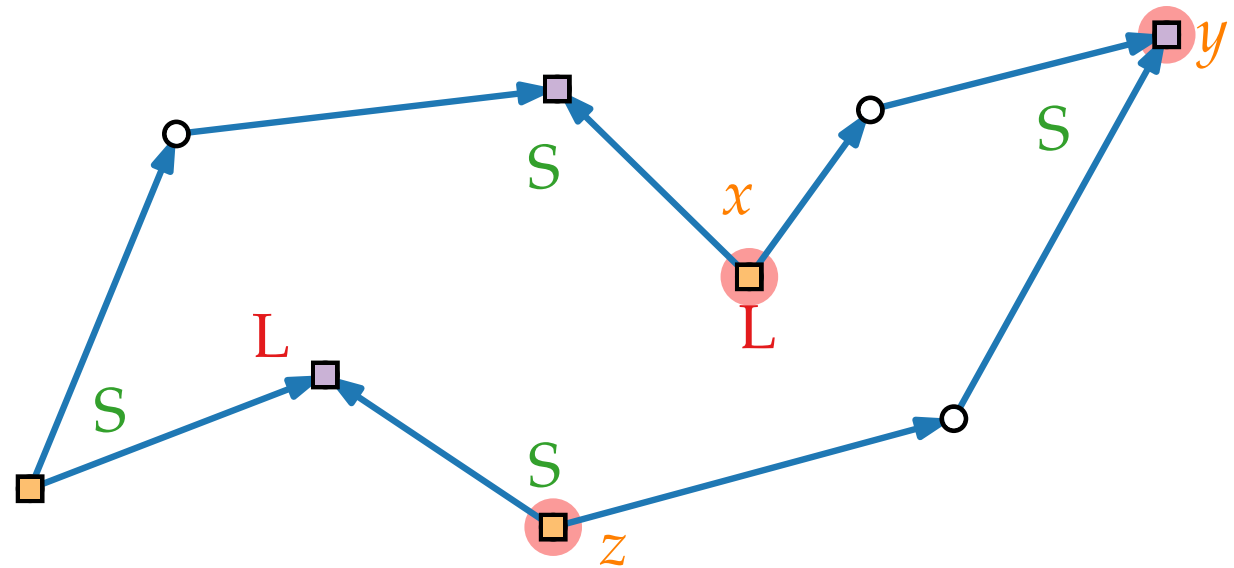
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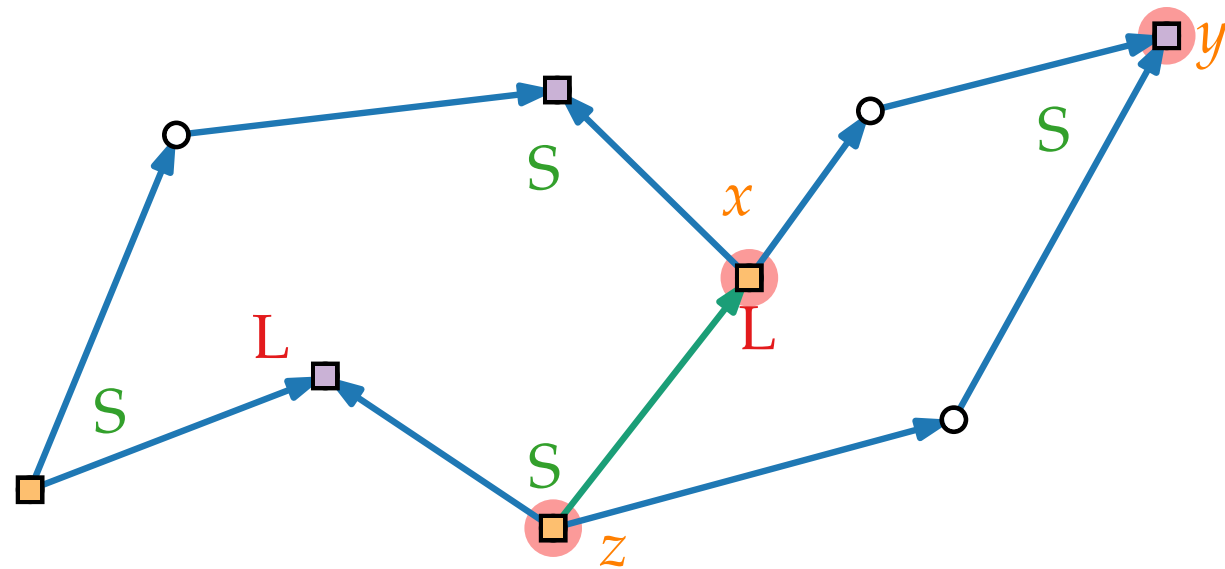
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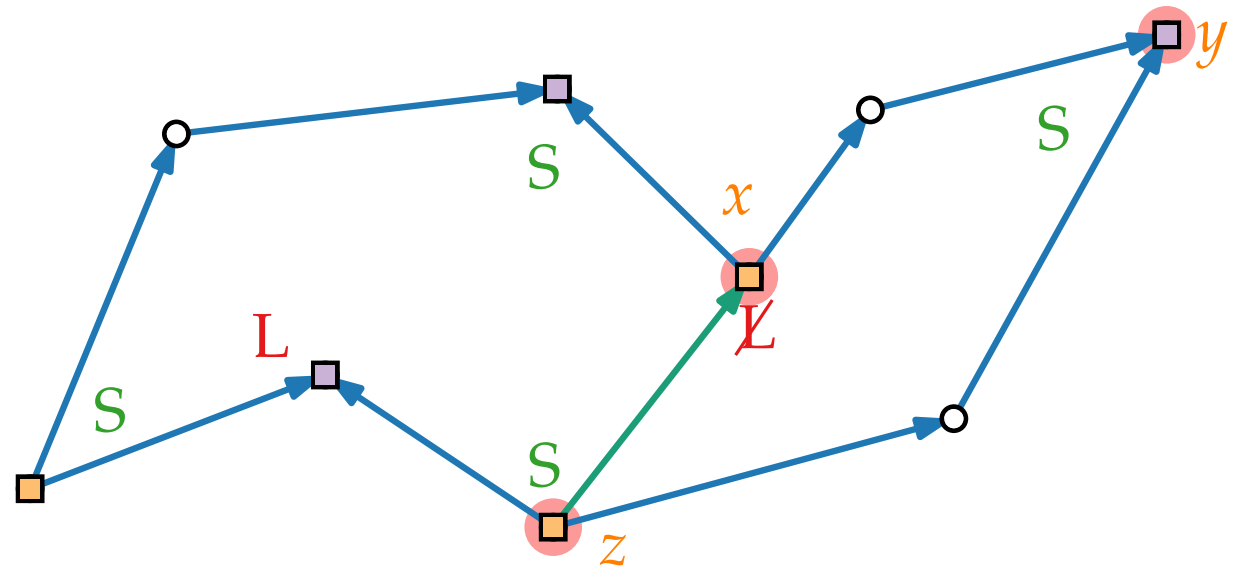
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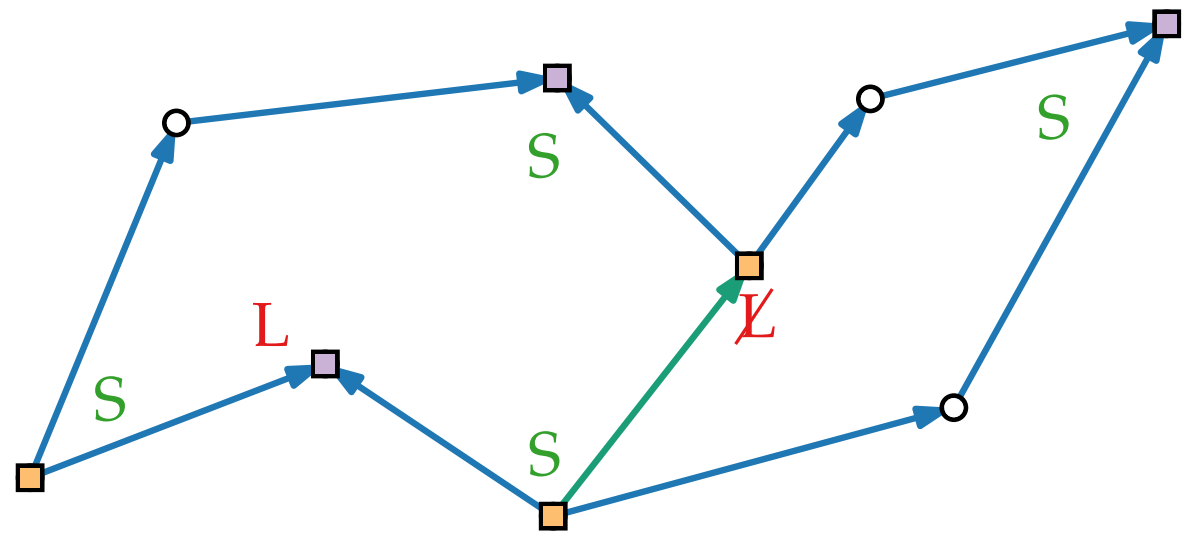
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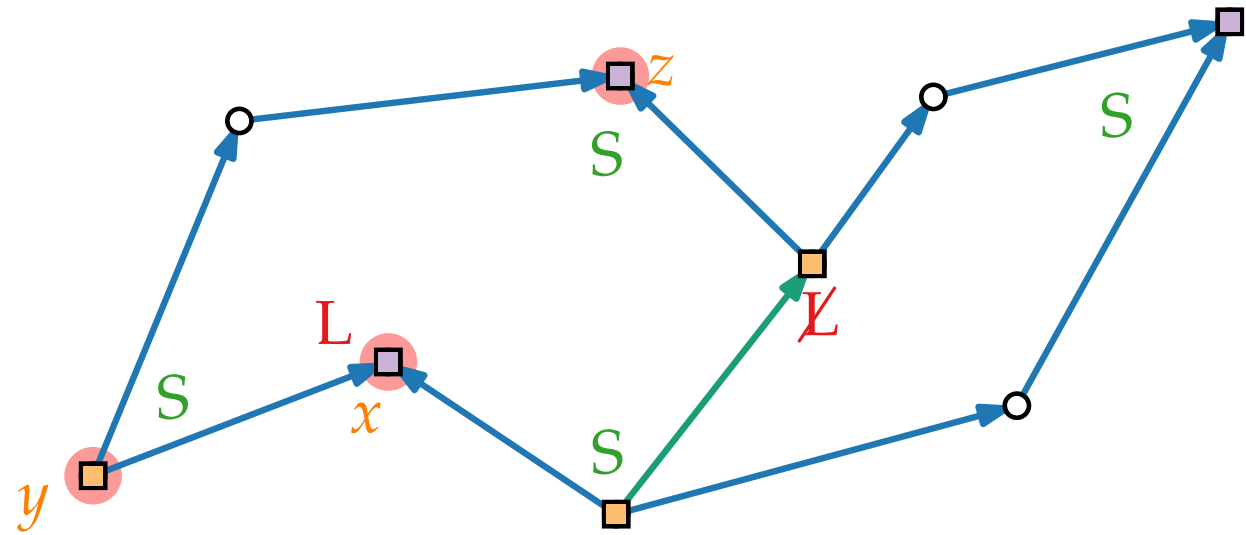
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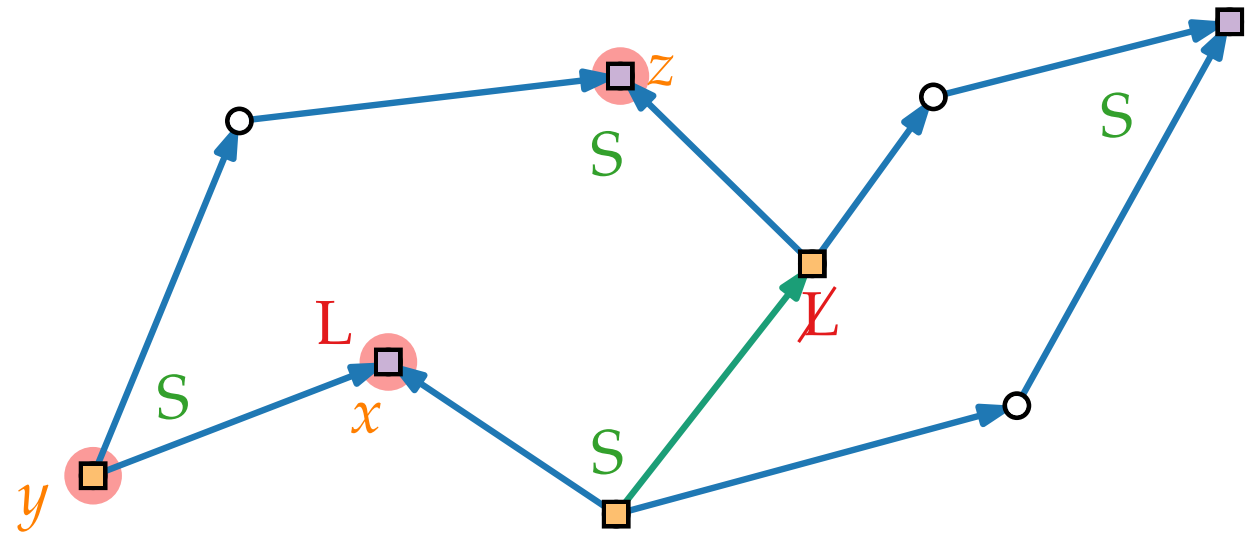
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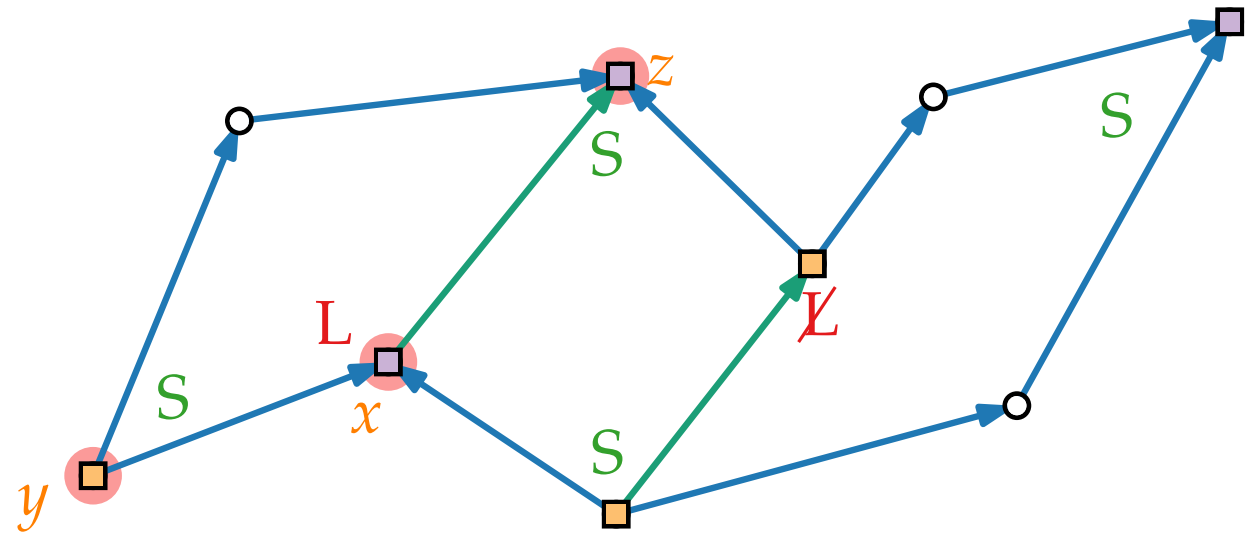
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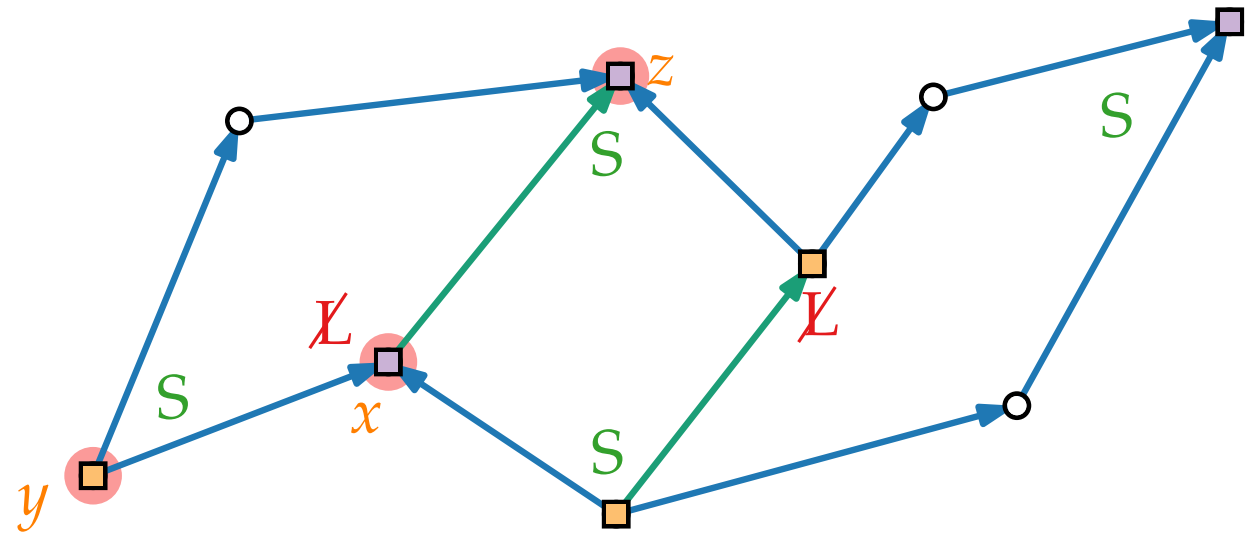
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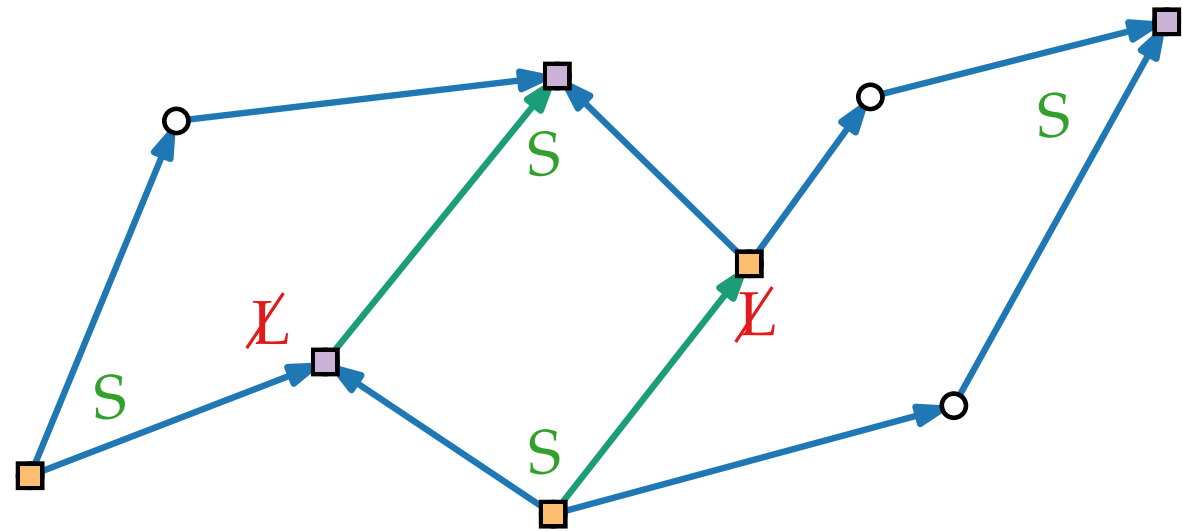
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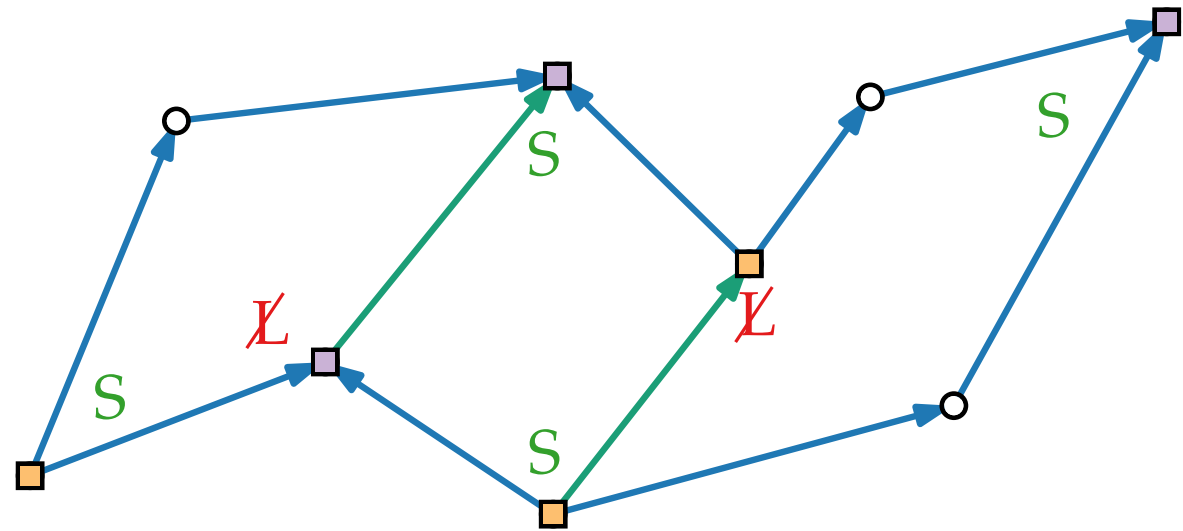
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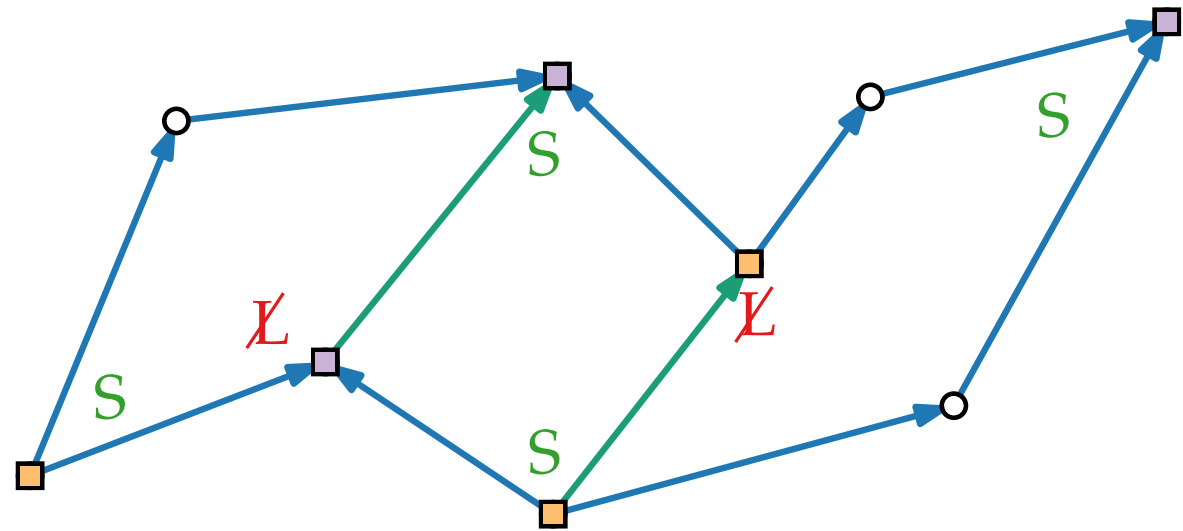
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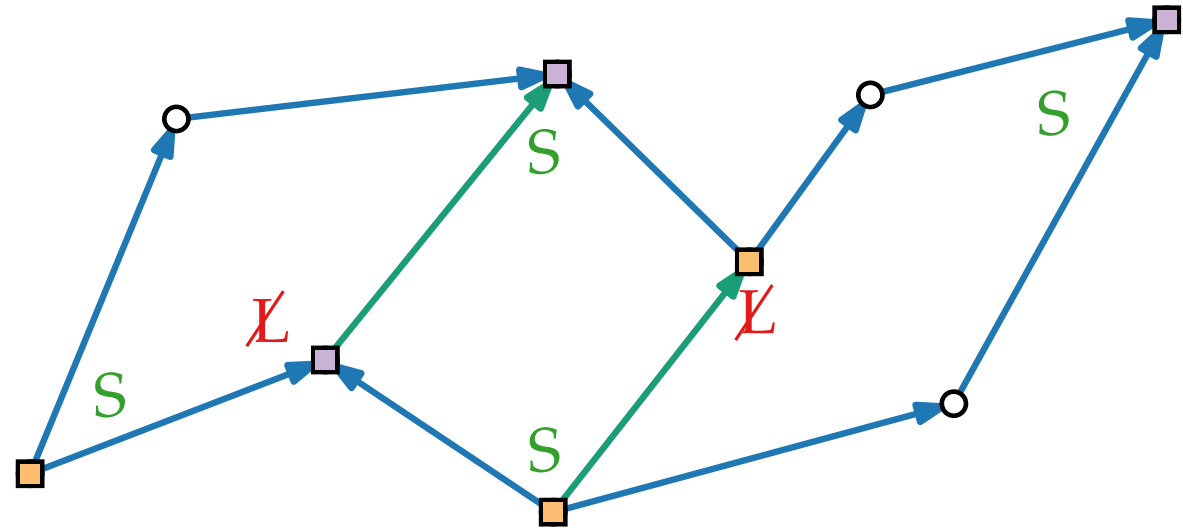


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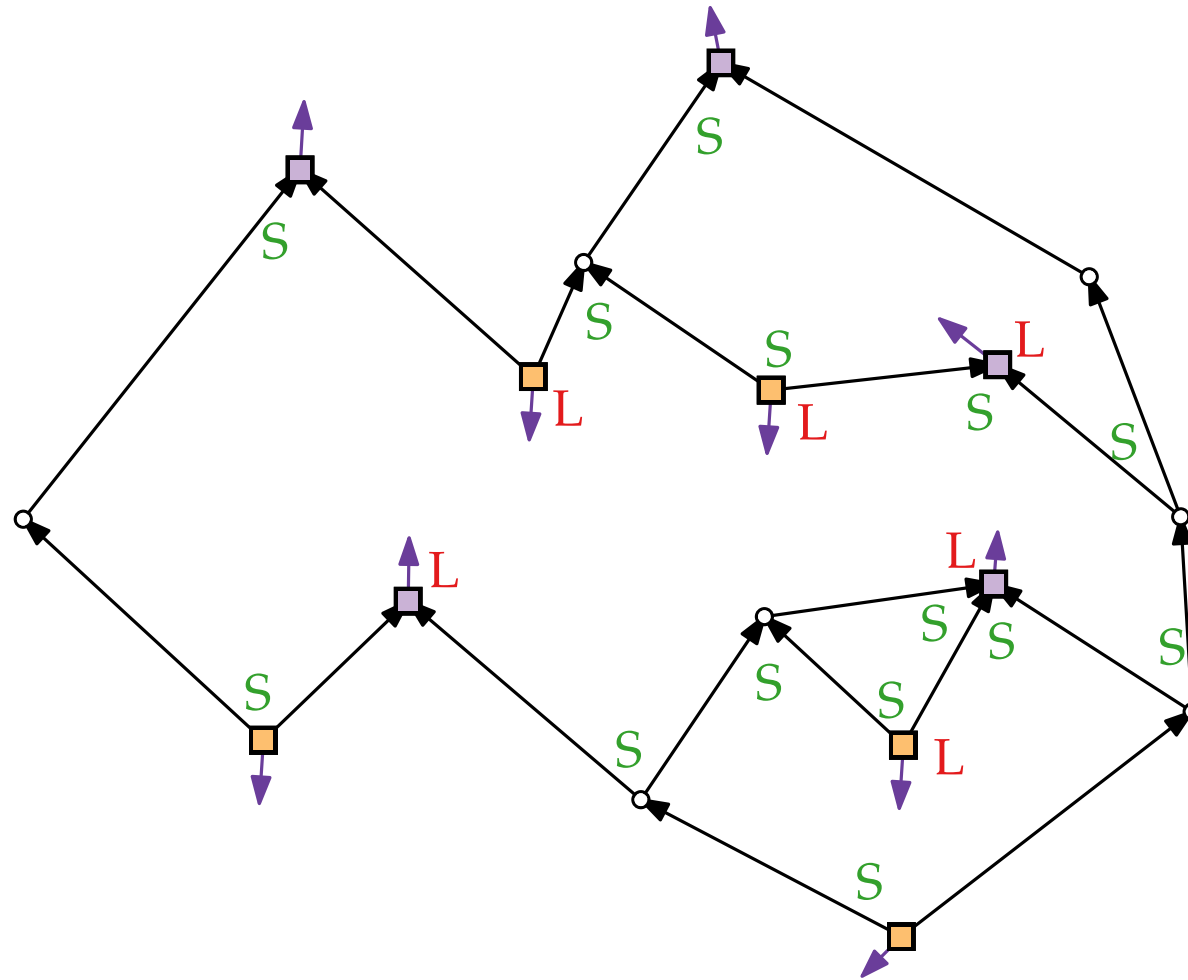
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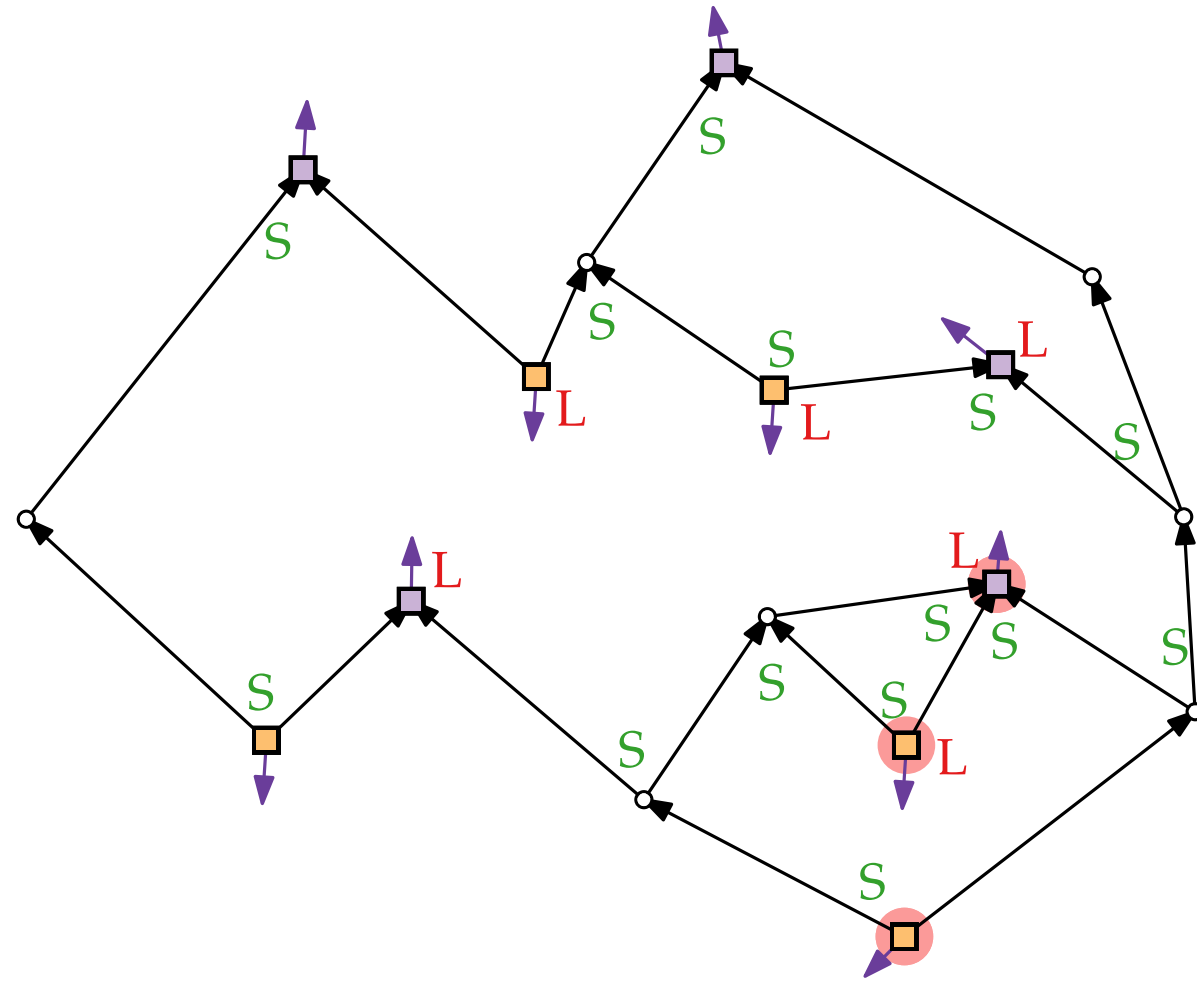


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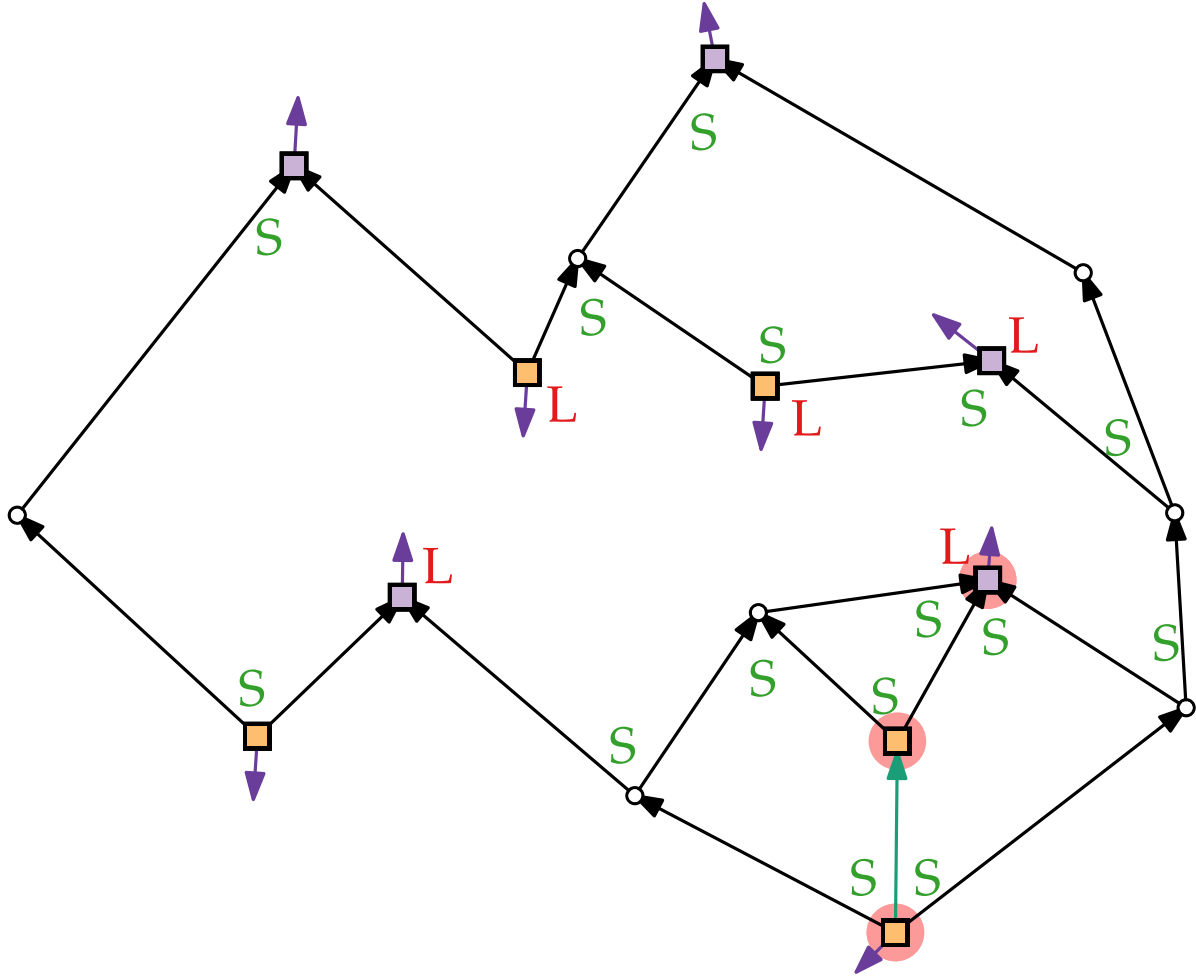
Refinement Example



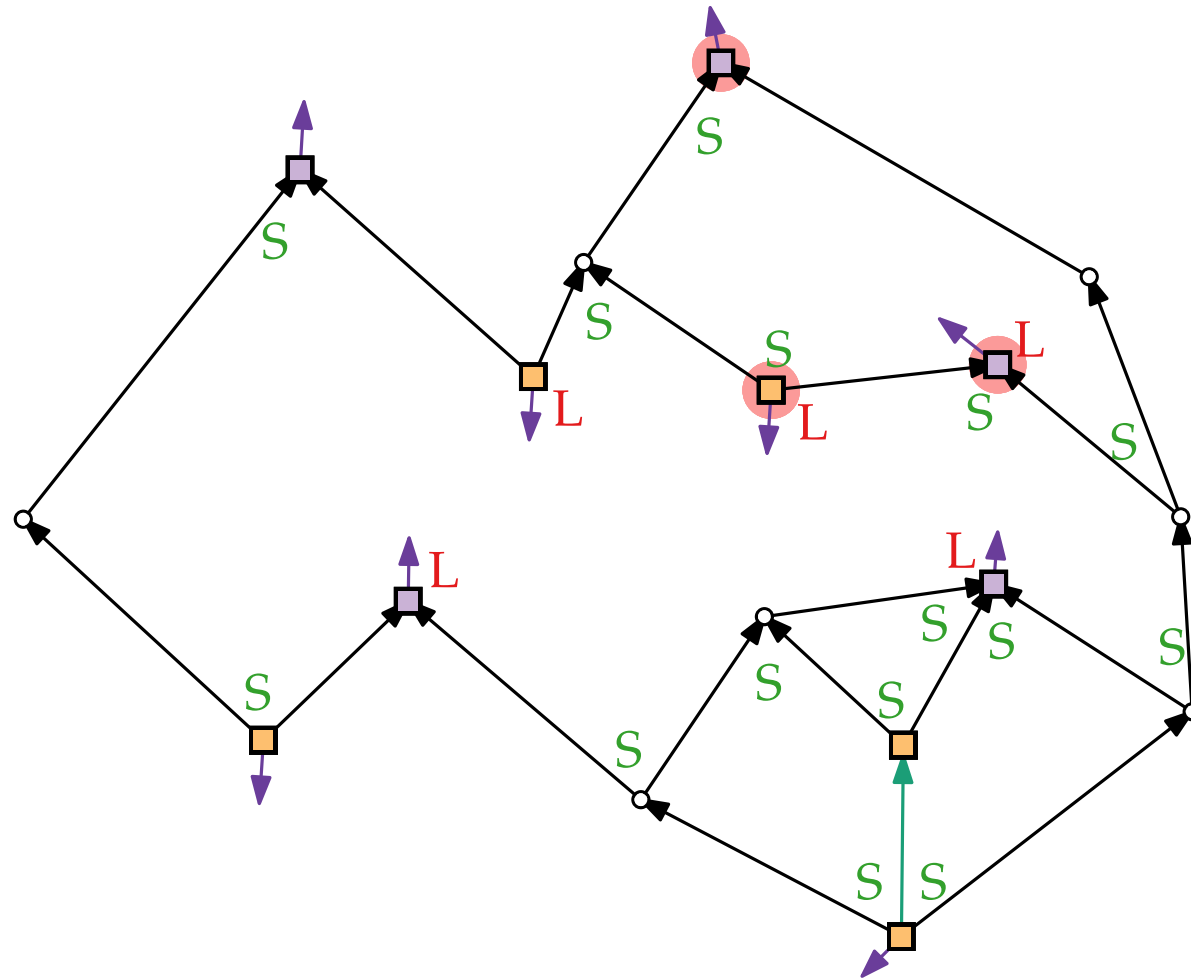
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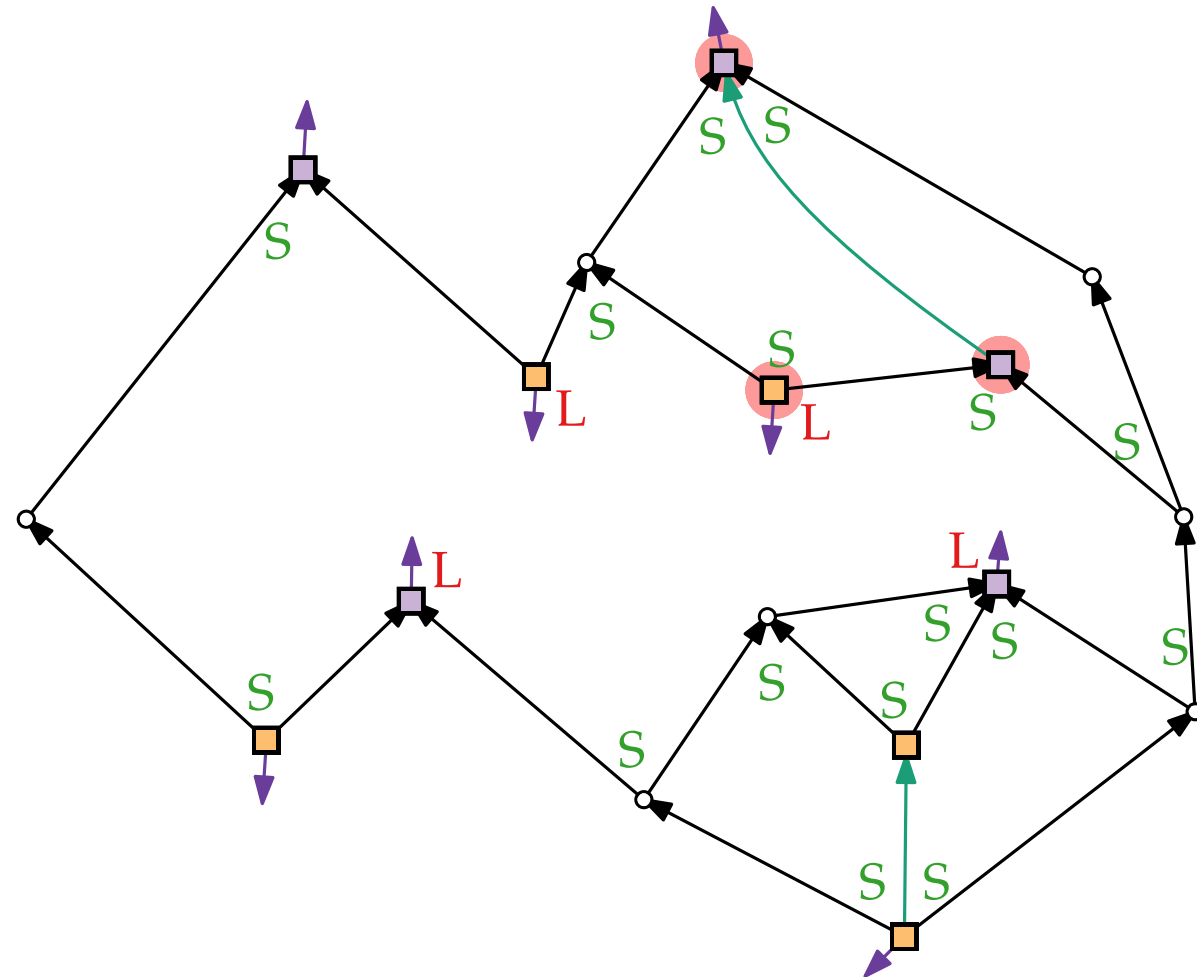
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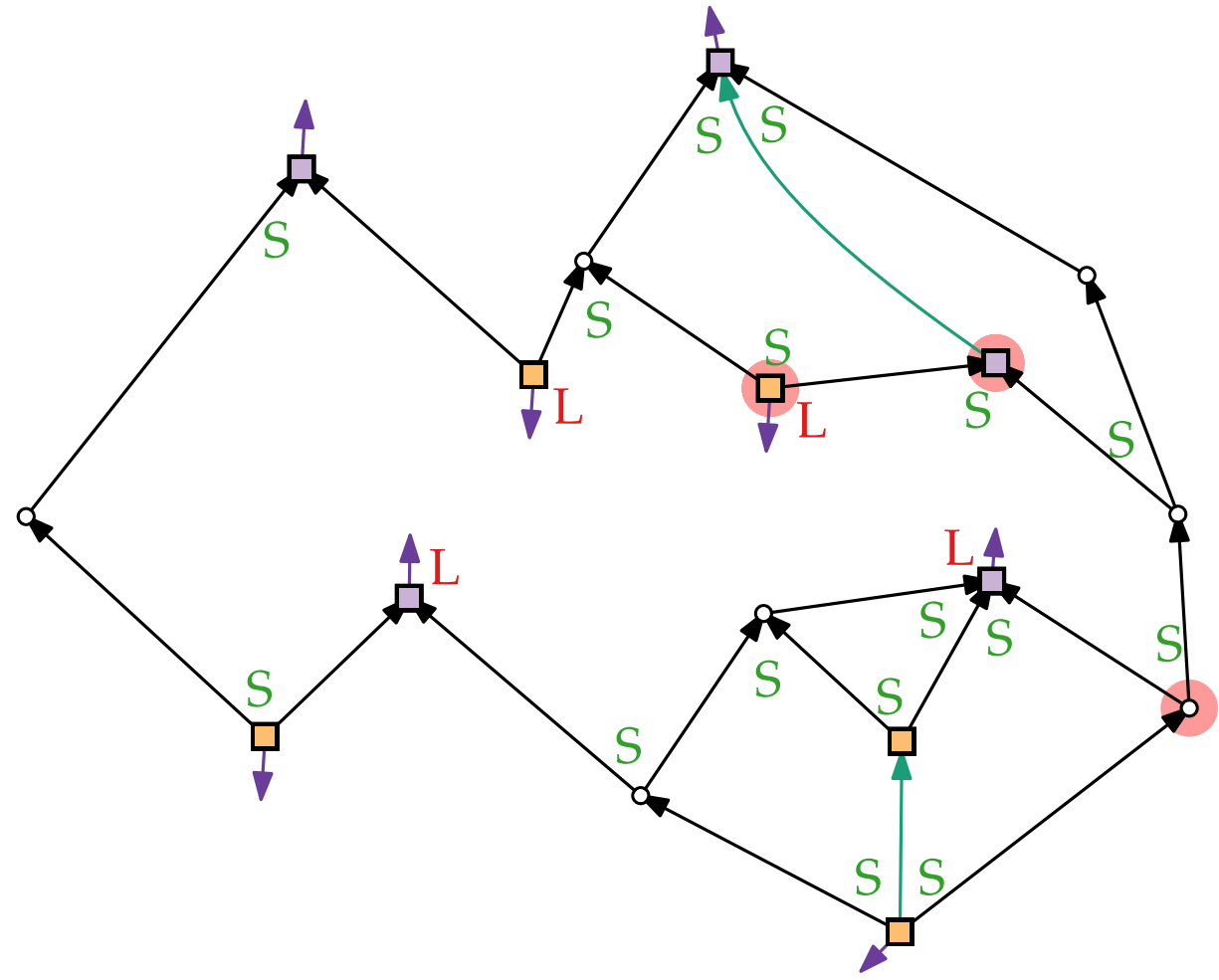
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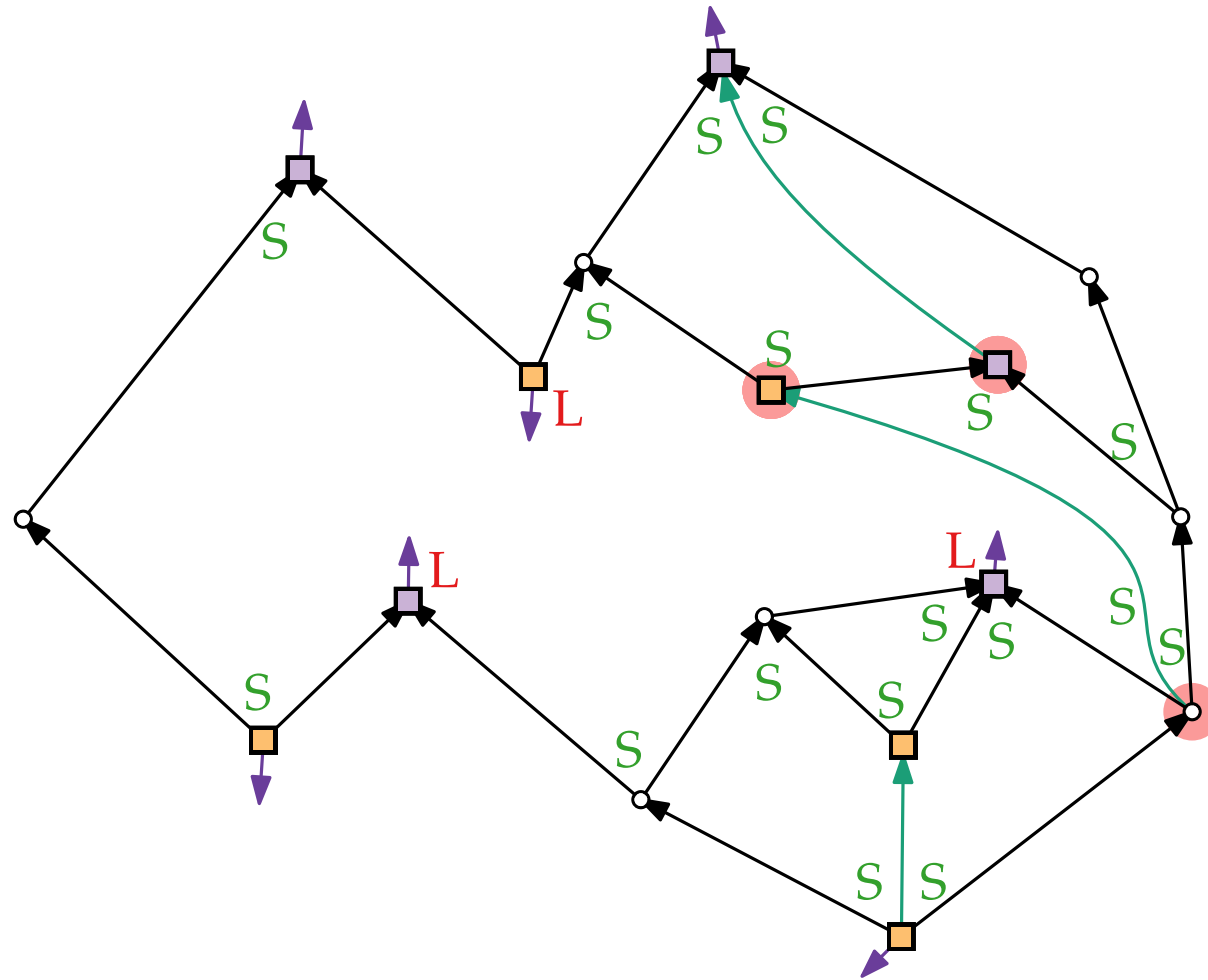
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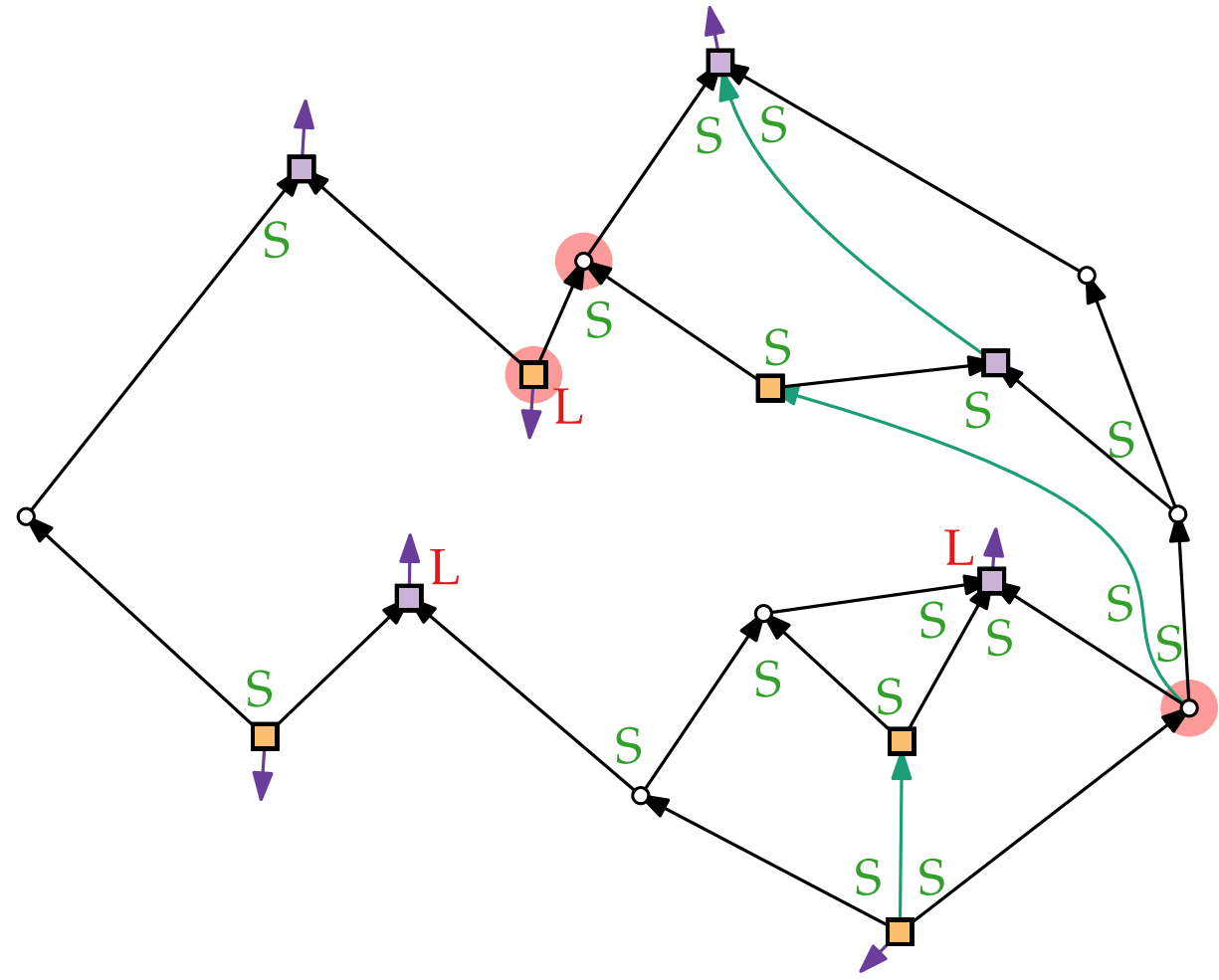
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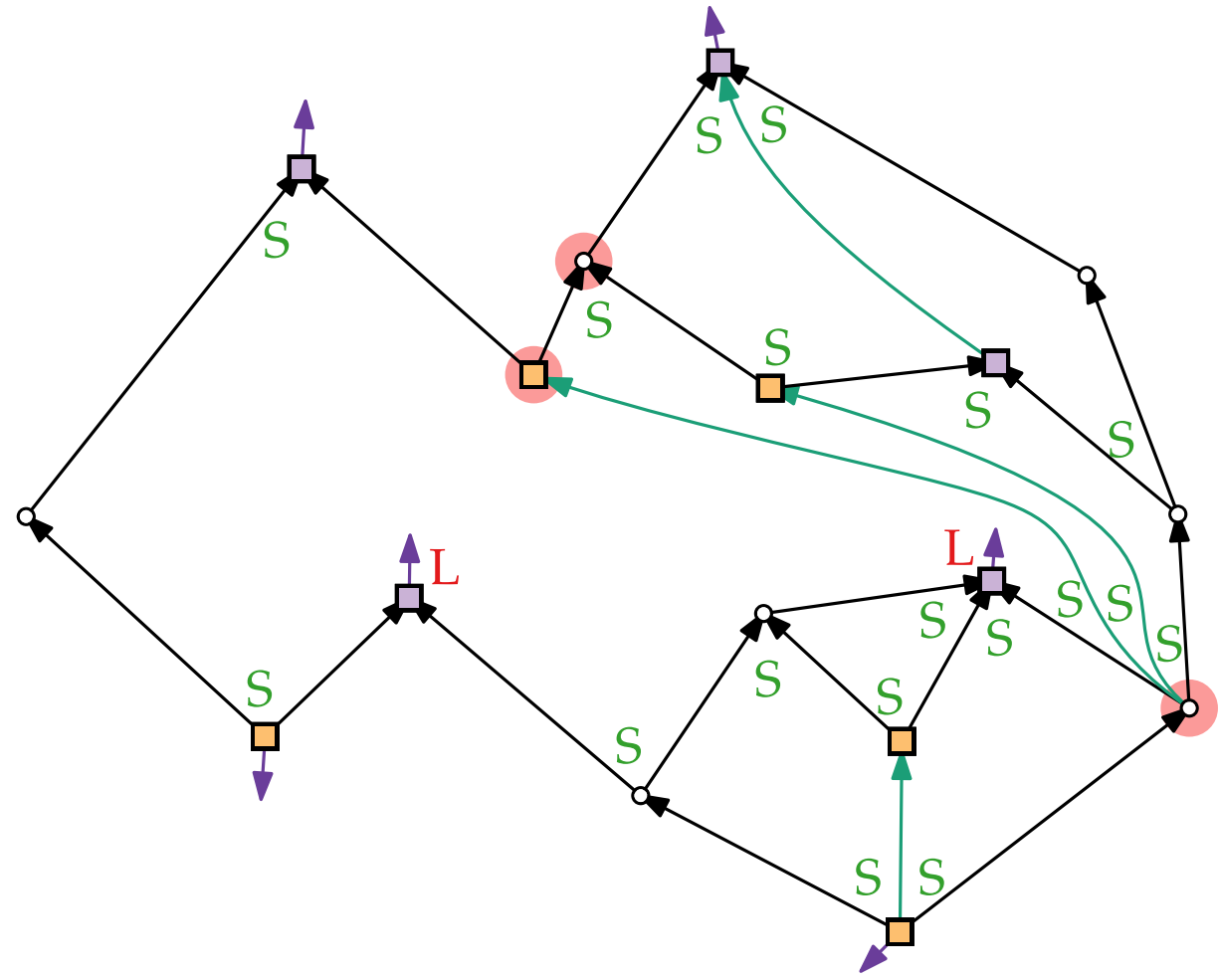
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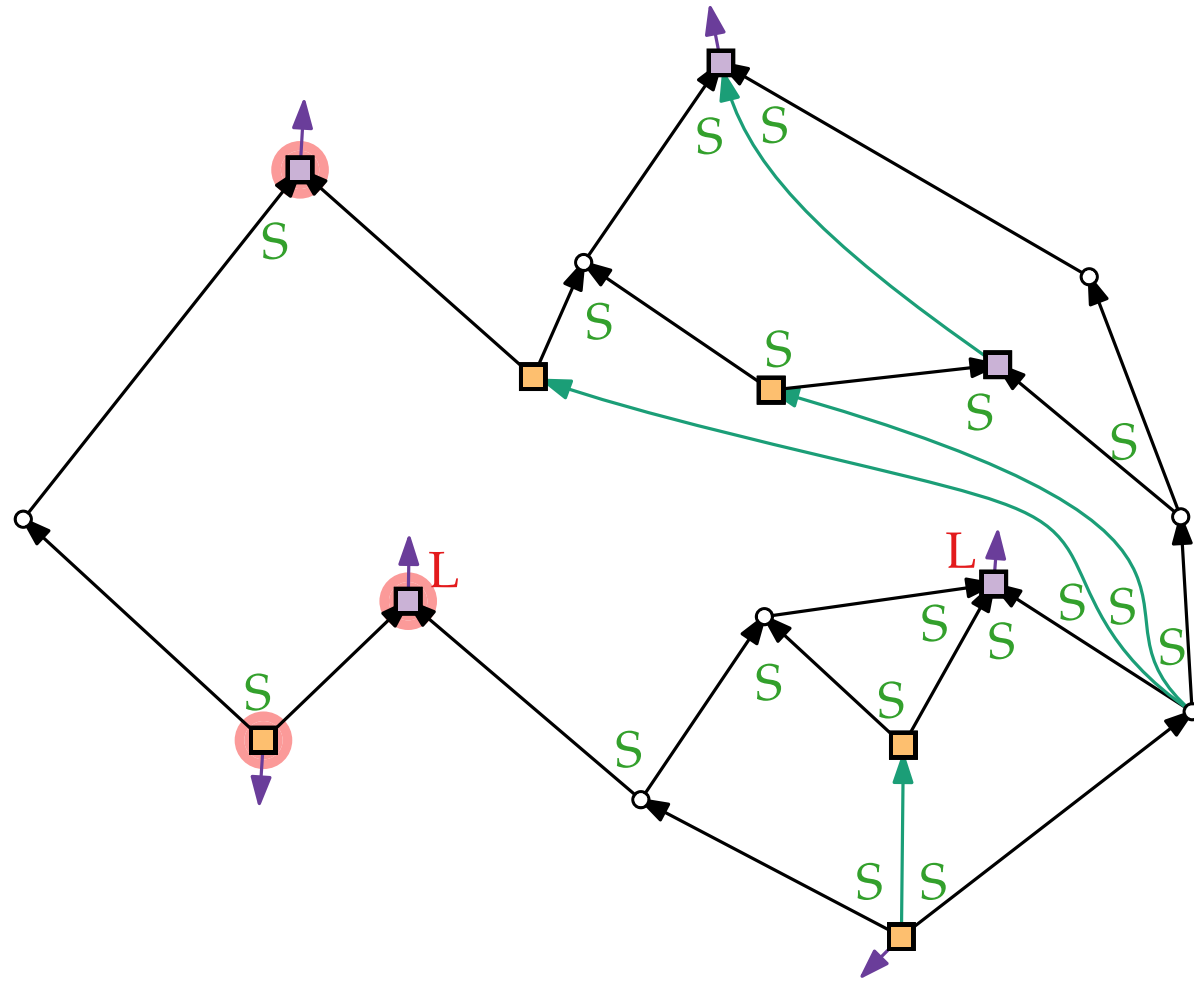
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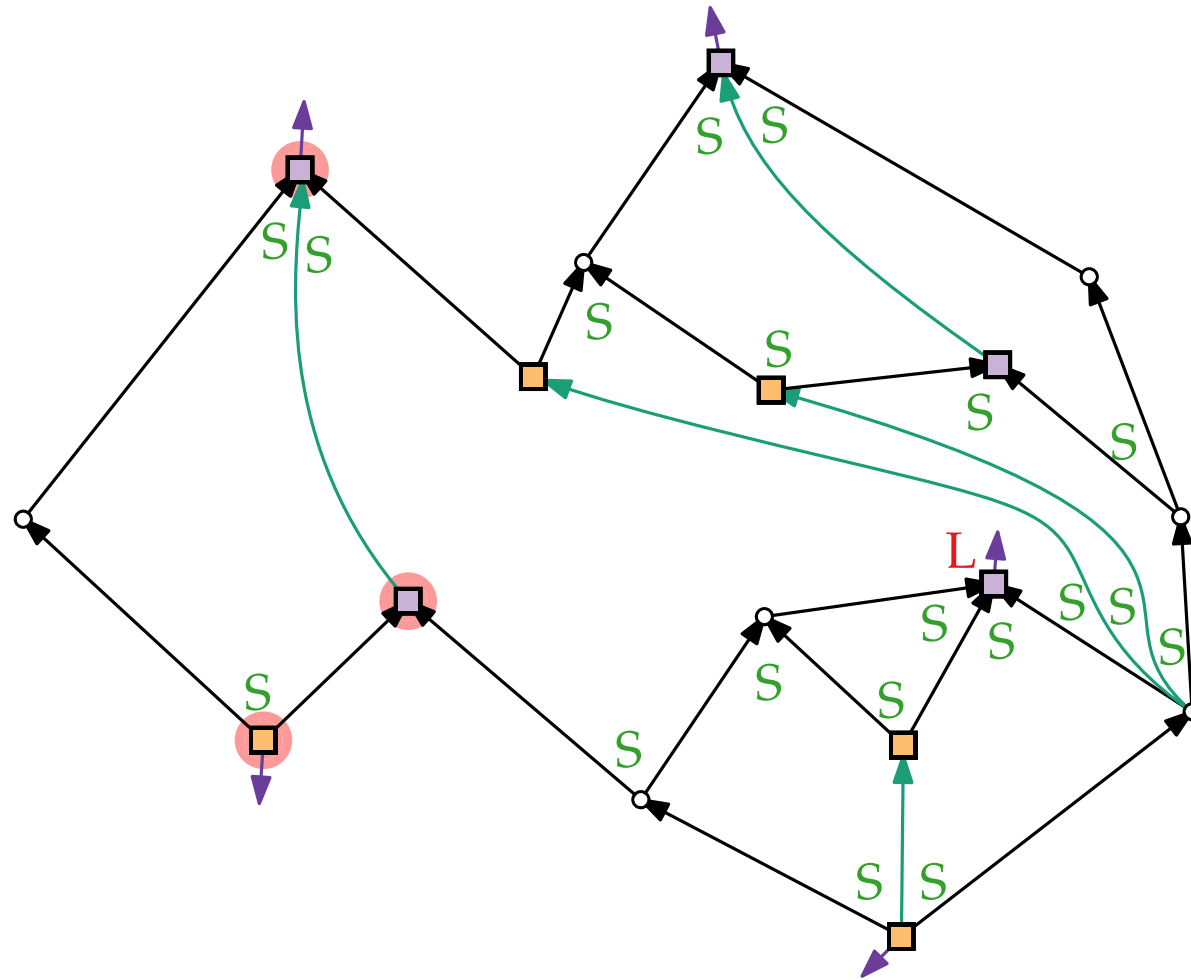
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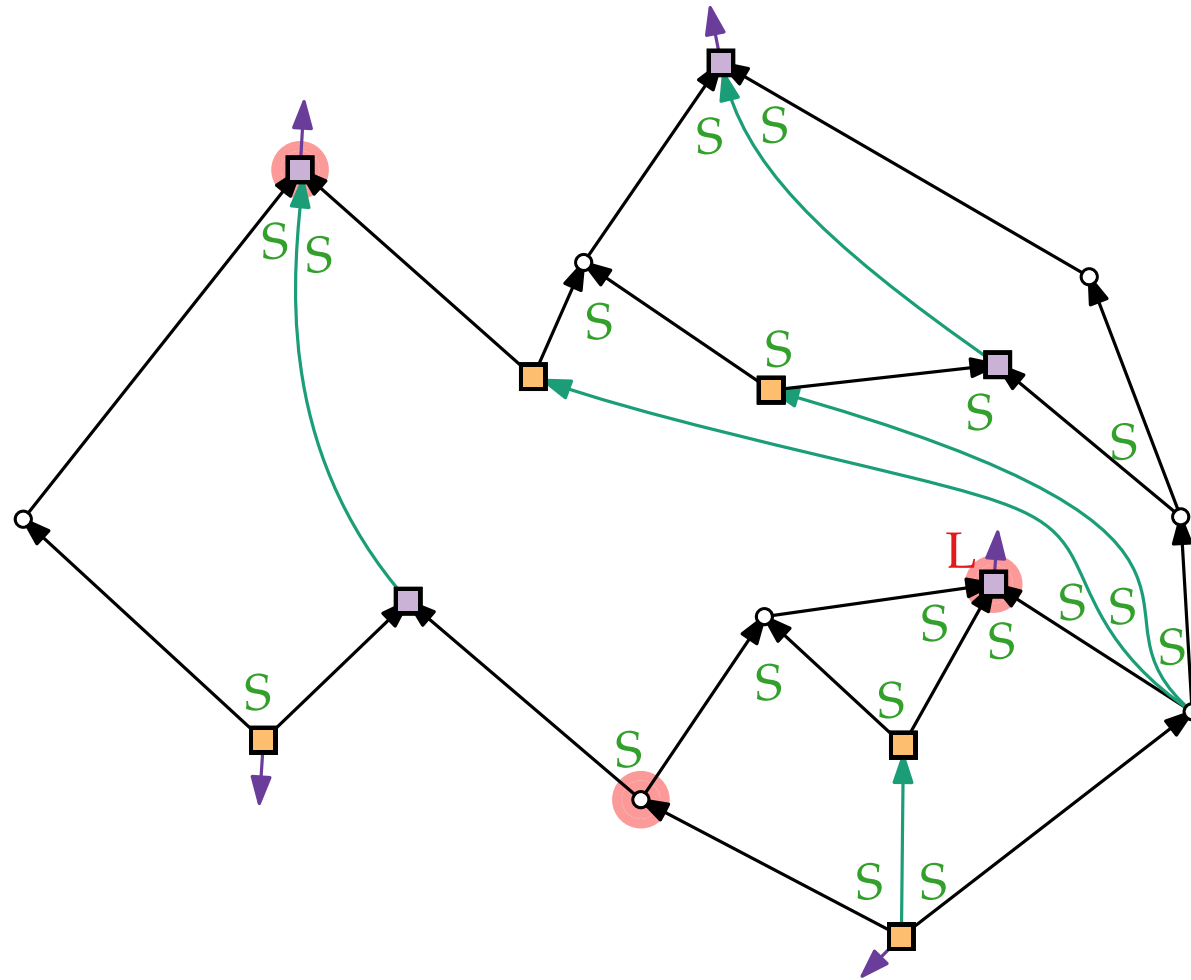
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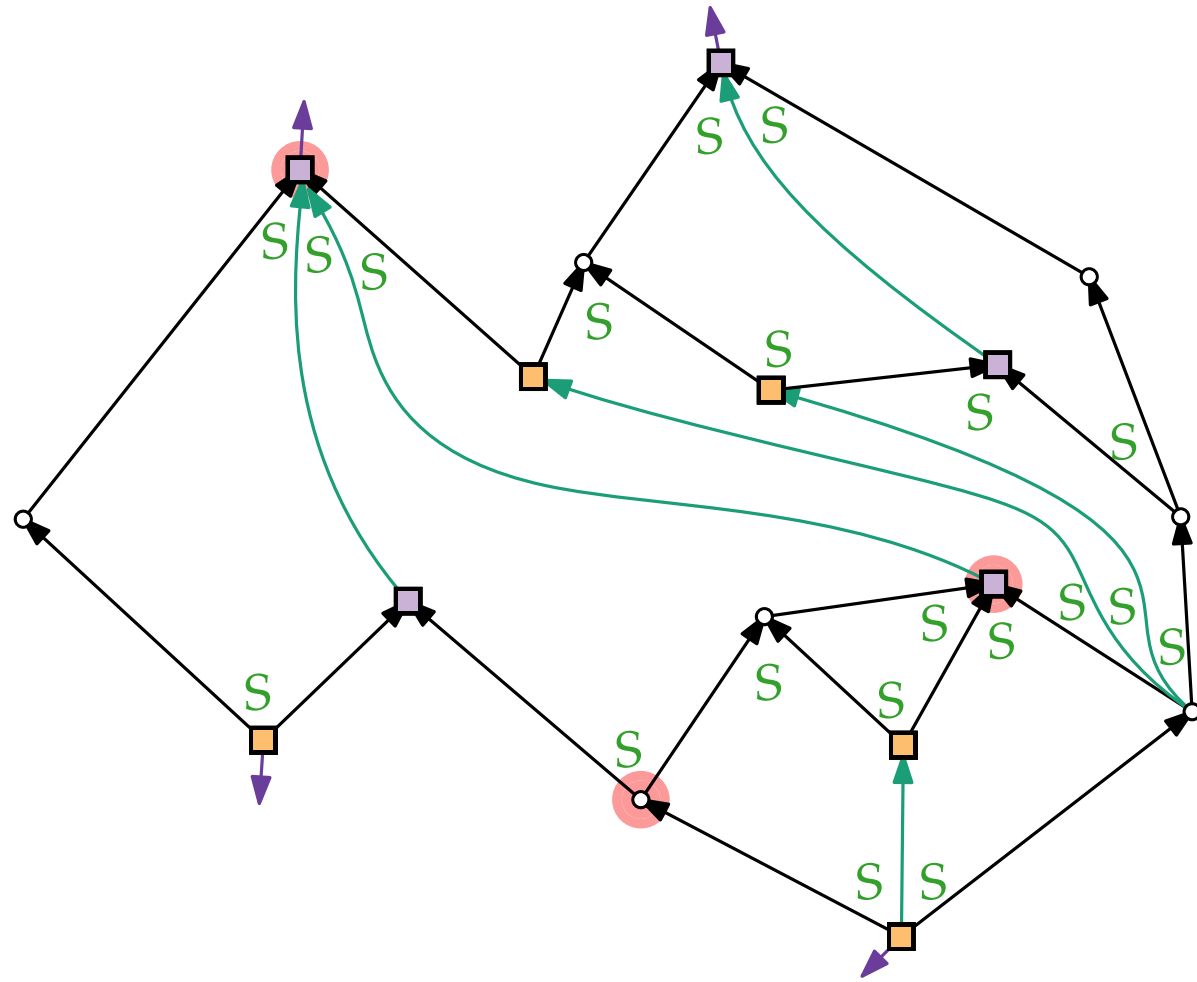
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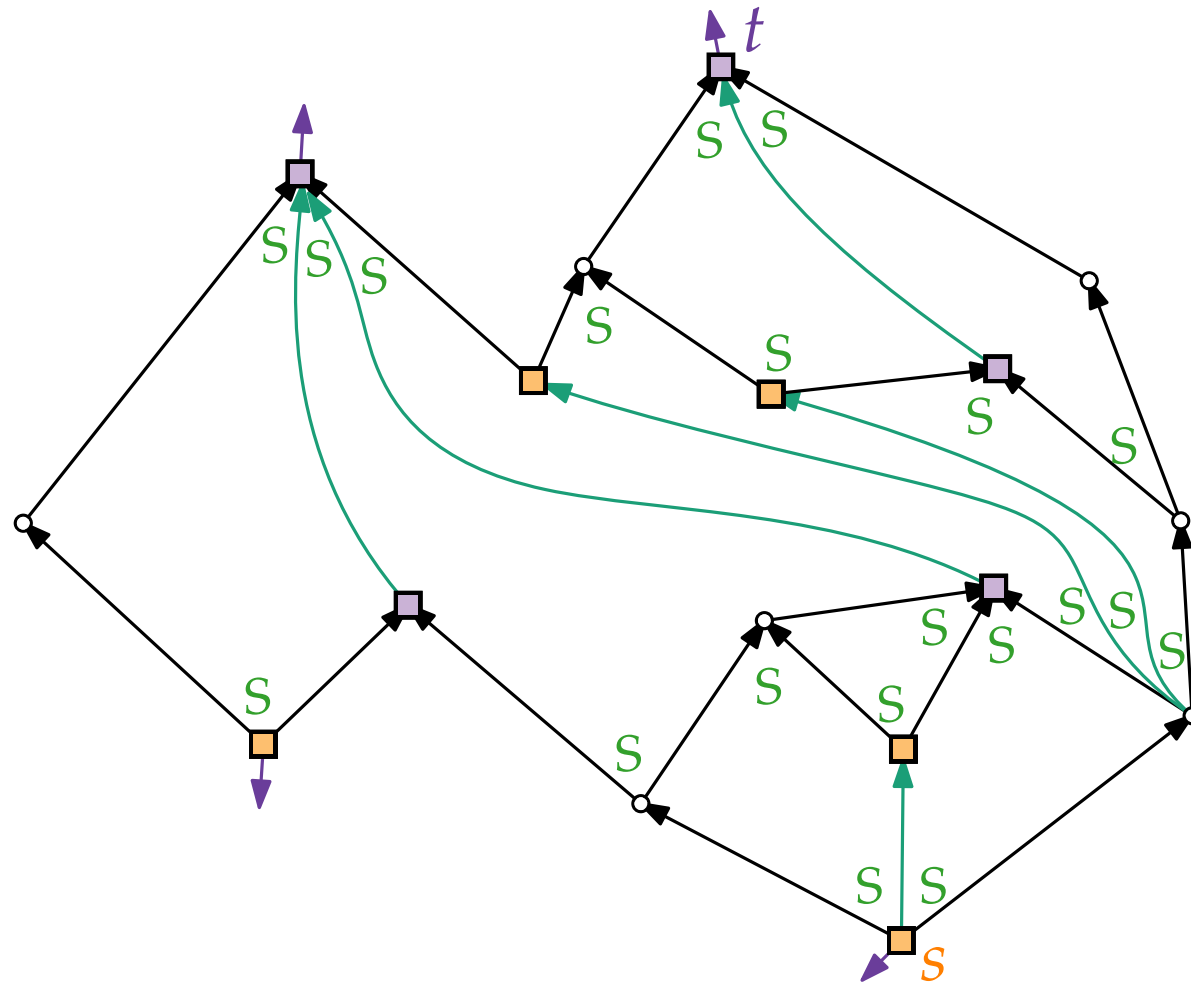
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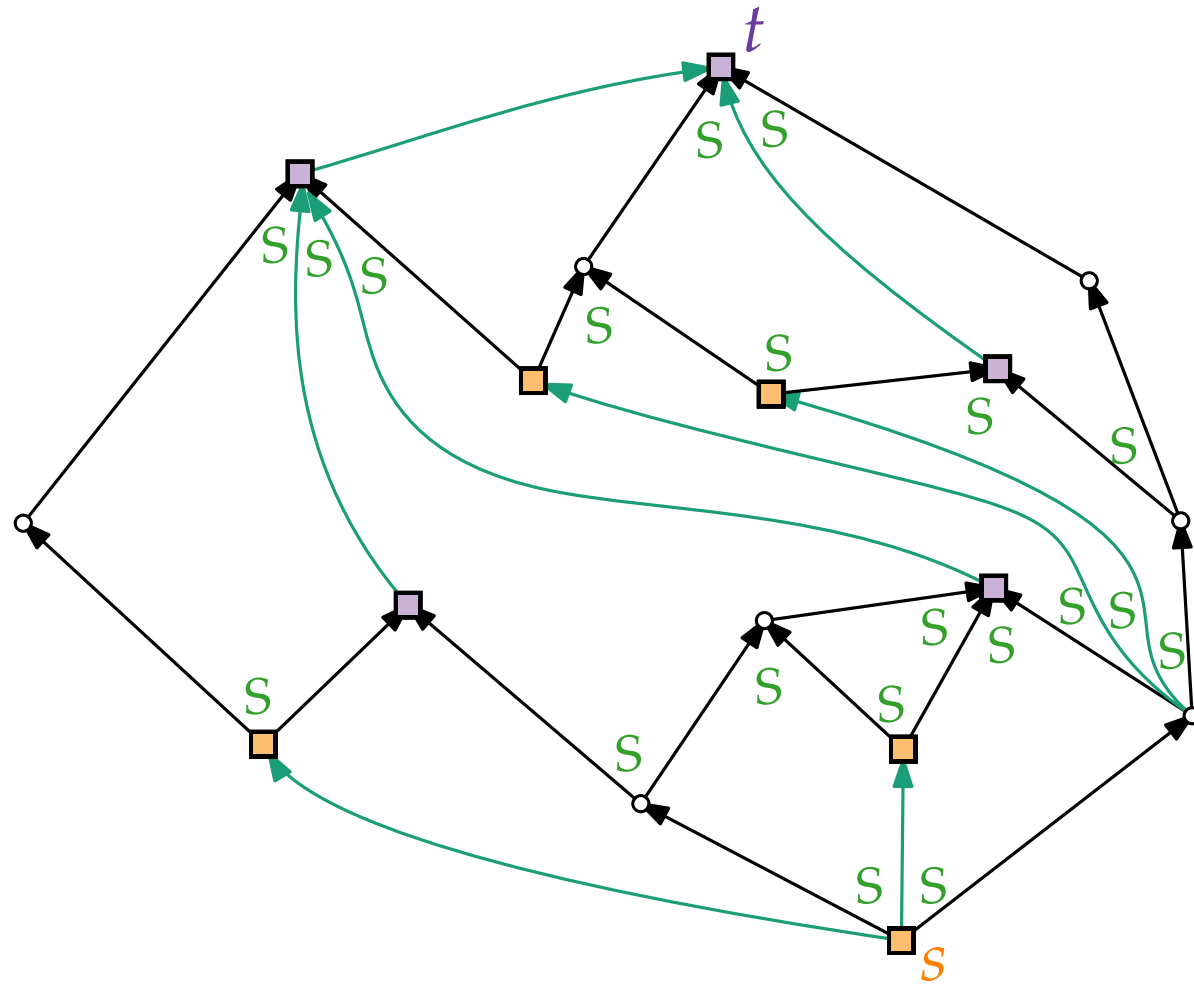
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[Bertolazzi et al., 1994]

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- If G bimodal and Φ exists, refine G to plane st-digraph H .

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- If G bimodal and Φ exists, refine G to plane st-digraph H .
- Draw H upward planar.

Result Upward Planarity Test

Theorem 2.

[Bertolazzi et al., 1994]

For a *combinatorially embedded* planar digraph G it can be tested in $\mathcal{O}(n^2)$ time whether it is upward planar.

Proof.

- Test for bimodality.
- Test for a consistent assignment Φ (via flow network).
- If G bimodal and Φ exists, refine G to plane st-digraph H .
- Draw H upward planar.
- Deleted edges added in refinement step.

Discussion

- There exist fixed-parameter tractable algorithms to test upward planarity of general digraphs with the parameter being the number of triconnected components.

[Healy, Lynch 2005, Didimo et al. 2009]

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- Many related concepts have been studied: quasi-planarity, upward drawings of mixed graphs, upward planarity on cylinder/torus, ...